

403(b)(7) or Texas Optional Retirement Program (ORP) transfer request

Introduction


Instructions

Use this form to request the transfer of assets from your John Hancock 403(b)(7) or Texas ORP account to the 403(b)(7) or Texas ORP account of another investment provider. Please print in all capital letters and use black ink.

Contact us

 **Website**
jhinvestments.com

 **Phone**
800-432-1969

 **Return instructions**
See the end of this form for return instructions.

1. Your information

Name (First) _____ (MI) _____ (Last) _____

Social Security number (Required) _____ Date of birth (MM/DD/YYYY) _____ Phone number _____

Fund name or number _____ Account number _____

2. New custodian (New investment provider to which the assets in the account stated above will be transferred)

Custodian's name _____

Address (Street) _____ (City) _____ (State) _____ (Zip code) _____

Account number _____ Phone number _____

3. Amount transferred

I hereby direct John Hancock Signature Services, Inc. to make the following immediate transfer (please select one of the following):

- ☐ **Total distribution and account termination**
- ☐ **Partial distribution:** Specify amount \$ _____ or # of shares _____.

For Class B and Class C shares subject to a contingent deferred sales charge (CDSC), select one of the following. If you don't select either option, your distribution will be processed as gross.

- ☐ **Net:** The applicable CDSC taken from the account balance. Your check will be issued for the full amount requested.
- ☐ **Gross:** The applicable CDSC taken from the requested amount. Your check will be issued for the amount requested, less the CDSC amount.

4. Signature of participant

This transaction is a transfer of assets/contract exchange from an existing John Hancock 403(b)(7) or Texas Optional Retirement Program (ORP) mutual fund account to a new investment contract or mutual fund account with another investment provider under the same employer's 403(b) or Texas ORP. By signing below, I certify that I understand that I am responsible for any and all tax consequences that may result from this transfer of assets/contract exchange. The final 403(b) regulations require that certain information be shared between employers sponsoring 403(b) plans and plan custodians. As a result, all transfer of assets/contract exchanges will require an agreement between the employer or the employer's representative and the custodian to share certain participant information as needed to properly administer the participant contract/mutual fund account. This agreement must have been in place no later than January 1, 2009, for the transfer of assets/exchanged contract to constitute a valid 403(b) contract/mutual fund account under regulations. Failure to comply with this requirement may result in a transaction being treated as a taxable distribution. In addition, if a participant is under the age of 59½ (70½ for Texas ORP accounts), an additional 10% early withdrawal penalty may apply.

I hereby request the transfer of all or part of my account to the custodian listed in Section 2, with whom I've already established a 403(b)/Texas ORP account. I acknowledge that federal or state income-tax withholding elections apply to transfer of assets/contract exchanges. I certify that this distribution is in accordance with the terms of the custodial agreement.

SIGN
HERE

Signature of participant

Date signed (MM/DD/YYYY)

5. Signature of plan administrator

I, the undersigned, authorize John Signature Services, Inc. to make the distribution as requested by the participant. I understand it's my responsibility to ensure the distribution complies with plan requirements.

SIGN
HERE

Signature of employer/plan administrator

Date signed (MM/DD/YYYY)

6. Acceptance by new custodian/issuer

In order to ensure that the requested transfer of the participant's John Hancock 403(b)(7) or Texas ORP account described above will satisfy the regulations under Section 403(b) of the Internal Revenue Code (regulations), the undersigned certifies that he or she is an authorized signer for the transferee named below and represents and agrees as follows: (1) he or she will verify that the employer maintains (or will maintain on or before January 1, 2009, or such later compliance date as may be established) a written plan complying with the regulations that, among other things, provides for the exchange or transfer; (2) the recipient 403(b) contract, 403(b)(7), or Texas ORP account to which the participant is transferring has distribution restrictions with respect to the participant that are at least as restrictive as those imposed under the John Hancock 403(b)(7) or Texas ORP account being transferred; (3) the accumulated benefit under the receiving contract or account immediately after the transfer is at least equal to the accumulated benefit under the transfer or John Hancock 403(b)(7) or Texas ORP account immediately prior to the transfer; and (4) the transferee has, by December 31, 2008, entered into an information-sharing agreement with the employer under which the employer and the transferee will, from time to time, in the future, provide each other with information as required under the Regulations.

SIGN
HERE

Authorized signature of custodian/Issuer

Date signed (MM/DD/YYYY)

PRINT
HERE

Print name

7. Mail

Your current custodian may have additional requirements. Please contact your custodian for additional information relevant to this transfer of assets.



Regular mail

John Hancock Signature Services, Inc.
P.O. Box 219909
Kansas City, MO 64121-9909

Express mail

John Hancock Signature Services, Inc.
801 Pennsylvania Avenue
Suite 219909
Kansas City, MO 64105-1307