

Introduction

Instructions

Use this form to roll over or transfer assets to your John Hancock custodial IRA or Roth IRA account. Please fill out a separate form for each account rolling over or transferring to John Hancock Investment Management. All sections must be completed. Please print in all capital letters and use black ink.

Special considerations

The IRS only permits a single rollover in a 12-month period, regardless of the number of IRAs or types of IRAs owned.

Questions about this form?

☎ 800-225-5291

Contact us:

☎ 800-225-5291

🌐 jhinvestments.com

☑ See the end of Form 2 for return instructions

1. Your transferring account

My account is transferring from:

Name of resigning trustee/custodian

Phone number

Address of resigning trustee/custodian

City

State

Zip code

Account name

Account number

Type of account you are rolling over or transferring. Please provide a copy of your most recent account statement, if available. Check only one:

- Traditional IRA Qualified retirement plan, 403(a), 403(b), or government 457(b) plan
- Rollover IRA SARSEP (Plan must have existed prior to January 1, 1997)
- Roth IRA SEP IRA
- SIMPLE IRA plan (You must be in a SIMPLE IRA plan for two years before rolling over into a traditional IRA)
- Designated Roth IRA Inherited IRA Inherited Roth IRA

2. Your new John Hancock account

John Hancock Life & Health Insurance Co., custodian for the IRA/Roth IRA of:

First name

MI

Last name

Suffix

Address

City

State

Zip code

Social Security number (Required)

Phone number

Date of birth (MM/DD/YYYY)

3. Asset transfer information

Please check one of the following:

- I am opening a new account and have attached a new IRA adoption agreement (Form 1).
 Please deposit the proceeds of my rollover/transfer into my existing John Hancock IRA/Roth IRA, listed below:

| Fund name | A | C | Account number | % or \$ |
|-----------|---|---|----------------|---------|
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| | | | | |

To the resigning trustee or custodian:

Please liquidate or transfer in kind (reregister) all or part (\$ _____) of the accounts listed below, and transfer the proceeds to my new or existing John Hancock IRA/Roth IRA immediately or at maturity (if applicable).

If you have more than one account, please list all the account numbers below:

| Account number | All or part (\$) | Liquidation/maturity date (MM/DD/YYYY) |
|----------------|------------------|--|
| | | |
| | | |
| | | |

4. Acceptance by the new custodian (John Hancock Life & Health Insurance Co.)

(To be completed by John Hancock Life & Health Insurance Co.)

We agree to accept custodianship and the transfer described above for the John Hancock IRA/Roth IRA plan established on behalf of the individual named above. John Hancock Life & Health Insurance Co. accepts its appointment as successor custodian of the above IRA/Roth IRA account and requests the liquidation of assets as indicated above.

Acceptance by Charles A. Rizzo
 Charles A. Rizzo—Vice President
 John Hancock Life & Health Insurance Co

Note: This plan is not effective until its receipt has been acknowledged through a confirmation statement mailed by the custodian to the depositor.

5. Signature, taxpayer identification number, and certification

Note: You must sign and enter your taxpayer identification number below. Your account cannot be established without this required information.

I authorize the transfer of my assets as indicated above. If I am establishing a new account, I hereby adopt this IRA/Roth IRA plan, appointing John Hancock Life & Health Insurance Co. to serve as custodian and to perform the administrative services of this plan. I have received and read the prospectus(es) for the fund(s) in which I am making my IRA/Roth IRA investment. In addition, I have received and read a copy of the IRA adoption agreement, custodial agreement, and disclosure statement, and I understand the eligibility requirements for the type of IRA deposit I am making, as well as any fees to which my account(s) may be subject. I understand that I am responsible for determining my eligibility for an IRA/Roth IRA each year I make a contribution, and that all contributions I make are within the limits set forth by the tax laws. I also assume complete responsibility for the tax consequences of any contributions (including rollover contributions) and distributions that I make. I acknowledge that identifying information is required before the account can be opened and is subject to verification by my financial professional, the fund, or its agents. If verification is unsuccessful, John Hancock may close my account, redeem my shares at the next net asset value, minus any applicable sales charges, and take other steps that it deems reasonable. I understand that, under certain circumstances, if no activity occurs in my account within a time period specified by my state's laws, my shares may be transferred to the appropriate state.

Note: The rules for transferring abandoned property vary state by state, so we suggest you contact your state's department of abandoned property if you have any questions regarding requirements.

5. Signature, taxpayer identification number, and certification (continued)

Certification required of U.S. persons only (including U.S. citizens, U.S. resident aliens, or other U.S. persons)

Under penalties of perjury, I certify that:

- 1 The number shown below is my correct taxpayer identification number,
- 2 I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding,
- 3 I am a U.S. citizen or other U.S. person, including a U.S. resident alien (as defined in the IRS Form W-9 instructions), and
- 4 The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Note: Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Please note that, by signing this form, you declare that you make the above certifications under penalties of perjury. Under penalties of perjury, I certify the above statements.

The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

SIGN
HERE

Signature of owner/inherited owner/custodian

Date signed (MM/DD/YYYY)

PRINT
HERE

Social Security number of owner, inherited owner, or minor
(Required to establish your account)

Signature guaranteed by:

PRINT
HERE

Print name of owner, inherited owner, or minor

Your resigning trustee or custodian may require that your signature be guaranteed. Please call them for requirements.
Note: Signature guarantee should not be dated.

Exemptions: See IRS Form W-9 instructions for exemption rules and exemption codes. Enter codes below, only if applicable. Generally, individuals are not exempt from backup withholding. FATCA codes apply to persons submitting this form for accounts maintained outside the United States by certain foreign financial institutions. If you are submitting this form for an account you hold in the United States, you may leave this field blank.

Exempt payee code (if any): _____

Exempt payee code (if any): _____

Exemption from FATCA reporting code (if any): _____

Exemption from FATCA reporting code (if any): _____

6. Mail

Note to resigning trustee/custodian: Be sure to return a copy of this IRA rollover/transfer form with your check for the account proceeds to:

✉ **Regular mail**
John Hancock Signature Services, Inc.
P.O. Box 219909
Kansas City, MO 64121-9909

✉ **Express mail**
John Hancock Signature Services, Inc.
430 West 7th Street
Suite 219909
Kansas City, MO 64105-1407