

# Investment Company Report

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## 2X21 JHF Financial Opportunities Fund

### 1ST SOURCE CORPORATION

Security: 336901103

Ticker: SRCE

ISIN: US3369011032

Agenda Number: 935340896

Meeting Type: Annual

Meeting Date: 22-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director for term expiring April 2024: Tracy D. Graham	Mgmt	For	For
1B.	Election of Director for term expiring April 2024: Ronda Shrewsbury Weybright	Mgmt	For	For
1C.	Election of Director for term expiring April 2024: Melody Birmingham	Mgmt	For	For
1D.	Election of Director for term expiring April 2024: Mark D. Schwabero	Mgmt	Against	Against
2.	Ratification of the appointment of BKD LLP as 1st Source Corporation's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### AMERICAN BUSINESS BANK

Security: 02475L105

Ticker: AMBZ

ISIN: US02475L1052

Agenda Number: 935242987

Meeting Type: Annual

Meeting Date: 21-Jul-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Leon I. Blankstein	Mgmt	For	For
2	Donald P. Johnson	Mgmt	Withheld	Against
3	Gaurav Malhotra	Mgmt	For	For
4	Edith Matthai	Mgmt	For	For
5	Trent D. Merrill	Mgmt	Withheld	Against
6	Javier Sanchez	Mgmt	For	For
7	Robert F. Schack	Mgmt	Withheld	Against
8	Jon H. Schlobohm	Mgmt	For	For
9	Robert I. Usdan	Mgmt	Withheld	Against
10	Pasy Wang	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	To ratify the appointment of RSM US LLP as the Bank's independent public accountants as described more fully in the accompanying proxy statement.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### AMERICAN BUSINESS BANK

Security: 02475L105

Ticker: AMBZ

ISIN: US02475L1052

Agenda Number: 935407773

Meeting Type: Annual

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Leon I. Blankstein	Mgmt	For	For
2	Donald P. Johnson	Mgmt	Withheld	Against
3	Gaurav Malhotra	Mgmt	For	For
4	Edith Matthai	Mgmt	For	For
5	Trent D. Merrill	Mgmt	Withheld	Against
6	Javier Sanchez	Mgmt	For	For
7	Robert F. Schack	Mgmt	Withheld	Against
8	John H. Schlobohm	Mgmt	For	For
9	Robert I. Usdan	Mgmt	Withheld	Against
10	Pasy Wang	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	To ratify the appointment of RSM US LLP as the Bank's independent public accountants as described more fully in the accompanying proxy statement.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### AMERICAN NATIONAL BANKSHARES INC.

Security: 027745108

Ticker: AMNB

ISIN: US0277451086

Agenda Number: 935393885

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Michael P. Haley	Mgmt	For	For
2	Charles S. Harris	Mgmt	For	For
3	F. D. Hornaday, III	Mgmt	For	For
2.	To ratify the selection of Yount, Hyde & Barbour, P.C., independent registered public accounting firm, as auditors of the company for the year ending December 31, 2021.	Mgmt	For	For
3.	Advisory Vote on Executive compensation of the Company's named executive officers as disclosed in the proxy statement.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### AMERICAN RIVER BANKSHARES

Security: 029326105

Ticker: AMRB

ISIN: US0293261055

Agenda Number: 935379481

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Nicolas C. Anderson	Mgmt	For	For
2	Kimberly A. Box	Mgmt	For	For
3	Charles D. Fite	Mgmt	For	For
4	Jeffery Owensby	Mgmt	For	For
5	Julie A. Raney	Mgmt	For	For
6	William A. Robotham	Mgmt	For	For
7	David E. Ritchie Jr.	Mgmt	For	For
8	Philip A. Wright	Mgmt	For	For
2.	To ratify the selection of Crowe, LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	To approve, on an advisory (non-binding) basis, the Company's named executive officer compensation.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### AMERICAN RIVIERA BANK

Security: 02932A106

Ticker: ARBV

ISIN: US02932A1060

Agenda Number: 935417205

Meeting Type: Annual

Meeting Date: 16-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Elizabeth Cholawsky	Mgmt	For	For
2	Darren D. Caesar	Mgmt	For	For
3	Joe Campanelli	Mgmt	For	For
4	Jeff DeVine	Mgmt	For	For
5	Leonard Himelsein	Mgmt	For	For
6	Jody D. Holehouse, CPA	Mgmt	For	For
7	Weldon U Howell Jr. Esq	Mgmt	For	For
8	Lawrence Koppelman	Mgmt	For	For
9	Douglas Margerum	Mgmt	For	For
2.	To ratify the selection of Crowe LLP as the Bank's independent registered public accounting firm.	Mgmt	For	For



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### AMERIS BANCORP

Security: 03076K108

Ticker: ABCB

ISIN: US03076K1088

Agenda Number: 935411138

Meeting Type: Annual

Meeting Date: 10-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of director to serve until the Company 2022 Annual Meeting: William I. Bowen, Jr.	Mgmt	For	For
1B.	Election of director to serve until the Company 2022 Annual Meeting: Rodney D. Bullard	Mgmt	For	For
1C.	Election of director to serve until the Company 2022 Annual Meeting: Wm. Millard Choate	Mgmt	For	For
1D.	Election of director to serve until the Company 2022 Annual Meeting: R. Dale Ezzell	Mgmt	For	For
1E.	Election of director to serve until the Company 2022 Annual Meeting: Leo J. Hill	Mgmt	For	For
1F.	Election of director to serve until the Company 2022 Annual Meeting: Daniel B. Jeter	Mgmt	For	For
1G.	Election of director to serve until the Company 2022 Annual Meeting: Robert P. Lynch	Mgmt	For	For
1H.	Election of director to serve until the Company 2022 Annual Meeting: Elizabeth A. McCague	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1I.	Election of director to serve until the Company 2022 Annual Meeting: James B. Miller, Jr.	Mgmt	For	For
1J.	Election of director to serve until the Company 2022 Annual Meeting: Gloria A. O'Neal	Mgmt	For	For
1K.	Election of director to serve until the Company 2022 Annual Meeting: H. Palmer Proctor, Jr.	Mgmt	For	For
1L.	Election of director to serve until the Company 2022 Annual Meeting: William H. Stern	Mgmt	For	For
1M.	Election of director to serve until the Company 2022 Annual Meeting: Jimmy D. Veal	Mgmt	For	For
2.	Ratification of the appointment of Crowe LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For
3.	Advisory approval of the compensation of the Company's named executive officers.	Mgmt	For	For
4.	Approval of the Ameris Bancorp 2021 Omnibus Equity Incentive Plan.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### ARES MANAGEMENT CORPORATION

Security: 03990B101

Ticker: ARES

ISIN: US03990B1017

Agenda Number: 935426329

Meeting Type: Annual

Meeting Date: 16-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Michael J Arougheti	Mgmt	Against	Against
1B.	Election of Director: Antoinette Bush	Mgmt	Against	Against
1C.	Election of Director: Paul G. Joubert	Mgmt	Against	Against
1D.	Election of Director: R. Kipp deVeer	Mgmt	Against	Against
1E.	Election of Director: David B. Kaplan	Mgmt	Against	Against
1F.	Election of Director: Michael Lynton	Mgmt	Against	Against
1G.	Election of Director: Dr. Judy D. Olian	Mgmt	Against	Against
1H.	Election of Director: Antony P. Ressler	Mgmt	Against	Against
1I.	Election of Director: Bennett Rosenthal	Mgmt	Against	Against
2.	The ratification of the appointment of Ernst & Young LLP as our independent registered public accounting firm for our 2021 fiscal year.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### ASSURED GUARANTY LTD.

**Security:** G0585R106

**Ticker:** AGO

**ISIN:** BMG0585R1060

**Agenda Number:** 935359162

**Meeting Type:** Annual

**Meeting Date:** 05-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Francisco L. Borges	Mgmt	For	For
1B.	Election of Director: G. Lawrence Buhl	Mgmt	For	For
1C.	Election of Director: Dominic J. Frederico	Mgmt	For	For
1D.	Election of Director: Bonnie L. Howard	Mgmt	For	For
1E.	Election of Director: Thomas W. Jones	Mgmt	For	For
1F.	Election of Director: Patrick W. Kenny	Mgmt	For	For
1G.	Election of Director: Alan J. Kreczko	Mgmt	For	For
1H.	Election of Director: Simon W. Leathes	Mgmt	For	For
1I.	Election of Director: Michelle McCloskey	Mgmt	For	For
1J.	Election of Director: Michael T. O'Kane	Mgmt	For	For
1K.	Election of Director: Yukiko Omura	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Lorin P.T. Radtke	Mgmt	For	For
1M.	Election of Director: Courtney C. Shea	Mgmt	For	For
2.	Advisory vote on the compensation paid to the Company's named executive officers.	Mgmt	For	For
3.	Appointment of PricewaterhouseCoopers LLP as the independent auditor of the Company for the fiscal year ending December 31, 2021 and authorization of the Board of Directors, acting through its Audit Committee, to set the remuneration of the independent auditor of the Company.	Mgmt	For	For
4AA	Election of Director: Howard W. Albert	Mgmt	For	For
4AB	Election of Director: Robert A. Bailenson	Mgmt	For	For
4AC	Election of Director: Russell B. Brewer II	Mgmt	For	For
4AD	Election of Director: Gary Burnet	Mgmt	For	For
4AE	Election of Director: Ling Chow	Mgmt	For	For
4AF	Election of Director: Stephen Donnarumma	Mgmt	For	For
4AG	Election of Director: Dominic J. Frederico	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
4AH	Election of Director: Darrin Futter	Mgmt	For	For
4AI	Election of Director: Walter A. Scott	Mgmt	For	For
4B.	Appoint PricewaterhouseCoopers LLP as the independent auditor of Assured Guaranty Re Ltd. for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### ATLANTIC CAPITAL BANCSHARES, INC.

Security: 048269203

Ticker: ACBI

ISIN: US0482692037

Agenda Number: 935395497

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Walter M. Deriso, Jr.	Mgmt	For	For
2	Shantella E. Cooper	Mgmt	For	For
3	David H. Eidson	Mgmt	For	For
4	Henchy R. Enden	Mgmt	For	For
5	James H. Graves	Mgmt	For	For
6	Douglas J. Hertz	Mgmt	For	For
7	Thomas M. Holder	Mgmt	For	For
8	Lizanne Thomas	Mgmt	For	For
9	Douglas L. Williams	Mgmt	For	For
10	Marietta Edmunds Zakas	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	To ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	To approve, on a non-binding advisory basis, the compensation of our Named Executive Officers.	Mgmt	For	For
4.	To approve, on a non-binding advisory basis, the frequency of future shareholder advisory votes on the compensation of our Named Executive Officers.	Mgmt	1 Year	For



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## 2X21 JHF Financial Opportunities Fund

### ATLANTIC UNION BANKSHARES CORPORATION

Security: 04911A107

Ticker: AUB

ISIN: US04911A1079

Agenda Number: 935356205

Meeting Type: Annual

Meeting Date: 04-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Director to serve until the 2022 Annual Meeting: Thomas P. Rohman	Mgmt	For	For
1.2	Election of Director to serve until the 2022 Annual Meeting: Thomas G. Snead, Jr.	Mgmt	For	For
1.3	Election of Director to serve until the 2022 Annual Meeting: Ronald L. Tillett	Mgmt	For	For
1.4	Election of Director to serve until the 2022 Annual Meeting: Keith L. Wampler	Mgmt	For	For
1.5	Election of Director to serve until the 2022 Annual Meeting: F. Blair Wimbush	Mgmt	For	For
2.	To approve the amendment and restatement of the Atlantic Union Bankshares Corporation Stock and Incentive Plan.	Mgmt	For	For
3.	To ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For
4.	To approve, on an advisory (non-binding) basis, the Company's executive compensation.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### AVIDBANK HOLDINGS, INC.

Security: 05368J103

Ticker: AVBH

ISIN: US05368J1034

Agenda Number: 935389141

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Mark D. Mordell	Mgmt	For	For
2	Kristofer W. Biorn	Mgmt	For	For
3	Kenneth D. Brenner	Mgmt	For	For
4	Diane J. Flynn	Mgmt	For	For
5	Lisa B. Hendrickson	Mgmt	For	For
6	Bryan C. Polster	Mgmt	For	For
7	Roxy H. Rapp	Mgmt	For	For
8	Michael F. Rosinus	Mgmt	For	For
9	Robert H. Scott	Mgmt	For	For
10	Marc J. Verissimo	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	RATIFICATION OF CROWE LLP AS AUDITORS OF THE COMPANY FOR THE YEAR ENDED DECEMBER 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BANK OF AMERICA CORPORATION

Security: 060505104

Ticker: BAC

ISIN: US0605051046

Agenda Number: 935345670

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Sharon L. Allen	Mgmt	For	For
1B.	Election of Director: Susan S. Bies	Mgmt	For	For
1C.	Election of Director: Frank P. Bramble, Sr.	Mgmt	For	For
1D.	Election of Director: Pierre J.P. de Weck	Mgmt	For	For
1E.	Election of Director: Arnold W. Donald	Mgmt	For	For
1F.	Election of Director: Linda P. Hudson	Mgmt	For	For
1G.	Election of Director: Monica C. Lozano	Mgmt	For	For
1H.	Election of Director: Thomas J. May	Mgmt	For	For
1I.	Election of Director: Brian T. Moynihan	Mgmt	For	For
1J.	Election of Director: Lionel L. Nowell III	Mgmt	For	For
1K.	Election of Director: Denise L. Ramos	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Clayton S. Rose	Mgmt	For	For
1M.	Election of Director: Michael D. White	Mgmt	For	For
1N.	Election of Director: Thomas D. Woods	Mgmt	For	For
1O.	Election of Director: R. David Yost	Mgmt	For	For
1P.	Election of Director: Maria T. Zuber	Mgmt	For	For
2.	Approving our executive compensation (an advisory, nonbinding "Say on Pay" resolution).	Mgmt	For	For
3.	Ratifying the appointment of our independent registered public accounting firm for 2021.	Mgmt	For	For
4.	Amending and restating the Bank of America Corporation Key Employee Equity Plan.	Mgmt	For	For
5.	Shareholder proposal requesting amendments to our proxy access by law.	Shr	For	Against
6.	Shareholder proposal requesting amendments to allow shareholders to act by written consent.	Shr	For	Against
7.	Shareholder proposal requesting a change in organizational form.	Shr	Against	For
8.	Shareholder proposal requesting a racial equity audit.	Shr	For	Against

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## 2X21 JHF Financial Opportunities Fund

### BANK OF COMMERCE HOLDINGS

Security: 06424J103

Ticker: BOCH

ISIN: US06424J1034

Agenda Number: 935380460

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Orin N. Bennett	Mgmt	For	For
2	Randall S. Eslick	Mgmt	For	For
3	Joseph Q. Gibson	Mgmt	For	For
4	Jon W. Halfhide	Mgmt	For	For
5	David J. Inderkum	Mgmt	For	For
6	Linda J. Miles	Mgmt	For	For
7	Diane D. Miller	Mgmt	For	For
8	Karl L. Silberstein	Mgmt	For	For
9	Terence J. Street	Mgmt	For	For
10	Lyle L. Tullis	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of the selection of Moss Adams LLP as the Company's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	An advisory vote to approve the compensation of the Company's named executive officers (the "say-on-pay" vote).	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BANK OF MARIN BANCORP

Security: 063425102

Ticker: BMRC

ISIN: US0634251021

Agenda Number: 935461234

Meeting Type: Annual

Meeting Date: 29-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Steven I. Barlow	Mgmt	Withheld	Against
2	Russell A. Colombo	Mgmt	Withheld	Against
3	James C. Hale	Mgmt	Withheld	Against
4	Robert Heller	Mgmt	Withheld	Against
5	Norma J. Howard	Mgmt	Withheld	Against
6	Kevin R. Kennedy	Mgmt	Withheld	Against
7	William H. McDevitt, Jr	Mgmt	Withheld	Against
8	Leslie E. Murphy	Mgmt	Withheld	Against
9	Sanjiv S. Sanghvi	Mgmt	For	For
10	Joel Sklar, MD	Mgmt	Withheld	Against
11	Brian M. Sobel	Mgmt	Withheld	Against



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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Secil Tabli Watson	Mgmt	For	For
2.	To vote, on an advisory basis, to approve the Company's executive compensation for Named Executive Officers.	Mgmt	For	For
3.	To ratify the selection of independent auditor.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BAR HARBOR BANKSHARES

Security: 066849100

Ticker: BHB

ISIN: US0668491006

Agenda Number: 935375178

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Daina H. Belair	Mgmt	For	For
1B.	Election of Director: Matthew L. Caras	Mgmt	For	For
1C.	Election of Director: David M. Colter	Mgmt	For	For
1D.	Election of Director: Steven H. Dimick	Mgmt	For	For
1E.	Election of Director: Martha T. Dudman	Mgmt	For	For
1F.	Election of Director: Lauri E. Fernald	Mgmt	For	For
1G.	Election of Director: Brendan J. O'Halloran	Mgmt	For	For
1H.	Election of Director: Curtis C. Simard	Mgmt	For	For
1I.	Election of Director: Kenneth E. Smith	Mgmt	For	For
1J.	Election of Director: Stephen R. Theroux	Mgmt	For	For
1K.	Election of Director: Scott G. Toothaker	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: David B. Woodside	Mgmt	For	For
2.	APPROVAL OF NON-BINDING, ADVISORY RESOLUTION ON THE 2020 COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Mgmt	For	For
3.	RATIFICATION OF THE APPOINTMENT OF RSM US LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BAYCOM CORP

Security: 07272M107

Ticker: BCML

ISIN: US07272M1071

Agenda Number: 935424654

Meeting Type: Annual

Meeting Date: 15-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	James S. Camp	Mgmt	Withheld	Against
2	Harpreet S. Chaudhary	Mgmt	Withheld	Against
3	Rocco Davis	Mgmt	For	For
4	George J. Guarini	Mgmt	For	For
5	Malcolm F. Hotchkiss	Mgmt	Withheld	Against
6	Lloyd W. Kendall Jr.	Mgmt	Withheld	Against
7	Robert G. Laverne, MD	Mgmt	For	For
8	Syvia L. Magid	Mgmt	For	For
9	David M. Spatz	Mgmt	Withheld	Against
2.	Ratification of the appointment of auditors, Moss Adams, LLP for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BERKSHIRE HILLS BANCORP, INC.

Security: 084680107

Ticker: BHLB

ISIN: US0846801076

Agenda Number: 935380600

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Baye Adofo-Wilson	Mgmt	For	For
2	Deborah P. Bailey	Mgmt	For	For
3	Rheo A. Brouillard	Mgmt	For	For
4	David M. Brunelle	Mgmt	For	For
5	Robert M. Curley	Mgmt	For	For
6	John B. Davies	Mgmt	For	For
7	J. Williar Dunlaevy	Mgmt	For	For
8	William H. Hughes III	Mgmt	For	For
9	Sylvia Maxfield	Mgmt	For	For
10	Nitin J. Mhatre	Mgmt	For	For
11	Laurie Norton Moffatt	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Jonathan I. Shulman	Mgmt	For	For
13	Michael A. Zaitzeff	Mgmt	For	For
2.	To consider a non-binding proposal to give advisory approval of Berkshire's executive compensation as described in the Proxy Statement.	Mgmt	For	For
3.	To ratify the appointment of Crowe LLP as Berkshire's Independent Registered Public Accounting firm for fiscal year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BROOKFIELD ASSET MANAGEMENT INC.

Security: 112585104

Ticker: BAM

ISIN: CA1125851040

Agenda Number: 935433994

Meeting Type: Annual

Meeting Date: 11-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1	DIRECTOR			
1	M. Elyse Allan	Mgmt	For	For
2	Angela F. Braly	Mgmt	For	For
3	Janice Fukakusa	Mgmt	For	For
4	Maureen Kempston Darkes	Mgmt	For	For
5	Frank J. McKenna	Mgmt	For	For
6	Hutham S. Olayan	Mgmt	For	For
7	Seek Ngee Huat	Mgmt	For	For
8	Diana L. Taylor	Mgmt	For	For
2	The appointment of Deloitte LLP as the external auditor and authorizing the directors to set its remuneration.	Mgmt	For	For
3	The Say on Pay Resolution set out in the Corporation's Management Information Circular dated April 30, 2021 (the "Circular").	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BRYN MAWR BANK CORPORATION

Security: 117665109

Ticker: BMTC

ISIN: US1176651099

Agenda Number: 935347523

Meeting Type: Annual

Meeting Date: 22-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Class III Director for the term to expire in 2025: Wendell F. Holland	Mgmt	For	For
1.2	Election of Class III Director for the term to expire in 2025: Diego F. Calderin	Mgmt	For	For
2.	SAY-ON-PAY: To approve a non-binding advisory vote on Executive Officer Compensation.	Mgmt	For	For
3.	RATIFICATION OF AUDITORS: To ratify the appointment of KPMG LLP as the independent registered public accounting firm for Bryn Mawr Bank Corporation for the fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### BRYN MAWR BANK CORPORATION

Security: 117665109

Ticker: BMTC

ISIN: US1176651099

Agenda Number: 935435708

Meeting Type: Special

Meeting Date: 10-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	Approval of the Agreement and Plan of Merger, dated as of March 9, 2021, by and between WSFS Financial Corporation ("WSFS") and Bryn Mawr Bank Corporation ("Bryn Mawr"), pursuant to which, among other things, Bryn Mawr will merge with and into WSFS and, simultaneously with the merger, The Bryn Mawr Trust Company will merge with and into Wilmington Savings Fund Society, FSB ("Merger Proposal").	Mgmt	For	For
2.	An advisory (non-binding) proposal to approve the specified compensation that may become payable to the named executive officers of Bryn Mawr in connection with the merger.	Mgmt	Against	Against
3.	Approval of one or more adjournments of the special meeting, if necessary or appropriate, to solicit additional proxies in favor of approval of the Merger Proposal.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### BUSINESS FIRST BANCSHARES, INC.

Security: 12326C105

Ticker: BFST

ISIN: US12326C1053

Agenda Number: 935405200

Meeting Type: Annual

Meeting Date: 27-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Drew C. Brees	Mgmt	For	For
1B.	Election of Director: James J. Buquet, III	Mgmt	For	For
1C.	Election of Director: Carol M. Calkins	Mgmt	For	For
1D.	Election of Director: Ricky D. Day	Mgmt	For	For
1E.	Election of Director: John P. Ducrest	Mgmt	For	For
1F.	Election of Director: Mark P. Folse	Mgmt	For	For
1G.	Election of Director: Robert S. Greer, Jr.	Mgmt	For	For
1H.	Election of Director: J. Vernon Johnson	Mgmt	For	For
1I.	Election of Director: Rolfe H. McCollister, Jr.	Mgmt	For	For
1J.	Election of Director: Andrew D. McLindon	Mgmt	For	For
1K.	Election of Director: David R. Melville, III	Mgmt	For	For

# Investment Company Report

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Patrick E. Mockler	Mgmt	For	For
1M.	Election of Director: David A. Montgomery, Jr.	Mgmt	For	For
1N.	Election of Director: Arthur J. Price	Mgmt	For	For
1O.	Election of Director: Kenneth Wm. Smith	Mgmt	For	For
1P.	Election of Director: Keith A. Tillage	Mgmt	For	For
1Q.	Election of Director: Steven G. White	Mgmt	For	For
2.	To approve, in a non-binding vote, the compensation of our named executive officers.	Mgmt	For	For
3.	Vote, on an advisory basis, on the frequency of executive compensation advisory votes.	Mgmt	1 Year	For
4.	To ratify the appointment of Dixon Hughes Goodman, LLP as auditor for the Company for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CADENCE BANCORPORATION

Security: 12739A100

Ticker: CADE

ISIN: US12739A1007

Agenda Number: 935362260

Meeting Type: Annual

Meeting Date: 06-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Paul B. Murphy, Jr.	Mgmt	For	For
2	Precious W. Owodunni	Mgmt	For	For
3	Marc J. Shapiro	Mgmt	For	For
4	J. Thomas Wiley, Jr.	Mgmt	For	For
2.	Approval (on an advisory basis) of the compensation of the Company's named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of Ernst & Young LLP to serve as the Company's independent registered public accounting firm for fiscal year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CALIFORNIA BANCORP

Security: 13005U101

Ticker: CALB

ISIN: US13005U1016

Agenda Number: 935245983

Meeting Type: Annual

Meeting Date: 06-Aug-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Stephen A. Cortese	Mgmt	For	For
2	Andrew J. Armanino	Mgmt	For	For
3	Kevin J. Cullen	Mgmt	For	For
4	Stephen R. Dathe	Mgmt	For	For
5	Wayne S. Doiguchi	Mgmt	Withheld	Against
6	Donald J. Kintzer	Mgmt	For	For
7	Rochelle G. Klein	Mgmt	For	For
8	Frank L. Muller	Mgmt	For	For
9	Steven E. Shelton	Mgmt	For	For
10	Edmond E. Traille	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of the Appointment of Independent Public Accounting Firm. To ratify the appointment of Crowe LLP as our independent public accounting firm for the fiscal year ending December 31, 2020.	Mgmt	For	For
3.	Approval of Amendment and Restatement of 2017 Equity Incentive Plan. To approve an amendment and restatement of our 2017 Equity Incentive Plan that will, among other things, increase the number of shares issuable under the plan by 500,000 shares.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### CALIFORNIA BANCORP

Security: 13005U101

Ticker: CALB

ISIN: US13005U1016

Agenda Number: 935411405

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Stephen A. Cortese	Mgmt	For	For
2	Andrew J. Armanino	Mgmt	For	For
3	Kevin J. Cullen	Mgmt	For	For
4	Stephen R. Dathe	Mgmt	For	For
5	Wayne S. Doiguchi	Mgmt	For	For
6	Donald J. Kintzer	Mgmt	For	For
7	Rochelle G. Klein	Mgmt	For	For
8	Julie J. Levenson	Mgmt	For	For
9	Frank L. Muller	Mgmt	For	For
10	Steven E. Shelton	Mgmt	For	For
11	Edmond E. Traille	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of the Appointment of Independent Public Accounting Firm. To ratify the appointment of Crowe LLP as our independent public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### CAMBRIDGE BANCORP

Security: 132152109

Ticker: CATC

ISIN: US1321521098

Agenda Number: 935363337

Meeting Type: Annual

Meeting Date: 17-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Jeanette G. Clough	Mgmt	For	For
2	Hambleton Lord	Mgmt	For	For
3	R. Gregg Stone	Mgmt	For	For
4	Simon R. Gerlin	Mgmt	For	For
5	Kathryn M. Hinderhofer	Mgmt	For	For
6	Thomas J. Fontaine	Mgmt	For	For
2.	Consideration and approval of a non-binding advisory resolution on the compensation of the Company's named executive officers.	Mgmt	For	For
3.	To ratify, on an advisory basis, the appointment of Wolf & Company, P.C. as the Company's independent registered public accounting firm for the fiscal year ending December 31,2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CAMDEN NATIONAL CORPORATION

Security: 133034108

Ticker: CAC

ISIN: US1330341082

Agenda Number: 935341103

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Ann W. Bresnahan	Mgmt	For	For
1B.	Election of Director: Gregory A. Dufour	Mgmt	For	For
1C.	Election of Director: S. Catherine Longley	Mgmt	For	For
1D.	Election of Director: Carl J. Soderberg	Mgmt	For	For
2.	To approve, by non-binding advisory vote, the compensation of the Company's named executive officers ("Say-on-Pay").	Mgmt	For	For
3.	To ratify the appointment of RSM US LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CENTRAL VALLEY COMMUNITY BANCORP

Security: 155685100

Ticker: CVCY

ISIN: US1556851004

Agenda Number: 935379354

Meeting Type: Annual

Meeting Date: 19-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Daniel N. Cunningham	Mgmt	For	For
2	Daniel J. Doyle	Mgmt	Withheld	Against
3	F.T "Tommy" Elliott, IV	Mgmt	For	For
4	Robert J. Flautt	Mgmt	Withheld	Against
5	James M. Ford	Mgmt	For	For
6	Gary D. Gall	Mgmt	Withheld	Against
7	Andriana D. Majarian	Mgmt	For	For
8	Steven D. McDonald	Mgmt	For	For
9	Louis McMurray	Mgmt	For	For
10	Karen Musson	Mgmt	For	For
11	Dorothea D. Silva	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	William S. Smittcamp	Mgmt	For	For
2.	To approve the proposal to amend the bylaws to increase the range of the size of the Board of Directors to a range of nine (9) to fifteen (15) persons.	Mgmt	For	For
3.	To approve the proposal to ratify the appointment of Crowe LLP as the independent registered public accounting firm for the Company's 2021 fiscal year.	Mgmt	For	For
4.	Adopt a non-binding advisory resolution approving executive compensation.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CITIGROUP INC.

Security: 172967424

Ticker: C

ISIN: US1729674242

Agenda Number: 935349515

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Ellen M. Costello	Mgmt	For	For
1B.	Election of Director: Grace E. Dailey	Mgmt	For	For
1C.	Election of Director: Barbara J. Desoer	Mgmt	For	For
1D.	Election of Director: John C. Dugan	Mgmt	For	For
1E.	Election of Director: Jane N. Fraser	Mgmt	For	For
1F.	Election of Director: Duncan P. Hennes	Mgmt	For	For
1G.	Election of Director: Peter B. Henry	Mgmt	For	For
1H.	Election of Director: S. Leslie Ireland	Mgmt	For	For
1I.	Election of Director: Lew W. (Jay) Jacobs, IV	Mgmt	For	For
1J.	Election of Director: Renée J. James	Mgmt	For	For
1K.	Election of Director: Gary M. Reiner	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Diana L. Taylor	Mgmt	For	For
1M.	Election of Director: James S. Turley	Mgmt	For	For
1N.	Election of Director: Deborah C. Wright	Mgmt	For	For
1O.	Election of Director: Alexander R. Wynaendts	Mgmt	For	For
1P.	Election of Director: Ernesto Zedillo Ponce de Leon	Mgmt	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Advisory vote to approve Citi's 2020 Executive Compensation.	Mgmt	For	For
4.	Approval of additional authorized shares under the Citigroup 2019 Stock Incentive Plan.	Mgmt	For	For
5.	Stockholder proposal requesting an amendment to Citi's proxy access by-law provisions pertaining to the aggregation limit.	Shr	For	Against
6.	Stockholder proposal requesting an Independent Board Chairman.	Shr	For	Against
7.	Stockholder proposal requesting non-management employees on director nominee candidate lists.	Shr	Against	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
8.	Stockholder proposal requesting a report disclosing information regarding Citi's lobbying payments, policies and activities.	Shr	For	Against
9.	Stockholder proposal requesting a racial equity audit analyzing Citi's adverse impacts on nonwhite stakeholders and communities of color.	Shr	For	Against
10.	Stockholder proposal requesting that the Board approve an amendment to Citi's Certificate of Incorporation to become a Public Benefit Corporation and to submit the proposed amendment to stockholders for approval.	Shr	Against	For

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## 2X21 JHF Financial Opportunities Fund

### CITIZENS COMMUNITY BANCORP, INC.

Security: 174903104

Ticker: CZWI

ISIN: US1749031043

Agenda Number: 935427511

Meeting Type: Annual

Meeting Date: 22-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Kristina M. Bourget	Mgmt	For	For
2	Timothy L. Olson	Mgmt	For	For
2.	Ratification of the appointment of Eide Bailly, LLP as Citizens' independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	Advisory resolution to approve executive compensation.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### CITIZENS FINANCIAL GROUP, INC.

Security: 174610105

Ticker: CFG

ISIN: US1746101054

Agenda Number: 935342826

Meeting Type: Annual

Meeting Date: 22-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Bruce Van Saun	Mgmt	For	For
1B.	Election of Director: Lee Alexander	Mgmt	For	For
1C.	Election of Director: Christine M. Cumming	Mgmt	For	For
1D.	Election of Director: William P. Hankowsky	Mgmt	For	For
1E.	Election of Director: Leo I. ("Lee") Higdon	Mgmt	For	For
1F.	Election of Director: Edward J. ("Ned") Kelly III	Mgmt	For	For
1G.	Election of Director: Charles J. ("Bud") Koch	Mgmt	For	For
1H.	Election of Director: Robert G. Leary	Mgmt	For	For
1I.	Election of Director: Terrance J. Lillis	Mgmt	For	For
1J.	Election of Director: Shivan Subramaniam	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director: Christopher J. Swift	Mgmt	For	For
1L.	Election of Director: Wendy A. Watson	Mgmt	For	For
1M.	Election of Director: Marita Zuraitis	Mgmt	For	For
2.	Advisory vote on executive compensation.	Mgmt	For	For
3.	Advisory vote on the frequency of future advisory votes on executive compensation.	Mgmt	1 Year	For
4.	Ratification of the appointment of Deloitte & Touche LLP as our independent registered public accounting firm for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CITY HOLDING COMPANY

Security: 177835105

Ticker: CHCO

ISIN: US1778351056

Agenda Number: 935375988

Meeting Type: Annual

Meeting Date: 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Class I Director to serve for a term of three years: J. Thomas Jones	Mgmt	For	For
1.2	Election of Class I Director to serve for a term of three years: James L. Rossi	Mgmt	For	For
1.3	Election of Class I Director to serve for a term of three years: Diane W. Strong-Treister	Mgmt	For	For
2.	Proposal to ratify, on an advisory basis, the Audit Committee and the Board of Directors' appointment of Crowe LLP as the independent registered public accounting firm for City Holding Company for 2021.	Mgmt	For	For
3.	Proposal to approve a non-binding advisory proposal on the compensation of the Named Executive Officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CIVISTA BANCSHARES, INC.

Security: 178867107

Ticker: CIVB

ISIN: US1788671071

Agenda Number: 935346569

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Thomas A. Depler	Mgmt	For	For
2	Julie A. Mattlin	Mgmt	For	For
3	James O. Miller	Mgmt	For	For
4	Dennis E. Murray, Jr.	Mgmt	For	For
5	Allen R. Nickles	Mgmt	For	For
6	Mary Patricia Oliver	Mgmt	For	For
7	William F. Ritzmann	Mgmt	For	For
8	Dennis G. Shaffer	Mgmt	For	For
9	Harry Singer	Mgmt	For	For
10	Daniel J. White	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	To approve, on a non-binding advisory basis, the compensation of the Corporation's named executive officers as disclosed in the accompanying proxy statement.	Mgmt	For	For
3.	To ratify the appointment of BKD, LLP as the independent registered public accounting firm of the Corporation for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### COASTAL FINANCIAL CORPORATION

Security: 19046P209

Ticker: CCB

ISIN: US19046P2092

Agenda Number: 935390459

Meeting Type: Annual

Meeting Date: 24-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Sadhana Akella-Mishra	Mgmt	Withheld	Against
2	Sarah Elliott	Mgmt	For	For
3	Eric M. Sprink	Mgmt	For	For
4	Pamela Unger	Mgmt	For	For
2.	To approve the First Amendment to the Coastal Financial Corporation 2018 Omnibus Incentive Plan.	Mgmt	For	For
3.	To ratify the selection of Moss Adams LLP as the independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### COLUMBIA BANKING SYSTEM,INC.

Security: 197236102

Ticker: COLB

ISIN: US1972361026

Agenda Number: 935390106

Meeting Type: Annual

Meeting Date: 26-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Craig D. Eerkes	Mgmt	For	For
1B.	Election of Director: Laura Alvarez Schrag	Mgmt	For	For
1C.	Election of Director: Ford Elsaesser	Mgmt	For	For
1D.	Election of Director: Mark A. Finkelstein	Mgmt	For	For
1E.	Election of Director: Eric S. Forrest	Mgmt	For	For
1F.	Election of Director: Thomas M. Hulbert	Mgmt	For	For
1G.	Election of Director: Michelle M. Lantow	Mgmt	For	For
1H.	Election of Director: Randal L. Lund	Mgmt	For	For
1I.	Election of Director: Tracy Mack-Askew	Mgmt	For	For
1J.	Election of Director: S. Mae Fujita Numata	Mgmt	For	For
1K.	Election of Director: Elizabeth W. Seaton	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Clint E. Stein	Mgmt	For	For
1M.	Election of Director: Janine T. Terrano	Mgmt	For	For
2.	To vote on an advisory (non-binding) resolution to approve the compensation of Columbia's named executive officers.	Mgmt	For	For
3.	To vote on an advisory (non-binding) resolution to appoint Deloitte & Touche LLP as our independent registered public accounting firm for fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### COMERICA INCORPORATED

Security: 200340107

Ticker: CMA

ISIN: US2003401070

Agenda Number: 935346444

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
01	ELECTION OF DIRECTOR: Michael E. Collins	Mgmt	For	For
02	ELECTION OF DIRECTOR: Roger A. Cregg	Mgmt	For	For
03	ELECTION OF DIRECTOR: T. Kevin DeNicola	Mgmt	For	For
04	ELECTION OF DIRECTOR: Curtis C. Farmer	Mgmt	For	For
05	ELECTION OF DIRECTOR: Jacqueline P. Kane	Mgmt	For	For
06	ELECTION OF DIRECTOR: Richard G. Lindner	Mgmt	For	For
07	ELECTION OF DIRECTOR: Barbara R. Smith	Mgmt	For	For
08	ELECTION OF DIRECTOR: Robert S. Taubman	Mgmt	For	For
09	ELECTION OF DIRECTOR: Reginald M. Turner, Jr.	Mgmt	For	For
10	ELECTION OF DIRECTOR: Nina G. Vaca	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
11	ELECTION OF DIRECTOR: Michael G. Van de Ven	Mgmt	For	For
2.	Ratification of the Appointment of Ernst & Young LLP as Independent Registered Public Accounting Firm.	Mgmt	For	For
3.	Approval of a Non-Binding, Advisory Proposal Approving Executive Compensation.	Mgmt	For	For
4.	Approval of the Comerica Incorporated Amended and Restated 2018 Long-Term Incentive Plan.	Mgmt	For	For
5.	Approval of the Comerica Incorporated 2021 Employee Stock Purchase Plan.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### COMMUNITIES FIRST FINANCIAL CORPORATION

Security: 20344D104

Ticker: CFST

ISIN: US20344D1046

Agenda Number: 935412673

Meeting Type: Annual

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Sheila Frowsing	Mgmt	For	For
2	Jack Holt	Mgmt	For	For
3	Robert Kubo	Mgmt	For	For
4	Lorrie Marlette	Mgmt	For	For
5	Jared Martin	Mgmt	For	For
6	Steve Miller	Mgmt	For	For
7	Mark Saleh	Mgmt	For	For
8	Joel Slonski	Mgmt	For	For
9	Al Smith	Mgmt	For	For
2.	To consider and vote upon a proposal to ratify the selection of Crowe LLP, as the Bank's independent auditor for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### COUNTY BANCORP, INC.

Security: 221907108

Ticker: ICBK

ISIN: US2219071089

Agenda Number: 935375041

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	William C. Censky	Mgmt	For	For
2	Wayne D. Mueller	Mgmt	For	For
3	Vicki L. Tandeski	Mgmt	For	For
4	Gary J. Ziegelbauer	Mgmt	Withheld	Against
2.	To approve, on a non-binding, advisory basis, the compensation of County Bancorp, Inc.'s named executive officers.	Mgmt	Against	Against
3.	To approve, on a non-binding, advisory basis, the frequency with which shareholders will vote on future say-on-pay proposals.	Mgmt	1 Year	For
4.	To approve the County Bancorp, Inc. 2021 Long-Term Incentive Plan.	Mgmt	For	For
5.	To ratify the appointment of Plante & Moran, PLLC as the independent registered public accounting firm for County Bancorp, Inc. for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### CVB FINANCIAL CORP.

Security: 126600105

Ticker: CVBF

ISIN: US1266001056

Agenda Number: 935379215

Meeting Type: Annual

Meeting Date: 19-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	George A. Borba, Jr.	Mgmt	For	For
2	David A. Brager	Mgmt	For	For
3	Stephen A. Del Guercio	Mgmt	For	For
4	Rodrigo Guerra, Jr.	Mgmt	For	For
5	Anna Kan	Mgmt	For	For
6	Marshall V. Laitsch	Mgmt	For	For
7	Kristina M. Leslie	Mgmt	For	For
8	Raymond V. O'Brien III	Mgmt	For	For
9	Jane Olvera	Mgmt	For	For
10	Hal W. Oswald	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of appointment of KPMG LLP as independent registered public accountants of CVB Financial Corp. for the year ending December 31, 2021.	Mgmt	For	For
3.	To approve, on a non-binding advisory basis, the compensation of the Company's named executive officers ("Say-On-Pay").	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### DISCOVER FINANCIAL SERVICES

Security: 254709108

Ticker: DFS

ISIN: US2547091080

Agenda Number: 935348765

Meeting Type: Annual

Meeting Date: 05-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Jeffrey S. Aronin	Mgmt	For	For
1B.	Election of Director: Mary K. Bush	Mgmt	For	For
1C.	Election of Director: Gregory C. Case	Mgmt	For	For
1D.	Election of Director: Candace H. Duncan	Mgmt	For	For
1E.	Election of Director: Joseph F. Eazor	Mgmt	For	For
1F.	Election of Director: Cynthia A. Glassman	Mgmt	For	For
1G.	Election of Director: Roger C. Hochschild	Mgmt	For	For
1H.	Election of Director: Thomas G. Maheras	Mgmt	For	For
1I.	Election of Director: Michael H. Moskow	Mgmt	For	For
1J.	Election of Director: David L. Rawlinson II	Mgmt	For	For
1K.	Election of Director: Mark A. Thierer	Mgmt	For	For

# Investment Company Report

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Jennifer L. Wong	Mgmt	For	For
2.	Advisory vote to approve named executive officer compensation.	Mgmt	For	For
3.	To ratify the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### EAGLE BANCORP MONTANA, INC.

Security: 26942G100

Ticker: EBMT

ISIN: US26942G1004

Agenda Number: 935356229

Meeting Type: Annual

Meeting Date: 22-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Shavon R. Cape	Mgmt	For	For
2	Thomas J. McCarvel	Mgmt	For	For
3	Kenneth M. Walsh	Mgmt	For	For
2.	Ratification of the appointment of Moss Adams LLP as Eagle Bancorp Montana, Inc.'s independent registered public accounting firm for fiscal year ending December 31, 2021.	Mgmt	For	For
3.	Advisory vote on named executive officer compensation as disclosed in the proxy statement.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### EAST WEST BANCORP, INC.

Security: 27579R104

Ticker: EWBC

ISIN: US27579R1041

Agenda Number: 935384230

Meeting Type: Annual

Meeting Date: 27-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election as Director: Molly Campbell	Mgmt	For	For
1.2	Election as Director: Iris S. Chan	Mgmt	For	For
1.3	Election as Director: Archana Deskus	Mgmt	For	For
1.4	Election as Director: Rudolph I. Estrada	Mgmt	For	For
1.5	Election as Director: Paul H. Irving	Mgmt	For	For
1.6	Election as Director: Jack C. Liu	Mgmt	For	For
1.7	Election as Director: Dominic Ng	Mgmt	For	For
1.8	Election as Director: Lester M. Sussman	Mgmt	For	For
2.	To approve, on an advisory basis, our executive compensation for 2020.	Mgmt	For	For
3.	To approve the East West Bancorp, Inc. 2021 Stock Incentive Plan, as amended, restated and renamed.	Mgmt	For	For

# Investment Company Report

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
4.	To ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### EQUITY BANCSHARES, INC.

Security: 29460X109

Ticker: EQBK

ISIN: US29460X1090

Agenda Number: 935344541

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Director: Kevin E. Cook	Mgmt	For	For
1.2	Election of Director: Brad S. Elliott	Mgmt	Against	Against
1.3	Election of Director: Junetta M. Everett	Mgmt	Against	Against
1.4	Election of Director: Gregory H. Kossover	Mgmt	Against	Against
2.	Advisory vote to approve the compensation paid to the Company's named executive officers.	Mgmt	For	For
3.	Advisory vote to approve the frequency of future advisory votes on the compensation of the Company's named executive officers.	Mgmt	1 Year	For
4.	Ratification of Crowe LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### EURAZEO SA

**Security:** F3296A108

**Ticker:**

**ISIN:** FR0000121121

**Agenda Number:** 713716465

**Meeting Type:** MIX

**Meeting Date:** 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
CMMT	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE.		Non-Voting	
CMMT	FOLLOWING CHANGES IN THE FORMAT OF PROXY CARDS FOR FRENCH MEETINGS, ABSTAIN IS NOW A VALID VOTING OPTION. FOR ANY ADDITIONAL ITEMS RAISED AT THE MEETING THE VOTING OPTION WILL DEFAULT TO 'AGAINST', OR FOR POSITIONS WHERE THE PROXY CARD IS NOT COMPLETED BY BROADRIDGE, TO THE PREFERENCE OF YOUR CUSTODIAN.		Non-Voting	
CMMT	25 MAR 2021: PLEASE NOTE THAT IF YOU HOLD CREST DEPOSITORY INTERESTS (CDIs) AND PARTICIPATE AT THIS MEETING, YOU (OR YOUR CREST SPONSORED MEMBER/CUSTODIAN) WILL BE REQUIRED TO INSTRUCT A TRANSFER OF THE RELEVANT CDIs TO THE ESCROW ACCOUNT SPECIFIED IN THE ASSOCIATED CORPORATE EVENT IN THE CREST SYSTEM. THIS TRANSFER WILL NEED TO BE COMPLETED BY THE SPECIFIED CREST SYSTEM DEADLINE. ONCE THIS TRANSFER HAS SETTLED, THE CDIs WILL BE BLOCKED IN THE CREST SYSTEM. THE CDIs WILL BE		Non-Voting	

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
	<p>RELEASED FROM ESCROW AS SOON AS PRACTICABLE ON THE BUSINESS DAY PRIOR TO MEETING DATE UNLESS OTHERWISE SPECIFIED. IN ORDER FOR A VOTE TO BE ACCEPTED, THE VOTED POSITION MUST BE BLOCKED IN THE REQUIRED ESCROW ACCOUNT IN THE CREST SYSTEM. BY VOTING ON THIS MEETING, YOUR CREST SPONSORED MEMBER/CUSTODIAN MAY USE YOUR VOTE INSTRUCTION AS THE AUTHORIZATION TO TAKE THE NECESSARY ACTION WHICH WILL INCLUDE TRANSFERRING YOUR INSTRUCTED POSITION TO ESCROW. PLEASE CONTACT YOUR CREST SPONSORED MEMBER/CUSTODIAN DIRECTLY FOR FURTHER INFORMATION ON THE CUSTODY PROCESS AND WHETHER OR NOT THEY REQUIRE SEPARATE INSTRUCTIONS FROM YOU AND PLEASE NOTE THAT SHAREHOLDER DETAILS ARE REQUIRED TO VOTE AT THIS MEETING. IF NO SHAREHOLDER DETAILS ARE PROVIDED, YOUR INSTRUCTION MAY CARRY A HEIGHTENED RISK OF BEING REJECTED. THANK YOU</p>			
CMMT	<p>PLEASE NOTE THAT DUE TO THE CURRENT COVID19 CRISIS AND IN ACCORDANCE WITH THE PROVISIONS ADOPTED BY THE FRENCH GOVERNMENT UNDER LAW NO. 2020-1379 OF NOVEMBER 14, 2020, EXTENDED AND MODIFIED BY LAW NO 2020-1614 OF DECEMBER 18, 2020 THE GENERAL MEETING WILL TAKE PLACE BEHIND CLOSED DOORS WITHOUT THE PHYSICAL PRESENCE OF THE SHAREHOLDERS. TO COMPLY WITH THESE LAWS, PLEASE DO NOT SUBMIT ANY REQUESTS TO ATTEND THE MEETING IN PERSON. SHOULD THIS SITUATION CHANGE, THE COMPANY ENCOURAGES ALL SHAREHOLDERS TO REGULARLY CONSULT THE COMPANY WEBSITE</p>	Non-Voting		

# Investment Company Report

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
CMMT	9 APR 2021: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE TEXT OF COMMENT AND CHANGE IN NUMBERING OF ALL RESOLUTIONS AND REVISION DUE TO RECEIPT OF UPDATED BALO LINK. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU AND PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS AVAILABLE BY CLICKING ON THE MATERIAL URL LINK: <a href="https://www.journal-officiel.gouv.fr/balo/document/202104092100844-43">https://www.journal-officiel.gouv.fr/balo/document/202104092100844-43</a> .	Non-Voting		
1	APPROVAL OF THE CORPORATE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2020 - APPROVAL OF THE NON-DEDUCTIBLE EXPENSES AMOUNT	Mgmt	For	For
2	ALLOCATION OF INCOME FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2020 AND DISTRIBUTION OF DIVIDEND	Mgmt	For	For
3	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2020	Mgmt	For	For
4	APPROVAL OF THE AGREEMENTS AND COMMITMENTS REFERRED TO IN ARTICLE L.225-86 OF THE FRENCH COMMERCIAL CODE	Mgmt	Against	Against
5	RENEWAL OF THE TERM OF OFFICE OF MRS. STEPHANE PALLEZ AS A MEMBER OF THE SUPERVISORY BOARD	Mgmt	For	For

# Investment Company Report

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
6	APPROVAL OF THE COMPENSATION POLICY OF MEMBERS OF THE SUPERVISORY BOARD	Mgmt	For	For
7	APPROVAL OF THE COMPENSATION POLICY TO THE MEMBERS OF THE MANAGEMENT BOARD	Mgmt	Against	Against
8	APPROVAL OF THE INFORMATION RELATING TO THE COMPENSATION OF CORPORATE OFFICERS MENTIONED IN SECTION I OF ARTICLE L.22-10-9 OF THE FRENCH COMMERCIAL CODE AS PRESENTED IN THE REPORT ON CORPORATE GOVERNANCE	Mgmt	For	For
9	APPROVAL OF THE COMPENSATION ELEMENTS AND BENEFITS PAID DURING THE FINANCIAL YEAR 2020 OR AWARDED FOR THE SAME FINANCIAL YEAR TO MR. MICHEL DAVID-WEILL, CHAIRMAN OF THE SUPERVISORY BOARD	Mgmt	For	For
10	APPROVAL OF THE COMPENSATION ELEMENTS AND BENEFITS PAID DURING THE FINANCIAL YEAR 2020 OR AWARDED FOR THE SAME FINANCIAL YEAR TO MRS. VIRGINIE MORGON, CHAIRMAN OF THE MANAGEMENT BOARD	Mgmt	Against	Against
11	APPROVAL OF THE COMPENSATION ELEMENTS AND BENEFITS PAID DURING THE FINANCIAL YEAR 2020 OR AWARDED FOR THE SAME FINANCIAL YEAR TO MR. PHILIPPE AUDOUIN, MEMBER OF THE MANAGEMENT BOARD	Mgmt	Against	Against
12	APPROVAL OF THE COMPENSATION ELEMENTS AND BENEFITS PAID DURING THE FINANCIAL YEAR 2020 OR AWARDED FOR THE SAME FINANCIAL YEAR TO MR. NICOLAS HUET, MEMBER OF THE MANAGEMENT BOARD	Mgmt	Against	Against



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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
13	APPROVAL OF THE COMPENSATION ELEMENTS AND BENEFITS PAID DURING THE FINANCIAL YEAR 2020 OR AWARDED FOR THE SAME FINANCIAL YEAR TO MR. OLIVIER MILLET, MEMBER OF THE MANAGEMENT BOARD	Mgmt	Against	Against
14	AUTHORIZATION OF A BUYBACK PROGRAM BY THE COMPANY OF ITS OWN SHARES	Mgmt	Against	Against
15	AUTHORIZATION TO THE MANAGEMENT BOARD TO REDUCE THE SHARE CAPITAL BY CANCELLING SHARES PURCHASED UNDER THE SHARE BUYBACK PROGRAM	Mgmt	For	For
16	DELEGATION OF AUTHORITY TO PROCEED WITH THE SHARE CAPITAL INCREASE THROUGH THE ISSUANCE OF COMMON SHARE AND/OR TRANSFERRABLE SECURITIES GRANTING ACCESS TO THE CAPITAL, RESERVED FOR MEMBERS OF A COMPANY SAVINGS PLAN, WITH CANCELLATION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHT FOR THE BENEFIT OF THE LATTER	Mgmt	For	For
17	AUTHORIZATION TO THE MANAGEMENT BOARD TO PROCEED WITH THE ALLOCATION OF FREE SHARES FOR THE BENEFIT OF EMPLOYEES AND CORPORATE OFFICERS OF THE COMPANY AND/OR RELATED COMPANIES	Mgmt	For	For
18	POWERS TO CARRY OUT FORMALITIES	Mgmt	For	For
CMMT	INTERMEDIARY CLIENTS ONLY - PLEASE NOTE THAT IF YOU ARE CLASSIFIED AS AN INTERMEDIARY CLIENT UNDER THE SHAREHOLDER RIGHTS DIRECTIVE II, YOU SHOULD BE PROVIDING THE UNDERLYING SHAREHOLDER INFORMATION AT THE VOTE	Non-Voting		

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INSTRUCTION LEVEL. IF YOU ARE  
UNSURE ON HOW TO PROVIDE THIS  
LEVEL OF DATA TO BROADRIDGE  
OUTSIDE OF PROXYEDGE, PLEASE  
SPEAK TO YOUR DEDICATED CLIENT  
SERVICE REPRESENTATIVE FOR  
ASSISTANCE

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## 2X21 JHF Financial Opportunities Fund

### EVANS BANCORP, INC.

Security: 29911Q208

Ticker: EVBN

ISIN: US29911Q2084

Agenda Number: 935357740

Meeting Type: Annual

Meeting Date: 04-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Kevin D. Maroney	Mgmt	For	For
2	Robert G. Miller, Jr.	Mgmt	For	For
3	Kimberley A. Minkel	Mgmt	For	For
4	Christina P. Orsi	Mgmt	For	For
5	Michael J. Rogers	Mgmt	For	For
2.	Approval, on an advisory basis, of the compensation paid to our named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of Crowe LLP as Evans Bancorp, Inc.'s independent registered public accounting firm for fiscal year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### EVERTEC, INC.

Security: 30040P103

Ticker: EVTC

ISIN: PR30040P1032

Agenda Number: 935388149

Meeting Type: Annual

Meeting Date: 27-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Frank G. D'Angelo	Mgmt	For	For
1B.	Election of Director: Morgan M. Schuessler, Jr.	Mgmt	For	For
1C.	Election of Director: Kelly Barrett	Mgmt	For	For
1D.	Election of Director: Olga Botero	Mgmt	For	For
1E.	Election of Director: Jorge A. Junquera	Mgmt	For	For
1F.	Election of Director: Iván Pagán	Mgmt	For	For
1G.	Election of Director: Aldo J. Polak	Mgmt	For	For
1H.	Election of Director: Alan H. Schumacher	Mgmt	For	For
1I.	Election of Director: Brian J. Smith	Mgmt	For	For
2.	Advisory Vote on Executive Compensation.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
3.	Ratification of the Appointment of Deloitte & Touche LLP as the Company's Independent Registered Public Accounting Firm.	Mgmt	For	For
4.	Advisory Vote on the Frequency of the Executive Compensation Advisory Vote.	Mgmt	1 Year	For

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## 2X21 JHF Financial Opportunities Fund

### F.N.B. CORPORATION

Security: 302520101

Ticker: FNB

ISIN: US3025201019

Agenda Number: 935359302

Meeting Type: Annual

Meeting Date: 11-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Pamela A. Bena	Mgmt	For	For
2	William B. Campbell	Mgmt	For	For
3	James D. Chiafullo	Mgmt	For	For
4	Vincent J. Delie, Jr.	Mgmt	For	For
5	Mary Jo Dively	Mgmt	For	For
6	Robert A. Hormell	Mgmt	For	For
7	David J. Malone	Mgmt	For	For
8	Frank C. Mencini	Mgmt	For	For
9	David L. Motley	Mgmt	For	For
10	Heidi A. Nicholas	Mgmt	For	For
11	John S. Stanik	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	William J. Strimbu	Mgmt	For	For
2.	Advisory approval of the 2020 named executive officer compensation.	Mgmt	Against	Against
3.	Ratification of appointment of Ernst & Young LLP as F.N.B.'s independent registered public accounting firm for the 2021 fiscal year.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIFTH THIRD BANCORP

Security: 316773100

Ticker: FITB

ISIN: US3167731005

Agenda Number: 935338980

Meeting Type: Annual

Meeting Date: 13-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Nicholas K. Akins	Mgmt	For	For
1B.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: B. Evan Bayh, III	Mgmt	For	For
1C.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Jorge L. Benitez	Mgmt	For	For
1D.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Katherine B. Blackburn	Mgmt	For	For
1E.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Emerson L. Brumback	Mgmt	For	For
1F.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Greg D. Carmichael	Mgmt	For	For
1G.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Linda W. Clement-Holmes	Mgmt	For	For
1H.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: C. Bryan Daniels	Mgmt	For	For



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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1I.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Mitchell S. Feiger	Mgmt	For	For
1J.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Thomas H. Harvey	Mgmt	For	For
1K.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Gary R. Heminger	Mgmt	For	For
1L.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Jewell D. Hoover	Mgmt	For	For
1M.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Eileen A. Mallesch	Mgmt	For	For
1N.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Michael B. McCallister	Mgmt	For	For
1O.	Election of member of the Board of Directors to serve until the Annual Meeting of Shareholders in 2022: Marsha C. Williams	Mgmt	For	For
2.	Ratification of the appointment of the firm of Deloitte & Touche LLP to serve as the independent external audit firm for the Company for the year 2021.	Mgmt	For	For
3.	An advisory vote on approval of the Company's executive compensation.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
4.	An advisory vote to determine whether the shareholder vote on the compensation of the Company's executives will occur every 1, 2, or 3 years.	Mgmt	1 Year	For
5.	Approval of the Fifth Third Bancorp 2021 Incentive Compensation Plan, including the issuance of shares of common stock authorized thereunder.	Mgmt	For	For
6.	Approval of an amendment to the Fifth Third Bancorp Articles of Incorporation to eliminate statutory supermajority vote requirements.	Mgmt	For	For
7.	Approval of an amendment to the Fifth Third Bancorp Articles of Incorporation to eliminate cumulative voting.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIRST BUSINESS FINL SVCS INC.

Security: 319390100

Ticker: FBIZ

ISIN: US3193901002

Agenda Number: 935343703

Meeting Type: Annual

Meeting Date: 30-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Laurie S. Benson	Mgmt	For	For
2	Mark D. Bugher	Mgmt	For	For
3	Corey A. Chambas	Mgmt	For	For
4	John J. Harris	Mgmt	For	For
2.	To act upon a proposal to approve an amendment to the First Business Financial Services, Inc. 2019 Equity Incentive Plan.	Mgmt	For	For
3.	To approve, in a non-binding, advisory vote, the compensation of the Company's named executive officers.	Mgmt	For	For
4.	To ratify the appointment of Crowe LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIRST COMMUNITY CORPORATION

Security: 319835104

Ticker: FCCO

ISIN: US3198351047

Agenda Number: 935380674

Meeting Type: Annual

Meeting Date: 19-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Jan H. Hollar*	Mgmt	For	For
2	C. Jimmy Chao#	Mgmt	For	For
3	Ray E. Jones#	Mgmt	For	For
4	E. Leland Reynolds#	Mgmt	For	For
5	Alexander Snipe, Jr.#	Mgmt	For	For
2.	To approve the compensation of our named executive officers as disclosed in the proxy statement (this is a non-binding, advisory vote), ("Say-on-Pay").	Mgmt	For	For
3.	To approve the First Community Corporation 2021 Omnibus Equity Incentive Plan.	Mgmt	For	For
4.	To ratify the appointment of Elliott Davis, LLC as our independent registered public accounting firm for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIRST FINANCIAL BANCORP.

Security: 320209109

Ticker: FFBC

ISIN: US3202091092

Agenda Number: 935392958

Meeting Type: Annual

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	William G. Barron	Mgmt	For	For
2	Vincent A. Berta	Mgmt	For	For
3	Cynthia O. Booth	Mgmt	For	For
4	Archie M. Brown	Mgmt	For	For
5	Claude E. Davis	Mgmt	For	For
6	Corinne R. Finnerty	Mgmt	For	For
7	Susan L. Knust	Mgmt	For	For
8	William J. Kramer	Mgmt	For	For
9	John T. Neighbours	Mgmt	For	For
10	Thomas M. O'Brien	Mgmt	For	For
11	Maribeth S. Rahe	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of Crowe LLP as the Company's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Advisory (non-binding) vote on the compensation of the Company's executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIRST HORIZON CORPORATION

Security: 320517105

Ticker: FHN

ISIN: US3205171057

Agenda Number: 935349907

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director to serve until the 2022 Annual Meeting: Harry V. Barton, Jr.	Mgmt	For	For
1B.	Election of Director to serve until the 2022 Annual Meeting: Kenneth A. Burdick	Mgmt	For	For
1C.	Election of Director to serve until the 2022 Annual Meeting: Daryl G. Byrd	Mgmt	For	For
1D.	Election of Director to serve until the 2022 Annual Meeting: John N. Casbon	Mgmt	For	For
1E.	Election of Director to serve until the 2022 Annual Meeting: John C. Compton	Mgmt	For	For
1F.	Election of Director to serve until the 2022 Annual Meeting: Wendy P. Davidson	Mgmt	For	For
1G.	Election of Director to serve until the 2022 Annual Meeting: William H. Fenstermaker	Mgmt	For	For
1H.	Election of Director to serve until the 2022 Annual Meeting: D. Bryan Jordan	Mgmt	For	For
1I.	Election of Director to serve until the 2022 Annual Meeting: J. Michael Kemp, Sr.	Mgmt	For	For
1J.	Election of Director to serve until the 2022 Annual Meeting: Rick E. Maples	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director to serve until the 2022 Annual Meeting: Vicki R. Palmer	Mgmt	For	For
1L.	Election of Director to serve until the 2022 Annual Meeting: Colin V. Reed	Mgmt	For	For
1M.	Election of Director to serve until the 2022 Annual Meeting: E. Stewart Shea, III	Mgmt	For	For
1N.	Election of Director to serve until the 2022 Annual Meeting: Cecelia D. Stewart	Mgmt	For	For
1O.	Election of Director to serve until the 2022 Annual Meeting: Rajesh Subramaniam	Mgmt	For	For
1P.	Election of Director to serve until the 2022 Annual Meeting: Rosa Sugrañes	Mgmt	For	For
1Q.	Election of Director to serve until the 2022 Annual Meeting: R. Eugene Taylor	Mgmt	For	For
2.	Approval of the First Horizon Corporation 2021 Incentive Plan.	Mgmt	For	For
3.	Approval of an advisory resolution to approve executive compensation.	Mgmt	For	For
4.	Ratification of appointment of KPMG LLP as auditors.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### FIRST MERCHANTS CORPORATION

Security: 320817109

Ticker: FRME

ISIN: US3208171096

Agenda Number: 935361915

Meeting Type: Annual

Meeting Date: 11-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Michael R. Becher	Mgmt	For	For
2	Susan W. Brooks	Mgmt	For	For
3	Mark K. Hardwick	Mgmt	For	For
4	William L. Hoy	Mgmt	For	For
5	Patrick A. Sherman	Mgmt	For	For
2.	Proposal to approve, on an advisory basis, the compensation of First Merchants Corporation's named executive officers.	Mgmt	For	For
3.	Proposal to ratify the appointment of the firm BKD, LLP as the independent auditor for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIRST MID BANCSHARES, INC.

Security: 320866106

Ticker: FMBH

ISIN: US3208661062

Agenda Number: 935351635

Meeting Type: Annual

Meeting Date: 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1	DIRECTOR			
1	Holly B. Adams (Bailey)	Mgmt	For	For
2	Joseph R. Dively	Mgmt	Withheld	Against
3	Zachary I. Horn	Mgmt	For	For
2.	A vote on the approval to increase the number of shares of common stock authorized under the 2017 stock incentive plan by 250,000 shares.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### FIRST RELIANCE BANCSHARES, INC.

Security: 33615Q100

Ticker: FSRL

ISIN: US33615Q1004

Agenda Number: 935402862

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	James R. Lingle, Jr.	Mgmt	Withheld	Against
2	Julius G. Parris	Mgmt	Withheld	Against
3	Paul C. Saunders	Mgmt	Withheld	Against
2.	Approval of the First Reliance Bancshares, Inc. 2021 Equity Incentive Plan.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### GERMAN AMERICAN BANCORP, INC.

Security: 373865104

Ticker: GABC

ISIN: US3738651047

Agenda Number: 935385408

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Marc D. Fine	Mgmt	For	For
2	Jason M. Kelly	Mgmt	For	For
3	Christina M. Ryan	Mgmt	For	For
4	Tyson J. Wagler	Mgmt	For	For
2.	To approve, on an advisory basis, the appointment of Crowe LLP as our independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### GLACIER BANCORP, INC.

Security: 37637Q105

Ticker: GBCI

ISIN: US37637Q1058

Agenda Number: 935354011

Meeting Type: Annual

Meeting Date: 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	David C. Boyles	Mgmt	For	For
2	Robert A. Cashell, Jr.	Mgmt	For	For
3	Randall M. Chesler	Mgmt	For	For
4	Sherry L. Cladouhos	Mgmt	For	For
5	James M. English	Mgmt	For	For
6	Annie M. Goodwin	Mgmt	For	For
7	Kristen L. Heck	Mgmt	For	For
8	Craig A. Langel	Mgmt	For	For
9	Douglas J. McBride	Mgmt	For	For
10	George R. Sutton	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	To approve an amendment to the amended and restated articles of incorporation of Glacier Bancorp, Inc. (the "Company") to provide for indemnification of directors and officers of the Company.	Mgmt	For	For
3.	To vote on an advisory (non-binding) resolution to approve the compensation of the Company's named executive officers.	Mgmt	For	For
4.	To ratify the appointment of BKD, LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### GOLUB CAPITAL BDC, INC.

**Security:** 38173M102

**Ticker:** GBDC

**ISIN:** US38173M1027

**Agenda Number:** 935319144

**Meeting Type:** Annual

**Meeting Date:** 05-Feb-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Class II Director of the Company who will each serve for a term expiring in 2024 or until his successor is duly elected and qualified: John T. Baily	Mgmt	For	For
1B.	Election of Class II Director of the Company who will each serve for a term expiring in 2024 or until his successor is duly elected and qualified: Kenneth F. Bernstein	Mgmt	For	For
2.	To ratify the selection of Ernst & Young LLP to serve as the Company's independent registered public accounting firm for the fiscal year ending September 30, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### GREAT SOUTHERN BANCORP, INC.

Security: 390905107

Ticker: GSBC

ISIN: US3909051076

Agenda Number: 935369834

Meeting Type: Annual

Meeting Date: 12-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Thomas J. Carlson	Mgmt	Withheld	Against
2	Debra M. Shantz Hart	Mgmt	Withheld	Against
3	Joseph W. Turner	Mgmt	For	For
2.	The advisory (non-binding) vote on executive compensation.	Mgmt	Against	Against
3.	The ratification of the appointment of BKD, LLP as Great Southern Bancorp, Inc.'s independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### GREAT WESTERN BANCORP INC

Security: 391416104

Ticker: GWB

ISIN: US3914161043

Agenda Number: 935321024

Meeting Type: Annual

Meeting Date: 09-Feb-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	James Brannen	Mgmt	For	For
2	Thomas Henning	Mgmt	For	For
3	Daniel Rykhus	Mgmt	For	For
2.	To approve, by advisory vote, a resolution on executive compensation.	Mgmt	For	For
3.	To approve an amendment to the Company's 2014 Omnibus Incentive Plan.	Mgmt	For	For
4.	To approve an amendment to the Company's 2014 Non-Employee Director Plan.	Mgmt	For	For
5.	To ratify the selection of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending September 30, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HANCOCK WHITNEY CORPORATION

Security: 410120109

Ticker: HWC

ISIN: US4101201097

Agenda Number: 935344286

Meeting Type: Annual

Meeting Date: 21-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	John M. Hairston	Mgmt	For	For
2	James H. Horne	Mgmt	For	For
3	Suzette K. Kent	Mgmt	For	For
4	Jerry L. Levens	Mgmt	For	For
5	Christine L. Pickering	Mgmt	For	For
2.	To approve, on an advisory basis, the compensation of our named executive officers.	Mgmt	For	For
3.	To ratify the selection of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm to audit the books of the Company and its subsidiaries for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HBT FINANCIAL, INC.

Security: 404111106

Ticker: HBT

ISIN: US4041111067

Agenda Number: 935381602

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	C. Alvin Bowman	Mgmt	For	For
2	Eric E. Burwell	Mgmt	For	For
3	Patrick F. Busch	Mgmt	For	For
4	J. Lance Carter	Mgmt	For	For
5	Allen C. Drake	Mgmt	For	For
6	Fred L. Drake	Mgmt	For	For
7	Linda J. Koch	Mgmt	For	For
8	Gerald E. Pfeiffer	Mgmt	For	For
9	Dale S. Strassheim	Mgmt	For	For
2.	Ratification of the appointment of RSM US LLP as the independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HERITAGE COMMERCE CORP

Security: 426927109

Ticker: HTBK

ISIN: US4269271098

Agenda Number: 935401632

Meeting Type: Annual

Meeting Date: 27-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	J. M. Biagini-Komas	Mgmt	For	For
2	Frank G. Bisceglia	Mgmt	For	For
3	Bruce H. Cabral	Mgmt	For	For
4	Jack W. Conner	Mgmt	For	For
5	Jason DiNapoli	Mgmt	For	For
6	Stephen G. Heitel	Mgmt	For	For
7	Walter T. Kaczmarek	Mgmt	For	For
8	Robert T. Moles	Mgmt	For	For
9	Laura Roden	Mgmt	For	For
10	Marina Park Sutton	Mgmt	For	For
11	Ranson W. Webster	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Advisory proposal on executive compensation.	Mgmt	Against	Against
3.	Ratification of selection of independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HERITAGE FINANCIAL CORPORATION

Security: 42722X106

Ticker: HFWA

ISIN: US42722X1063

Agenda Number: 935351180

Meeting Type: Annual

Meeting Date: 04-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director for a one-year term: Brian S. Charneski	Mgmt	For	For
1B.	Election of Director for a one-year term: John A. Clees	Mgmt	For	For
1C.	Election of Director for a one-year term: Kimberly T. Ellwanger	Mgmt	For	For
1D.	Election of Director for a one-year term: Jeffrey J. Deuel	Mgmt	For	For
1E.	Election of Director for a one-year term: Deborah J. Gavin	Mgmt	For	For
1F.	Election of Director for a one-year term: Jeffrey S. Lyon	Mgmt	For	For
1G.	Election of Director for a one-year term: Gragg E. Miller	Mgmt	For	For
1H.	Election of Director for a one-year term: Anthony B. Pickering	Mgmt	For	For
1I.	Election of Director for a one-year term: Frederick B. Rivera	Mgmt	For	For
1J.	Election of Director for a one-year term: Brian L. Vance	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director for a one-year term: Ann Watson	Mgmt	For	For
2.	Advisory (non-binding) approval of the compensation paid to named executive officers as disclosed in the Proxy Statement.	Mgmt	For	For
3.	Ratification of the appointment of Crowe LLP as Heritage's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HORIZON BANCORP, INC.

Security: 440407104

Ticker: HBNC

ISIN: US4404071049

Agenda Number: 935354340

Meeting Type: Annual

Meeting Date: 06-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1	DIRECTOR			
1	Lawrence E. Burnell	Mgmt	For	For
2	Julie Scheck Freigang	Mgmt	For	For
3	Peter L. Pairitz	Mgmt	For	For
4	Spero W. Valavanis	Mgmt	For	For
2.	Approval of the 2021 Omnibus Equity Incentive Plan.	Mgmt	For	For
3.	Advisory vote to approve executive compensation.	Mgmt	For	For
4.	Ratification of appointment of BKD, LLP as independent registered public accounting firm.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### HOWARD BANCORP, INC.

Security: 442496105

Ticker: HBMD

ISIN: US4424961054

Agenda Number: 935396235

Meeting Type: Annual

Meeting Date: 26-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Howard Feinglass#	Mgmt	For	For
2	John J. Keenan#	Mgmt	For	For
3	Robert D. Kunisch, Jr.#	Mgmt	For	For
4	Thomas P. O'Neill#	Mgmt	For	For
5	Linda L. Singh*	Mgmt	For	For
2.	A non-binding advisory proposal to approve the compensation of our named executive officers.	Mgmt	For	For
3.	To ratify the appointment of Dixon Hughes Goodman LLP as our independent registered public accounting firm for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HUNTINGTON BANCSHARES INCORPORATED

Security: 446150104

Ticker: HBAN

ISIN: US4461501045

Agenda Number: 935338649

Meeting Type: Special

Meeting Date: 25-Mar-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	Approval of the merger of TCF Financial Corporation ("TCF") with and into Huntington Bancshares Incorporated ("Huntington"), as contemplated by the Agreement and Plan of Merger, dated as of December 13, 2020 (as it may be amended from time to time), by and between Huntington and TCF, with Huntington as the surviving corporation (the "Huntington merger proposal").	Mgmt	For	For
2.	Approval of an amendment to Huntington's charter to increase the number of authorized shares of Huntington common stock from one billion five hundred million shares (1,500,000,000) to two billion two hundred fifty million shares (2,250,000,000) (the "Huntington authorized share count proposal").	Mgmt	For	For
3.	Approval of the adjournment of special meeting of Huntington shareholders, if necessary or appropriate, to solicit additional proxies if, immediately prior to such adjournment, there are not sufficient votes at the time of the Huntington special meeting to approve the Huntington merger proposal or the Huntington authorized share count proposal or to ensure that any supplement or amendment to the accompanying joint proxy statement/prospectus is timely provided to holders of Huntington common stock (the "Huntington adjournment proposal").	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### HUNTINGTON BANCSHARES INCORPORATED

Security: 446150104

Ticker: HBAN

ISIN: US4461501045

Agenda Number: 935345252

Meeting Type: Annual

Meeting Date: 21-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Lizabeth Ardisana	Mgmt	For	For
2	Alanna Y. Cotton	Mgmt	For	For
3	Ann B. Crane	Mgmt	For	For
4	Robert S. Cubbin	Mgmt	For	For
5	Steven G. Elliott	Mgmt	For	For
6	Gina D. France	Mgmt	For	For
7	J Michael Hochschwender	Mgmt	For	For
8	John C. Inglis	Mgmt	For	For
9	Katherine M. A. Kline	Mgmt	For	For
10	Richard W. Neu	Mgmt	For	For
11	Kenneth J. Phelan	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	David L. Porteous	Mgmt	For	For
13	Stephen D. Steinour	Mgmt	For	For
2.	An advisory resolution to approve, on a non-binding basis, the compensation of executives as disclosed in the accompanying proxy statement.	Mgmt	For	For
3.	The ratification of the appointment of PricewaterhouseCoopers LLP as our independent registered public accounting firm for 2021.	Mgmt	For	For
4.	Approval of the Amended and Restated 2018 Long-Term Incentive Plan.	Mgmt	For	For

# Investment Company Report

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## 2X21 JHF Financial Opportunities Fund

### INDEPENDENT BANK CORP.

**Security:** 453836108

**Ticker:** INDB

**ISIN:** US4538361084

**Agenda Number:** 935381599

**Meeting Type:** Annual

**Meeting Date:** 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Reelection of Class I Director: Donna L. Abelli	Mgmt	For	For
1.2	Reelection of Class I Director: Kevin J. Jones	Mgmt	For	For
1.3	Reelection of Class I Director: Mary L. Lentz	Mgmt	For	For
1.4	Reelection of Class I Director: John J. Morrissey	Mgmt	For	For
1.5	Reelection of Class I Director: Frederick Taw	Mgmt	For	For
2.	Ratify the Appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Approve, on an advisory basis, the compensation of our named executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### INDEPENDENT BANK CORPORATION

Security: 453838609

Ticker: IBCP

ISIN: US4538386099

Agenda Number: 935340961

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Christina L. Keller	Mgmt	For	For
2	Ronia F. Kruse	Mgmt	For	For
3	Michael M. Magee, Jr.	Mgmt	For	For
4	Matthew J. Missad	Mgmt	For	For
2.	Ratification of the appointment of Crowe LLP as independent auditors for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	Approval of an advisory (non-binding) resolution to approve the compensation paid to our Executives.	Mgmt	For	For
4.	Approval of the Independent Bank Corporation 2021 Long-Term Incentive Plan.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### INVESCO LTD.

**Security:** G491BT108

**Ticker:** IVZ

**ISIN:** BMG491BT1088

**Agenda Number:** 935356457

**Meeting Type:** Annual

**Meeting Date:** 13-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Sarah E. Beshar	Mgmt	For	For
1B.	Election of Director: Thomas M. Finke	Mgmt	For	For
1C.	Election of Director: Martin L. Flanagan	Mgmt	For	For
1D.	Election of Director: Edward P. Garden	Mgmt	For	For
1E.	Election of Director: William F. Glavin, Jr.	Mgmt	For	For
1F.	Election of Director: C. Robert Henrikson	Mgmt	For	For
1G.	Election of Director: Denis Kessler	Mgmt	For	For
1H.	Election of Director: Nelson Peltz	Mgmt	For	For
1I.	Election of Director: Sir Nigel Sheinwald	Mgmt	For	For
1J.	Election of Director: Paula C. Tolliver	Mgmt	For	For
1K.	Election of Director: G. Richard Wagoner, Jr.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Phoebe A. Wood	Mgmt	For	For
2.	Advisory vote to approve the company's 2020 executive compensation.	Mgmt	For	For
3.	Approval of the Amendment and Restatement of the Invesco Ltd. 2016 Global Equity Incentive Plan.	Mgmt	For	For
4.	Appointment of PricewaterhouseCoopers LLP as the company's independent registered public accounting firm for 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### JPMORGAN CHASE & CO.

Security: 46625H100

Ticker: JPM

ISIN: US46625H1005

Agenda Number: 935372285

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Linda B. Bammann	Mgmt	For	For
1B.	Election of Director: Stephen B. Burke	Mgmt	For	For
1C.	Election of Director: Todd A. Combs	Mgmt	For	For
1D.	Election of Director: James S. Crown	Mgmt	For	For
1E.	Election of Director: James Dimon	Mgmt	For	For
1F.	Election of Director: Timothy P. Flynn	Mgmt	For	For
1G.	Election of Director: Mellody Hobson	Mgmt	For	For
1H.	Election of Director: Michael A. Neal	Mgmt	For	For
1I.	Election of Director: Phebe N. Novakovic	Mgmt	For	For
1J.	Election of Director: Virginia M. Rometty	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Advisory resolution to approve executive compensation.	Mgmt	For	For
3.	Approval of Amended and Restated Long-Term Incentive Plan effective May 18, 2021.	Mgmt	For	For
4.	Ratification of independent registered public accounting firm.	Mgmt	For	For
5.	Improve shareholder written consent.	Shr	For	Against
6.	Racial equity audit and report.	Shr	For	Against
7.	Independent board chairman.	Shr	Against	For
8.	Political and electioneering expenditure congruency report.	Shr	For	Against

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## 2X21 JHF Financial Opportunities Fund

### KEYCORP

**Security:** 493267108

**Ticker:** KEY

**ISIN:** US4932671088

**Agenda Number:** 935364959

**Meeting Type:** Annual

**Meeting Date:** 13-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1a.	Election of Director: Bruce D. Broussard	Mgmt	For	For
1b.	Election of Director: Alexander M. Cutler	Mgmt	For	For
1c.	Election of Director: H. James Dallas	Mgmt	For	For
1d.	Election of Director: Elizabeth R. Gile	Mgmt	For	For
1e.	Election of Director: Ruth Ann M. Gillis	Mgmt	For	For
1f.	Election of Director: Christopher M. Gorman	Mgmt	For	For
1g.	Election of Director: Robin N. Hayes	Mgmt	For	For
1h.	Election of Director: Carlton L. Highsmith	Mgmt	For	For
1i.	Election of Director: Richard J. Hipple	Mgmt	For	For
1j.	Election of Director: Devina A. Rankin	Mgmt	For	For
1k.	Election of Director: Barbara R. Snyder	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1l.	Election of Director: Todd J. Vasos	Mgmt	For	For
1m.	Election of Director: David K. Wilson	Mgmt	For	For
2.	Ratification of the appointment of independent auditor.	Mgmt	For	For
3.	Advisory approval of executive compensation.	Mgmt	For	For
4.	Approval of KeyCorp Second Amended and Restated Discounted Stock Purchase Plan.	Mgmt	For	For
5.	Management proposal to reduce the ownership threshold to call a special shareholder meeting.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### LEVEL ONE BANCORP INC

Security: 52730D208

Ticker: LEVL

ISIN: US52730D2080

Agenda Number: 935360355

Meeting Type: Annual

Meeting Date: 06-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Barbara E. Allushuski	Mgmt	For	For
2	Victor L. Ansara	Mgmt	For	For
3	James L. Bellinson	Mgmt	For	For
4	Michael A. Brillati	Mgmt	For	For
5	Shukri W. David	Mgmt	For	For
6	Thomas A. Fabbri	Mgmt	For	For
7	Patrick J. Fehring	Mgmt	For	For
8	Jacob W. Haas	Mgmt	For	For
9	Mark J. Herman	Mgmt	For	For
10	Steven H. Rivera	Mgmt	For	For
11	Stefan Wanczyk	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of the appointment of Plante & Moran, PLLC as the independent registered public accounting firm for the Company for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### LIVE OAK BANCSHARES INC

Security: 53803X105

Ticker: LOB

ISIN: US53803X1054

Agenda Number: 935383012

Meeting Type: Annual

Meeting Date: 11-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Tonya W. Bradford	Mgmt	For	For
2	William H. Cameron	Mgmt	For	For
3	Diane B. Glossman	Mgmt	For	For
4	Glen F. Hoffsis	Mgmt	For	For
5	David G. Lucht	Mgmt	For	For
6	James S. Mahan III	Mgmt	For	For
7	Miltom E. Petty	Mgmt	For	For
8	Neil L. Underwood	Mgmt	For	For
9	William L. Williams III	Mgmt	For	For
2.	Amendment of 2015 Omnibus Stock Incentive Plan. Proposal to approve an amendment of the Company's Amended and Restated 2015 Omnibus Stock Incentive Plan to increase the number of shares of voting common stock issuable under such plan.	Mgmt	Against	Against

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
3.	Amendment and Restatement of Employee Stock Purchase Plan. Proposal to approve an amendment and restatement of the Company's Amended and Restated Employee Stock Purchase Plan.	Mgmt	For	For
4.	Say-on-Pay Vote. Non-binding, advisory proposal to approve compensation paid to our named executive officers.	Mgmt	For	For
5.	Ratification of Independent Auditors. Proposal to ratify Dixon Hughes Goodman LLP as the Company's independent auditors for 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### M&T BANK CORPORATION

Security: 55261F104

Ticker: MTB

ISIN: US55261F1049

Agenda Number: 935345733

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	C. Angela Bontempo	Mgmt	For	For
2	Robert T. Brady	Mgmt	For	For
3	Calvin G. Butler, Jr.	Mgmt	For	For
4	T. J. Cunningham III	Mgmt	For	For
5	Gary N. Geisel	Mgmt	For	For
6	Leslie V. Godridge	Mgmt	For	For
7	Richard S. Gold	Mgmt	For	For
8	Richard A. Grossi	Mgmt	For	For
9	René F. Jones	Mgmt	For	For
10	Richard H. Ledgett, Jr.	Mgmt	For	For
11	Newton P.S. Merrill	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Kevin J. Pearson	Mgmt	For	For
13	Melinda R. Rich	Mgmt	For	For
14	Robert E. Sadler, Jr.	Mgmt	For	For
15	Denis J. Salamone	Mgmt	For	For
16	John R. Scannell	Mgmt	For	For
17	David S. Scharfstein	Mgmt	For	For
18	Rudina Seseri	Mgmt	For	For
19	Herbert L. Washington	Mgmt	For	For
2.	TO APPROVE THE COMPENSATION OF M&T BANK CORPORATION'S NAMED EXECUTIVE OFFICERS.	Mgmt	For	For
3.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF M&T BANK CORPORATION FOR THE YEAR ENDING DECEMBER 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### M&T BANK CORPORATION

Security: 55261F104

Ticker: MTB

ISIN: US55261F1049

Agenda Number: 935420238

Meeting Type: Special

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	TO APPROVE THE AMENDMENT OF THE RESTATED CERTIFICATE OF INCORPORATION OF M&T BANK CORPORATION ("M&T") TO EFFECT AN INCREASE IN THE NUMBER OF AUTHORIZED SHARES OF M&T'S CAPITAL STOCK FROM 251,000,000 TO 270,000,000 AND TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF M&T'S PREFERRED STOCK FROM 1,000,000 TO 20,000,000 (THE "M&T CHARTER AMENDMENT PROPOSAL").	Mgmt	For	For
2.	TO APPROVE THE ISSUANCE OF M&T COMMON STOCK TO HOLDERS OF PEOPLE'S UNITED FINANCIAL, INC. ("PEOPLE'S UNITED") COMMON STOCK PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 21, 2021 (AS IT MAY BE AMENDED FROM TIME TO TIME), BY AND AMONG M&T, BRIDGE MERGER CORP. AND PEOPLE'S UNITED (THE "M&T SHARE ISSUANCE PROPOSAL").	Mgmt	For	For
3.	TO ADJOURN THE M&T SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF, IMMEDIATELY PRIOR TO SUCH ADJOURNMENT, THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE M&T CHARTER AMENDMENT PROPOSAL AND/OR THE M&T SHARE ISSUANCE PROPOSAL, OR TO ENSURE THAT ANY SUPPLEMENT OR AMENDMENT TO THE ACCOMPANYING JOINT PROXY STATEMENT/PROSPECTUS IS TIMELY PROVIDED TO HOLDERS OF M&T COMMON STOCK.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### MACKINAC FINANCIAL CORPORATION

Security: 554571109

Ticker: MFNC

ISIN: US5545711096

Agenda Number: 935411746

Meeting Type: Annual

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Walter J. Aspatore	Mgmt	For	For
2	Robert H. Orley	Mgmt	For	For
3	Randolph C. Paschke	Mgmt	Withheld	Against
4	Martin Thomson	Mgmt	For	For
2.	To approve, in a non-binding advisory vote, the Corporation's compensation of its named executive officers, as disclosed in the Proxy Statement for the Annual Meeting.	Mgmt	For	For
3.	To ratify the appointment of Plante & Moran, PLLC as the Corporation's independent auditors for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### METROCITY BANKSHARES INC

Security: 59165J105

Ticker: MCBS

ISIN: US59165J1051

Agenda Number: 935406860

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Director: William J. Hungeling	Mgmt	For	For
1.2	Election of Director: Farid Tan	Mgmt	Against	Against
1.3	Election of Director: Don T.P Leung	Mgmt	Against	Against
1.4	Election of Director: Young Park	Mgmt	Against	Against
1.5	Election of Director: Ajit A. Patel	Mgmt	Against	Against
2.	Ratification of the appointment of Crowe LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### MIDWESTONE FINANCIAL GROUP, INC.

Security: 598511103

Ticker: MOFG

ISIN: US5985111039

Agenda Number: 935349399

Meeting Type: Annual

Meeting Date: 29-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Richard R. Donohue	Mgmt	For	For
2	Richard J. Hartig	Mgmt	For	For
3	Nathaniel J. Kaeding	Mgmt	For	For
4	Ruth E. Stanoch	Mgmt	For	For
5	Kurt R. Weise	Mgmt	For	For
2.	To approve, on a non-binding, advisory basis, the compensation of the named executive officers of the Company.	Mgmt	For	For
3.	To ratify the appointment of RSM US LLP to serve as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### NBT BANCORP INC.

Security: 628778102

Ticker: NBTB

ISIN: US6287781024

Agenda Number: 935397491

Meeting Type: Annual

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director for a one-year term: John H. Watt, Jr.	Mgmt	For	For
1B.	Election of Director for a one-year term: Martin A. Dietrich	Mgmt	For	For
1C.	Election of Director for a one-year term: Johanna R. Ames	Mgmt	For	For
1D.	Election of Director for a one-year term: J. David Brown	Mgmt	For	For
1E.	Election of Director for a one-year term: Patricia T. Civil	Mgmt	For	For
1F.	Election of Director for a one-year term: Timothy E. Delaney	Mgmt	For	For
1G.	Election of Director for a one-year term: James H. Douglas	Mgmt	For	For
1H.	Election of Director for a one-year term: Andrew S. Kowalczyk, III	Mgmt	For	For
1I.	Election of Director for a one-year term: John C. Mitchell	Mgmt	For	For
1J.	Election of Director for a one-year term: V. Daniel Robinson, II	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director for a one-year term: Matthew J. Salanger	Mgmt	For	For
1L.	Election of Director for a one-year term: Joseph A. Santangelo	Mgmt	For	For
1M.	Election of Director for a one-year term: Lowell A. Seifter	Mgmt	For	For
1N.	Election of Director for a one-year term: Jack H. Webb	Mgmt	For	For
2.	To approve, on a non-binding, advisory basis, the compensation of NBT Bancorp Inc.'s named executive officers ("Say on Pay").	Mgmt	For	For
3.	To ratify the appointment of KPMG LLP as NBT Bancorp Inc.'s independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### NICOLET BANKSHARES, INC.

Security: 65406E102

Ticker: NCBS

ISIN: US65406E1029

Agenda Number: 935354693

Meeting Type: Annual

Meeting Date: 10-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Robert B. Atwell	Mgmt	For	For
2	Rachel Campos-Duffy	Mgmt	For	For
3	Michael E. Daniels	Mgmt	For	For
4	John N. Dykema	Mgmt	For	For
5	Terrence R. Fulwiler	Mgmt	For	For
6	Christopher J. Ghidorzi	Mgmt	For	For
7	Andrew F. Hetzel, Jr.	Mgmt	For	For
8	Ann K. Lawson	Mgmt	For	For
9	Donald J. Long, Jr.	Mgmt	For	For
10	Dustin J. McClone	Mgmt	For	For
11	Susan L. Merkatoris	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Oliver Pierce Smith	Mgmt	For	For
13	Robert J. Weyers	Mgmt	For	For
2.	Ratification of selection of the independent registered public accounting firm of Wipfli LLP to serve as the independent registered public accounting firm for the Company for the year ending December 31, 2021.	Mgmt	For	For
3.	Advisory vote to approve Nicolet's named executive officer compensation.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### NORTHRIM BANCORP, INC.

Security: 666762109

Ticker: NRIM

ISIN: US6667621097

Agenda Number: 935397972

Meeting Type: Annual

Meeting Date: 27-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Larry S. Cash	Mgmt	For	For
2	Anthony Drabek	Mgmt	For	For
3	Karl L. Hanneman	Mgmt	For	For
4	David W. Karp	Mgmt	For	For
5	David J. McCambridge	Mgmt	For	For
6	Joseph P. Marushack	Mgmt	For	For
7	Krystal M. Nelson	Mgmt	For	For
8	Joseph M. Schierhorn	Mgmt	For	For
9	Aaron M. Schutt	Mgmt	For	For
10	John C. Swalling	Mgmt	For	For
11	Linda C. Thomas	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	David G. Wight	Mgmt	For	For
2.	ADVISORY VOTE ON EXECUTIVE COMPENSATION. To approve, by nonbinding vote, the compensation of the named executive officers.	Mgmt	For	For
3.	RATIFY THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. To ratify the selection of Moss Adams LLP as the independent registered accounting firm for Northrim BanCorp, Inc. for the fiscal year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### OAKTREE SPECIALTY LENDING CORPORATION

Security: 67401P108

Ticker: OCSL

ISIN: US67401P1084

Agenda Number: 935330946

Meeting Type: Annual

Meeting Date: 15-Mar-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Deborah Gero	Mgmt	For	For
1B.	Election of Director: Craig Jacobson	Mgmt	For	For
2.	To ratify the appointment of Ernst & Young LLP as the Independent Registered Public Accounting Firm for the Company for the fiscal year ending September 30, 2021.	Mgmt	For	For
3.	To approve the issuance of shares of common stock, par value \$0.01 per share, of the Company to be issued pursuant to the Agreement and Plan of Merger, dated as of October 28, 2020, among Oaktree Strategic Income Corporation, a Delaware corporation ("OCSI"), the Company, Lion Merger Sub, Inc., a Delaware corporation and a direct wholly-owned subsidiary of the Company, and, for the limited purposes set forth therein, Oaktree Fund Advisors, LLC, a Delaware limited liability company and investment adviser to each of the Company and OCSI.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### OLD NATIONAL BANCORP

Security: 680033107

Ticker: ONB

ISIN: US6800331075

Agenda Number: 935347256

Meeting Type: Annual

Meeting Date: 29-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Andrew E. Goebel	Mgmt	For	For
2	Jerome F. Henry, Jr.	Mgmt	For	For
3	Daniel S. Hermann	Mgmt	For	For
4	Ryan C. Kitchell	Mgmt	For	For
5	Phelps L. Lambert	Mgmt	For	For
6	Austin M. Ramirez	Mgmt	For	For
7	James C. Ryan, III	Mgmt	For	For
8	Thomas E. Salmon	Mgmt	For	For
9	Randall T. Shepard	Mgmt	For	For
10	Rebecca S. Skillman	Mgmt	For	For
11	Derrick J. Stewart	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Katherine E. White	Mgmt	For	For
13	Linda E. White	Mgmt	For	For
2.	Approval of amendment to the Old National Bancorp Amended and Restated 2008 Incentive Compensation Plan.	Mgmt	For	For
3.	Ratification of prior awards made under the Old National Bancorp Amended and Restated 2008 Incentive Compensation Plan.	Mgmt	For	For
4.	Approval of a non-binding advisory proposal on Executive Compensation.	Mgmt	For	For
5.	Ratification of the appointment of Crowe LLP as the independent registered public accounting firm of the Company for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### OLD SECOND BANCORP, INC.

Security: 680277100

Ticker: OSBC

ISIN: US6802771005

Agenda Number: 935248307

Meeting Type: Annual

Meeting Date: 18-Aug-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1a.	Election of Class I director: William Kane	Mgmt	For	For
1b.	Election of Class I director: John Ladowicz	Mgmt	For	For
1c.	Election of Class I director: Patti Temple Rocks	Mgmt	For	For
2.	Proposal to approve on a non-binding, advisory basis, the compensation of the Company's named executive officers, as described in the Company's Proxy Statement.	Mgmt	For	For
3.	Proposal to ratify the appointment of Plante & Moran, PLLC as the Company's independent registered public accountants for the fiscal year ending December 31, 2020.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### OLD SECOND BANCORP, INC.

Security: 680277100

Ticker: OSBC

ISIN: US6802771005

Agenda Number: 935407696

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Class II director nominee to serve for a term expiring in 2024: James Eccher	Mgmt	For	For
1B.	Election of Class II director nominee to serve for a term expiring in 2024: Barry Finn	Mgmt	For	For
1C.	Election of Class II director nominee to serve for a term expiring in 2024: Hugh McLean	Mgmt	For	For
1D.	Election of Class II director nominee to serve for a term expiring in 2024: James F. Tapscott	Mgmt	For	For
2.	Proposal to approve on a non-binding, advisory basis, the compensation of the Company's named executive officers, as described in the Company's Proxy Statement.	Mgmt	For	For
3.	Proposal to approve the Old Second Bancorp, Inc. 2019 Equity Incentive Plan, as Amended and Restated, to increase the number of shares of common stock authorized for issuance under the plan by 1,200,000 shares, from 600,000 shares to 1,800,000 shares.	Mgmt	For	For
4.	Proposal to ratify the appointment of Plante & Moran, PLLC as the Company's independent registered public accountants for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### OP BANCORP

Security: 67109R109

Ticker: OPBK

ISIN: US67109R1095

Agenda Number: 935234168

Meeting Type: Annual

Meeting Date: 23-Jul-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Brian Choi	Mgmt	For	For
2	Ernest E. Dow	Mgmt	For	For
3	Jason Hwang	Mgmt	For	For
4	Soo Hun Jung	Mgmt	For	For
5	Min J. Kim	Mgmt	For	For
6	Ock Hee Kim	Mgmt	For	For
7	Myung Ja Park	Mgmt	For	For
8	Yong Sin Shin	Mgmt	For	For
2.	To ratify the selection of Crowe LLP as the Company's independent registered public accounting firm for the year ending December 31, 2020.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### OP BANCORP

Security: 67109R109

Ticker: OPBK

ISIN: US67109R1095

Agenda Number: 935432334

Meeting Type: Annual

Meeting Date: 24-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Brian Choi	Mgmt	For	For
2	Ernest E. Dow	Mgmt	For	For
3	Jason Hwang	Mgmt	For	For
4	Soo Hun Jung	Mgmt	For	For
5	Min J. Kim	Mgmt	For	For
6	Ock Hee Kim	Mgmt	For	For
7	Myung Ja Park	Mgmt	For	For
8	Yong Sin Shin	Mgmt	For	For
2.	Approval of the 2021 Equity Incentive Plan.	Mgmt	Against	Against
3.	To ratify the selection of Crowe LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### ORANGE COUNTY BANCORP INC

Security: 68417L107

Ticker: OCBI

ISIN: US68417L1070

Agenda Number: 935417128

Meeting Type: Annual

Meeting Date: 25-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Louis Heimbach	Mgmt	Withheld	Against
2	Gregory Holcombe	Mgmt	For	For
3	Terry Saturno	Mgmt	Withheld	Against
4	Gustave Scacco	Mgmt	For	For
2.	The ratification of appointment of Crowe, LLP as the Company's Independent Accounting firm for 2021.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### PACIFIC PREMIER BANCORP, INC.

Security: 69478X105

Ticker: PPBI

ISIN: US69478X1054

Agenda Number: 935375192

Meeting Type: Annual

Meeting Date: 17-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Ayad A. Fargo	Mgmt	For	For
1B.	Election of Director: Steven R. Gardner	Mgmt	For	For
1C.	Election of Director: Joseph L. Garrett	Mgmt	For	For
1D.	Election of Director: Jeffrey C. Jones	Mgmt	For	For
1E.	Election of Director: M. Christian Mitchell	Mgmt	For	For
1F.	Election of Director: Barbara S. Polsky	Mgmt	For	For
1G.	Election of Director: Zareh H. Sarrafian	Mgmt	For	For
1H.	Election of Director: Jaynie M. Studenmund	Mgmt	For	For
1I.	Election of Director: Cora M. Tellez	Mgmt	For	For
1J.	Election of Director: Richard C. Thomas	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Mgmt	For	For
3.	TO RATIFY THE APPOINTMENT OF CROWE LLP AS THE COMPANY'S INDEPENDENT AUDITOR FOR THE FISCAL YEAR ENDING DECEMBER 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PACWEST BANCORP

Security: 695263103

Ticker: PACW

ISIN: US6952631033

Agenda Number: 935364911

Meeting Type: Annual

Meeting Date: 11-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director to service for a one-year term: Tanya M. Acker	Mgmt	For	For
1B.	Election of Director to service for a one-year term: Paul R. Burke	Mgmt	For	For
1C.	Election of Director to service for a one-year term: Craig A. Carlson	Mgmt	For	For
1D.	Election of Director to service for a one-year term: John M. Eggemeyer, III	Mgmt	For	For
1E.	Election of Director to service for a one-year term: C. William Hosler	Mgmt	For	For
1F.	Election of Director to service for a one-year term: Susan E. Lester	Mgmt	For	For
1G.	Election of Director to service for a one-year term: Roger H. Molvar	Mgmt	For	For
1H.	Election of Director to service for a one-year term: Daniel B. Platt	Mgmt	For	For
1I.	Election of Director to service for a one-year term: Robert A. Stine	Mgmt	For	For
1J.	Election of Director to service for a one-year term: Paul W. Taylor	Mgmt	For	For

# Investment Company Report

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director to service for a one-year term: Matthew P. Wagner	Mgmt	For	For
2.	To approve, on an advisory basis (non-binding), the compensation of the Company's named executive officers.	Mgmt	Against	Against
3.	To approve the Amended and Restated PacWest Bancorp 2017 Stock Incentive Plan.	Mgmt	For	For
4.	To ratify the appointment of KPMG LLP as the Company's independent auditors for the fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### PARK NATIONAL CORPORATION

Security: 700658107

Ticker: PRK

ISIN: US7006581075

Agenda Number: 935346608

Meeting Type: Annual

Meeting Date: 26-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director to serve for a term of three years to expire at the 2024 Annual Meeting: F. William Englefield IV	Mgmt	For	For
1B.	Election of Director to serve for a term of three years to expire at the 2024 Annual Meeting: Jason N. Judd	Mgmt	For	For
1C.	Election of Director to serve for a term of three years to expire at the 2024 Annual Meeting: David L. Trautman	Mgmt	For	For
1D.	Election of Director to serve for a term of three years to expire at the 2024 Annual Meeting: Leon Zazworsky	Mgmt	For	For
2.	Approval of non-binding advisory resolution to approve the compensation of the Company's named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of Crowe LLP as the independent registered public accounting firm of the Company for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PEOPLES BANCORP INC.

Security: 709789101

Ticker: PEBO

ISIN: US7097891011

Agenda Number: 935343563

Meeting Type: Annual

Meeting Date: 22-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Tara M. Abraham	Mgmt	For	For
2	S. Craig Beam	Mgmt	For	For
3	George W. Broughton	Mgmt	For	For
4	David F. Dierker	Mgmt	For	For
5	James S. Huggins	Mgmt	For	For
6	Brooke W. James	Mgmt	For	For
7	Susan D. Rector	Mgmt	For	For
8	Charles W. Sulerzyski	Mgmt	For	For
9	Michael N. Vittorio	Mgmt	For	For
2.	Approval of non-binding advisory resolution to approve the compensation of Peoples' named executive officers as disclosed in the Proxy Statement for the 2021 Annual Meeting of Shareholders.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
3.	Ratification of the appointment of Ernst & Young LLP as Peoples' independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PINNACLE FINANCIAL PARTNERS, INC.

Security: 72346Q104

Ticker: PNFP

ISIN: US72346Q1040

Agenda Number: 935343246

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director for a term of one year: Abney S. Boxley, III	Mgmt	For	For
1B.	Election of Director for a term of one year: Gregory L. Burns	Mgmt	For	For
1C.	Election of Director for a term of one year: Thomas C. Farnsworth, III	Mgmt	For	For
1D.	Election of Director for a term of one year: David B. Ingram	Mgmt	For	For
1E.	Election of Director for a term of one year: Decosta E. Jenkins	Mgmt	For	For
1F.	Election of Director for a term of one year: G. Kennedy Thompson	Mgmt	For	For
1G.	Election of Director for a term of one year: Charles E. Brock	Mgmt	For	For
1H.	Election of Director for a term of one year: Richard D. Callicutt, II	Mgmt	For	For
1I.	Election of Director for a term of one year: Joseph C. Galante	Mgmt	For	For
1J.	Election of Director for a term of one year: Robert A. McCabe, Jr.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director for a term of one year: Reese L. Smith, III	Mgmt	For	For
1L.	Election of Director for a term of one year: M. Terry Turner	Mgmt	For	For
1M.	Election of Director for a term of one year: Renda J. Burkhart	Mgmt	For	For
1N.	Election of Director for a term of one year: Marty G. Dickens	Mgmt	For	For
1O.	Election of Director for a term of one year: Glenda Baskin Glover	Mgmt	For	For
1P.	Election of Director for a term of one year: Ronald L. Samuels	Mgmt	For	For
2.	To ratify the appointment of Crowe LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	To approve, on a non-binding, advisory basis, the compensation of the Company's named executive officers as disclosed in the proxy statement for the annual meeting of shareholders.	Mgmt	For	For
4.	To approve the amendment and restatement of the Pinnacle Financial Partners, Inc. 2018 Omnibus Equity Incentive Plan.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PLUMAS BANCORP

Security: 729273102

Ticker: PLBC

ISIN: US7292731020

Agenda Number: 935375495

Meeting Type: Annual

Meeting Date: 19-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Michonne R. Ascuaga	Mgmt	For	For
2	Steven M. Coldani	Mgmt	For	For
3	Gerald W. Fletcher	Mgmt	For	For
4	Heidi S. Gansert	Mgmt	For	For
5	Richard F. Kenny	Mgmt	For	For
6	Robert J. McClintock	Mgmt	For	For
7	Terrance J. Reeson	Mgmt	For	For
8	Andrew J. Ryback	Mgmt	For	For
9	Daniel E. West	Mgmt	For	For
2.	To vote on the ratification of the appointment of Eide Bailly, LLP as our independent auditors for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PLYMOUTH INDUSTRIAL REIT, INC.

Security: 729640102

Ticker: PLYM

ISIN: US7296401026

Agenda Number: 935212845

Meeting Type: Annual

Meeting Date: 01-Jul-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Martin Barber	Mgmt	Withheld	Against
2	Philip S. Cottone	Mgmt	Withheld	Against
3	Richard J. DeAgazio	Mgmt	Withheld	Against
4	David G. Gaw	Mgmt	For	For
5	Pendleton P. White, Jr.	Mgmt	For	For
6	Jeffrey E. Witherell	Mgmt	For	For
2.	Amend the Company's 2014 Incentive Award Plan.	Mgmt	For	For
3.	Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accountants for 2020.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PLYMOUTH INDUSTRIAL REIT, INC.

Security: 729640102

Ticker: PLYM

ISIN: US7296401026

Agenda Number: 935433730

Meeting Type: Annual

Meeting Date: 10-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Martin Barber	Mgmt	Withheld	Against
2	Philip S. Cottone	Mgmt	Withheld	Against
3	Richard J. DeAgazio	Mgmt	Withheld	Against
4	David G. Gaw	Mgmt	Withheld	Against
5	John W. Guinee	Mgmt	For	For
6	Caitlin Murphy	Mgmt	For	For
7	Pendleton P. White, Jr.	Mgmt	Withheld	Against
8	Jeffrey E. Witherell	Mgmt	Withheld	Against
2.	Approval, as required by the New York Stock Exchange, of the issuance of shares of the Company's common stock upon conversion of the Company's Series B Preferred Stock.	Mgmt	For	For
3.	Approval, by an advisory, non-binding vote, of the Company's executive compensation.	Mgmt	For	For



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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
4.	Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accountants for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### POPULAR, INC.

**Security:** 733174700

**Ticker:** BPOP

**ISIN:** PR7331747001

**Agenda Number:** 935355506

**Meeting Type:** Annual

**Meeting Date:** 06-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1a)	Election of Class 1 Director for a one-year term: Alejandro M. Ballester	Mgmt	For	For
1b)	Election of Class 1 Director for a one-year term: Richard L. Carrión	Mgmt	For	For
1c)	Election of Class 1 Director for a one-year term: Carlos A. Unanue	Mgmt	For	For
2)	Approve, on an advisory basis, the Corporation's executive compensation.	Mgmt	For	For
3)	Approve, on an advisory basis, the frequency of future advisory votes on the Corporation's executive compensation.	Mgmt	1 Year	For
4)	Ratify the appointment of PricewaterhouseCoopers LLP as Popular, Inc.'s independent registered public accounting firm for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PREMIER FINANCIAL CORP.

Security: 74052F108

Ticker: PFC

ISIN: US74052F1084

Agenda Number: 935348614

Meeting Type: Annual

Meeting Date: 29-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Marty E. Adams	Mgmt	For	For
2	Donald P. Hileman	Mgmt	For	For
3	Gary M. Small	Mgmt	For	For
4	Samuel S. Strausbaugh	Mgmt	For	For
2.	To consider and approve on a non-binding advisory basis the compensation of Premier's named executive officers.	Mgmt	For	For
3.	To consider and vote on a proposal to ratify the appointment of Crowe LLP as the independent registered public accounting firm for Premier for the fiscal year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### PRIME MERIDIAN HOLDING COMPANY

Security: 74164R107

Ticker: PMHG

ISIN: US74164R1077

Agenda Number: 935371168

Meeting Type: Annual

Meeting Date: 13-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Kenneth H. Compton	Mgmt	For	For
2	William D. Crona	Mgmt	For	For
3	Sammie D. Dixon, Jr.	Mgmt	Withheld	Against
4	Steven L. Evans	Mgmt	For	For
5	R. Randy Guemple	Mgmt	For	For
6	Chris L. Jensen, Jr.	Mgmt	For	For
7	Kathleen C. Jones	Mgmt	Withheld	Against
8	Robert H. Kirby	Mgmt	For	For
9	Frank L. Langston	Mgmt	For	For
10	Michael A. Micallef Jr	Mgmt	For	For
11	L. Collins Proctor Sr	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Garrison A. Rolle, M.D.	Mgmt	For	For
13	Steven D. Smith	Mgmt	For	For
14	Richard A. Weidner	Mgmt	For	For
2.	The ratification of the appointment of Hacker, Johnson & Smith, P.A. as the independent auditors for the Company, for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	The adjournment of the Annual Meeting to solicit additional proxies in the event there are an insufficient number of votes to approve either one or both of the foregoing Proposals.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### PRIVATE BANCORP OF AMERICA, INC.

Security: 74274F100

Ticker: PBAM

ISIN: US74274F1003

Agenda Number: 935374722

Meeting Type: Annual

Meeting Date: 12-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Selwyn Isakow	Mgmt	Withheld	Against
2	Leon Kassel	Mgmt	Withheld	Against
3	Sisi Pouraghabagher	Mgmt	For	For
4	Ernest Rady	Mgmt	For	For
5	Lorraine Segil	Mgmt	For	For
6	Richard L. Sowers	Mgmt	For	For
7	Thomas V. Wornham	Mgmt	For	For
2.	To transact such other business as may properly come before the Meeting and at any continuations or adjournments thereof.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### PROVIDENT FINANCIAL HOLDINGS, INC.

Security: 743868101

Ticker: PROV

ISIN: US7438681014

Agenda Number: 935288313

Meeting Type: Annual

Meeting Date: 24-Nov-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Craig G. Blunden	Mgmt	For	For
2	Roy H. Taylor	Mgmt	Withheld	Against
2.	Advisory approval of the compensation of our named executive officers as disclosed in the Proxy Statement.	Mgmt	Against	Against
3.	Ratification of the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for Provident Financial Holdings, Inc. for the fiscal year ending June 30, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### QCR HOLDINGS, INC.

Security: 74727A104

Ticker: QCRH

ISIN: US74727A1043

Agenda Number: 935380319

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Mary Kay Bates	Mgmt	For	For
2	John-Paul E. Besong	Mgmt	For	For
3	Todd A. Gipple	Mgmt	For	For
4	Donna J. Sorensen	Mgmt	For	For
2.	To approve, in a non-binding, advisory vote, the compensation of certain executive officers which is referred to as a "say-on-pay" proposal.	Mgmt	For	For
3.	To ratify the appointment of RSM US LLP as QCR Holdings' independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### RED RIVER BANCSHARES, INC.

Security: 75686R202

Ticker: RRBI

ISIN: US75686R2022

Agenda Number: 935364935

Meeting Type: Annual

Meeting Date: 06-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	M. Scott Ashbrook	Mgmt	For	For
2	R. Blake Chatelain	Mgmt	For	For
3	Kirk D. Cooper	Mgmt	For	For
4	Barry D. Hines	Mgmt	For	For
5	Anna B. Moreau, DDS, MS	Mgmt	For	For
6	Robert A. Nichols	Mgmt	For	For
7	Willie P. Obey	Mgmt	For	For
8	Teddy R. Price	Mgmt	For	For
9	John C. Simpson	Mgmt	For	For
10	Don L. Thompson	Mgmt	For	For
11	H.L. Torbett, CPA, CFP	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratify the appointment of Postlethwaite & Netterville, APAC as the Company's auditor for the year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### REGIONS FINANCIAL CORPORATION

Security: 7591EP100

Ticker: RF

ISIN: US7591EP1005

Agenda Number: 935344452

Meeting Type: Annual

Meeting Date: 21-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Carolyn H. Byrd	Mgmt	For	For
1B.	Election of Director: Don DeFosset	Mgmt	For	For
1C.	Election of Director: Samuel A. Di Piazza, Jr.	Mgmt	For	For
1D.	Election of Director: Zhanna Golodryga	Mgmt	For	For
1E.	Election of Director: John D. Johns	Mgmt	For	For
1F.	Election of Director: Ruth Ann Marshall	Mgmt	For	For
1G.	Election of Director: Charles D. McCrary	Mgmt	For	For
1H.	Election of Director: James T. Prokopanko	Mgmt	For	For
1I.	Election of Director: Lee J. Styslinger III	Mgmt	For	For
1J.	Election of Director: José S. Suquet	Mgmt	For	For
1K.	Election of Director: John M. Turner, Jr.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Timothy Vines	Mgmt	For	For
2.	Ratification of Appointment of Ernst & Young LLP as the Independent Registered Public Accounting Firm for 2021.	Mgmt	For	For
3.	Advisory Vote on Executive Compensation.	Mgmt	Against	Against

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## 2X21 JHF Financial Opportunities Fund

### RENASANT CORPORATION

Security: 75970E107

Ticker: RNST

ISIN: US75970E1073

Agenda Number: 935339932

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Donald Clark, Jr. *	Mgmt	For	For
2	Albert J. Dale, III *	Mgmt	For	For
3	Connie L. Engel *	Mgmt	For	For
4	C. Mitchell Waycaster *	Mgmt	For	For
5	John T. Foy #	Mgmt	For	For
3.	Adoption, in a non-binding advisory vote, of a resolution approving the compensation of our named executive officers.	Mgmt	For	For
4.	Ratification of the appointment of HORNE LLP as Renasant's independent registered public accountants for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SANDY SPRING BANCORP, INC.

Security: 800363103

Ticker: SASR

ISIN: US8003631038

Agenda Number: 935348412

Meeting Type: Annual

Meeting Date: 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Ralph F. Boyd, Jr.	Mgmt	For	For
2	Walter C. Martz II	Mgmt	For	For
3	Mark C. Michael	Mgmt	For	For
4	Robert L. Orndorff	Mgmt	For	For
5	Daniel J. Schrider	Mgmt	For	For
2.	A non-binding resolution to approve the compensation for the named executive officers.	Mgmt	For	For
3.	The ratification of the appointment of Ernst & Young LLP as the independent registered public accounting firm for the year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SB FINANCIAL GROUP, INC.

Security: 78408D105

Ticker: SBFG

ISIN: US78408D1054

Agenda Number: 935342357

Meeting Type: Annual

Meeting Date: 21-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	George W. Carter	Mgmt	For	For
2	Tom R. Helberg	Mgmt	For	For
3	Timothy J. Stolly	Mgmt	For	For
2.	To ratify the appointment of BKD, LLP as the independent registered accounting firm of SB Financial for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	To approve a non-binding advisory resolution to approve the compensation of SB Financial's named executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SHORE BANCSHARES, INC.

Security: 825107105

Ticker: SHBI

ISIN: US8251071051

Agenda Number: 935362145

Meeting Type: Annual

Meeting Date: 12-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Class III Director (term expires 2024): David J. Bates	Mgmt	For	For
1B.	Election of Class III Director (term expires 2024): Lloyd L. Beatty, Jr.	Mgmt	For	For
1C.	Election of Class III Director (term expires 2024): James A. Judge	Mgmt	For	For
1D.	Election of Class III Director (term expires 2024): R. Michael Clemmer, Jr.	Mgmt	For	For
2.	Ratify the appointment of Yount, Hyde & Barbour, P.C. as the independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Adopt a non-binding advisory resolution approving the compensation of the named executive officers.	Mgmt	For	For
4.	Approve the Shore Bancshares, Inc. 2021 Employee Stock Purchase Plan.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### SIERRA BANCORP

Security: 82620P102

Ticker: BSRR

ISIN: US82620P1021

Agenda Number: 935398948

Meeting Type: Annual

Meeting Date: 26-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Albert L. Berra	Mgmt	For	For
1B.	Election of Director: Julie G. Castle	Mgmt	For	For
1C.	Election of Director: Vonn R. Christenson	Mgmt	For	For
1D.	Election of Director: Laurence S. Dutto	Mgmt	For	For
1E.	Election of Director: Kevin J. McPhaill	Mgmt	For	For
1F.	Election of Director: Gordon T. Woods	Mgmt	For	For
2.	Ratification of the appointment of Eide Bailly LLP as the independent registered public accounting firm.	Mgmt	For	For
3.	To ratify Indemnification Agreements with the directors and certain executive officers as described in the proxy statement dated April 15, 2021.	Mgmt	For	For
4.	To approve, by non-binding vote, executive compensation.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SIMON PROPERTY GROUP, INC.

Security: 828806109

Ticker: SPG

ISIN: US8288061091

Agenda Number: 935360608

Meeting Type: Annual

Meeting Date: 12-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Glyn F. Aepfel	Mgmt	For	For
1B.	Election of Director: Larry C. Glasscock	Mgmt	For	For
1C.	Election of Director: Karen N. Horn, Ph.D.	Mgmt	For	For
1D.	Election of Director: Allan Hubbard	Mgmt	For	For
1E.	Election of Director: Reuben S. Leibowitz	Mgmt	For	For
1F.	Election of Director: Gary M. Rodkin	Mgmt	For	For
1G.	Election of Director: Stefan M. Selig	Mgmt	For	For
1H.	Election of Director: Daniel C. Smith, Ph.D.	Mgmt	For	For
1I.	Election of Director: J. Albert Smith, Jr.	Mgmt	For	For
1J.	Election of Director: Marta R. Stewart	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	An Advisory Vote to Approve the Compensation of our Named Executive Officers.	Mgmt	For	For
3.	Ratification of Ernst & Young LLP as our Independent Registered Public Accounting Firm for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SIXTH STREET SPECIALTY LENDING

**Security:** 83012A109

**Ticker:** TSLX

**ISIN:** US83012A1097

**Agenda Number:** 935396829

**Meeting Type:** Special

**Meeting Date:** 26-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	To approve a proposal to authorize the Company to sell or otherwise issue shares of its common stock at a price below its then-current net asset value per share in one or more offerings, in each case subject to the approval of its Board of Directors and subject to the conditions set forth in the accompanying proxy statement.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SIXTH STREET SPECIALTY LENDING

**Security:** 83012A109

**Ticker:** TSLX

**ISIN:** US83012A1097

**Agenda Number:** 935389797

**Meeting Type:** Annual

**Meeting Date:** 26-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Richard Higginbotham	Mgmt	For	For
2	David Stiepleman	Mgmt	For	For
3	Ronald Tanemura	Mgmt	For	For
2.	To ratify the selection of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SOUTH ATLANTIC BANCSHARES, INC.

Security: 83636T104

Ticker: SABK

ISIN: US83636T1043

Agenda Number: 935357550

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Richard N. Burch	Mgmt	Withheld	Against
2	James Carson Benton, Jr	Mgmt	For	For
3	Albert A. Springs, IV	Mgmt	Withheld	Against
4	Jack L. Springs, Jr.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SOUTH STATE CORPORATION

Security: 840441109

Ticker: SSB

ISIN: US8404411097

Agenda Number: 935267054

Meeting Type: Annual

Meeting Date: 29-Oct-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Robert R. Hill, Jr.	Mgmt	For	For
2	Jean E. Davis	Mgmt	For	For
3	Martin B. Davis	Mgmt	For	For
4	John H. Holcomb III	Mgmt	For	For
5	Charles. W. McPherson	Mgmt	For	For
6	Ernest S. Pinner	Mgmt	For	For
7	G. Ruffner Page, Jr.	Mgmt	For	For
8	Joshua A. Snively	Mgmt	For	For
9	John C. Corbett	Mgmt	For	For
10	William K. Pou, Jr.	Mgmt	For	For
11	David G. Salyers	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Approval of an amendment to South State Corporation's Articles of Incorporation to eliminate the classified structure of the Board of Directors.	Mgmt	For	For
3.	Approval of the amendment and restatement of South State Corporation's Employee Stock Purchase Plan to increase the number of shares of our common stock that may be issued under the plan by up to 1,400,000 shares.	Mgmt	For	For
4.	Approval of the 2020 Omnibus Incentive Plan.	Mgmt	For	For
5.	Approval, as an advisory, non-binding vote, of the compensation of our named executive officers.	Mgmt	For	For
6.	Ratification, as an advisory, non-binding vote, of the appointment of Dixon Hughes Goodman LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2020.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### SOUTH STATE CORPORATION

Security: 840441109

Ticker: SSB

ISIN: US8404411097

Agenda Number: 935347294

Meeting Type: Annual

Meeting Date: 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: John C. Corbett	Mgmt	For	For
1B.	Election of Director: Jean E. Davis	Mgmt	For	For
1C.	Election of Director: Martin B. Davis	Mgmt	For	For
1D.	Election of Director: Robert H. Demere, Jr.	Mgmt	For	For
1E.	Election of Director: Cynthia A. Hartley	Mgmt	For	For
1F.	Election of Director: Robert R. Hill, Jr.	Mgmt	For	For
1G.	Election of Director: John H. Holcomb III	Mgmt	For	For
1H.	Election of Director: Robert R. Horger	Mgmt	For	For
1I.	Election of Director: Charles W. McPherson	Mgmt	For	For
1J.	Election of Director: G. Ruffner Page, Jr.	Mgmt	For	For
1K.	Election of Director: Ernest S. Pinner	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: John C. Pollok	Mgmt	For	For
1M.	Election of Director: William Knox Pou, Jr.	Mgmt	For	For
1N.	Election of Director: David G. Salyers	Mgmt	For	For
1O.	Election of Director: Joshua A. Snively	Mgmt	For	For
1P.	Election of Director: Kevin P. Walker	Mgmt	For	For
2.	Approval, as an advisory, non-binding vote, of the compensation of our named executive officers.	Mgmt	For	For
3.	Ratification, as an advisory, non-binding vote, of the appointment of Dixon Hughes Goodman LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SOUTHERN FIRST BANCSHARES, INC.

Security: 842873101

Ticker: SFST

ISIN: US8428731017

Agenda Number: 935393001

Meeting Type: Annual

Meeting Date: 18-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Mark A Cothran*	Mgmt	For	For
2	R. G Johnstone III*	Mgmt	For	For
3	R Arthur Seaver Jr*	Mgmt	For	For
4	Anna T Locke*	Mgmt	For	For
5	Tecumseh Hooper Jr#	Mgmt	For	For
2.	To conduct an advisory vote on the compensation of our named executive officers as disclosed in this proxy statement (this is a non-binding, advisory vote).	Mgmt	For	For
3.	To ratify the appointment of Elliott Davis, LLC as our independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SOUTHERN MISSOURI BANCORP, INC.

Security: 843380106

Ticker: SMBC

ISIN: US8433801060

Agenda Number: 935275594

Meeting Type: Annual

Meeting Date: 26-Oct-20

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Rebecca M. Brooks	Mgmt	For	For
2	Dennis C. Robison	Mgmt	For	For
3	David J. Tooley	Mgmt	Withheld	Against
2.	Advisory (non binding) vote on Executive Compensation as disclosed in the accompanying proxy statement.	Mgmt	For	For
3.	Ratification of the appointment of BKD, LLP as Southern Missouri Bancorp's independent auditors for the fiscal year ending June 30, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### STOCK YARDS BANCORP, INC.

Security: 861025104

Ticker: SYBT

ISIN: US8610251048

Agenda Number: 935352497

Meeting Type: Annual

Meeting Date: 22-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Paul J. Bickel III	Mgmt	For	For
1B.	Election of Director: J. McCauley Brown	Mgmt	For	For
1C.	Election of Director: David P. Heintzman	Mgmt	For	For
1D.	Election of Director: Donna L. Heitzman	Mgmt	For	For
1E.	Election of Director: Carl G. Herde	Mgmt	For	For
1F.	Election of Director: James A. Hillebrand	Mgmt	For	For
1G.	Election of Director: Richard A. Lechleiter	Mgmt	For	For
1H.	Election of Director: Stephen M. Priebe	Mgmt	For	For
1I.	Election of Director: John L. Schutte	Mgmt	For	For
1J.	Election of Director: Kathy C. Thompson	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	The ratification of BKD, LLP as the independent registered public accounting firm for Stock Yards Bancorp, Inc. for the year ending December 31, 2021.	Mgmt	For	For
3.	The advisory approval of the compensation of Bancorp's named executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### SYNOVUS FINANCIAL CORP.

Security: 87161C501

Ticker: SNV

ISIN: US87161C5013

Agenda Number: 935341367

Meeting Type: Annual

Meeting Date: 21-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Tim E. Bentsen	Mgmt	For	For
1B.	Election of Director: Kevin S. Blair	Mgmt	For	For
1C.	Election of Director: F. Dixon Brooke, Jr	Mgmt	For	For
1D.	Election of Director: Stephen T. Butler	Mgmt	For	For
1E.	Election of Director: Elizabeth W. Camp	Mgmt	For	For
1F.	Election of Director: Pedro Cherry	Mgmt	For	For
1G.	Election of Director: Diana M. Murphy	Mgmt	For	For
1H.	Election of Director: Harris Pastides	Mgmt	For	For
1I.	Election of Director: Joseph J. Prochaska, Jr	Mgmt	For	For
1J.	Election of Director: John L. Stallworth	Mgmt	For	For
1K.	Election of Director: Kessel D. Stelling	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: Barry L. Storey	Mgmt	For	For
1M.	Election of Director: Teresa White	Mgmt	For	For
2.	To approve the Synovus Financial Corp. 2021 Employee Stock Purchase Plan.	Mgmt	For	For
3.	To approve the Synovus Financial Corp. 2021 Director Stock Purchase Plan.	Mgmt	For	For
4.	To approve the Synovus Financial Corp. 2021 Omnibus Plan.	Mgmt	For	For
5.	To approve, on an advisory basis, the compensation of Synovus' named executive officers as determined by the Compensation Committee.	Mgmt	For	For
6.	To ratify the appointment of KPMG LLP as Synovus' independent auditor for the year 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### TCF FINANCIAL CORPORATION

**Security:** 872307103

**Ticker:** TCF

**ISIN:** US8723071036

**Agenda Number:** 935338043

**Meeting Type:** Special

**Meeting Date:** 25-Mar-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	Approval of the Agreement and Plan of Merger, dated as of December 13, 2020 (as it may be amended from time to time), by and between TCF Financial Corporation ("TCF") and Huntington Bancshares Incorporated ("Huntington"), pursuant to which TCF will merge with and into Huntington, with Huntington surviving the merger (the "TCF merger proposal").	Mgmt	For	For
2.	Approval of, on an advisory (non-binding) basis, the merger- related named executive officer compensation that will or may be paid to TCF's named executive officers in connection with the merger (the "TCF compensation proposal").	Mgmt	For	For
3.	Approval of the adjournment of the special meeting of TCF shareholders to a later date or dates, if necessary or appropriate, to solicit additional proxies if, immediately prior to such adjournment, there are not sufficient votes at the time of the TCF special meeting to approve the TCF merger proposal or to ensure that any supplement or amendment to this joint proxy statement/prospectus is timely provided to holders of TCF common stock (the "TCF adjournment proposal").	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### TERRITORIAL BANCORP INC

**Security:** 88145X108

**Ticker:** TBNK

**ISIN:** US88145X1081

**Agenda Number:** 935397441

**Meeting Type:** Annual

**Meeting Date:** 13-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Allan S. Kitagawa	Mgmt	For	For
2	John M. Ohama	Mgmt	For	For
2.	The ratification of the appointment of Moss Adams LLP as our independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For
3.	An advisory (non-binding) resolution to approve our executive compensation as described in the proxy statement.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### THE COMMUNITY FINANCIAL CORPORATION

Security: 20368X101

Ticker: TCFC

ISIN: US20368X1019

Agenda Number: 935380751

Meeting Type: Annual

Meeting Date: 26-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Michael B. Adams	Mgmt	For	For
2	William J. Pasenelli	Mgmt	For	For
3	E Lawrence Sanders, III	Mgmt	For	For
4	Austin J. Slater, Jr.	Mgmt	For	For
5	Joseph V. Stone, Jr.	Mgmt	For	For
6	Gregory C. Cockerham	Mgmt	For	For
7	James M. Burke	Mgmt	For	For
8	James F. Di Misa	Mgmt	For	For
2.	The ratification of the appointment of Dixon Hughes Goodman LLP as the independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For
3.	The approval of a non-binding resolution of the compensation of the named executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### THE FIRST BANCORP, INC.

Security: 31866P102

Ticker: FNLC

ISIN: US31866P1021

Agenda Number: 935354287

Meeting Type: Annual

Meeting Date: 28-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Robert B. Gregory	Mgmt	For	For
2	Renee W. Kelly	Mgmt	For	For
3	Tony C. McKim	Mgmt	For	For
4	Mark N. Rosborough	Mgmt	For	For
5	Cornelius J. Russell	Mgmt	For	For
6	Stuart G. Smith	Mgmt	For	For
7	Bruce B. Tindal	Mgmt	For	For
8	F. Stephen Ward	Mgmt	For	For
2.	To approve (on a non-binding basis), the compensation of the Company's executives, as disclosed in the Company's annual report and proxy statement.	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
3.	To ratify the Board of Directors Audit Committee's selection of Berry Dunn McNeil & Parker, LLC, as independent auditors for the Company for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### THE FIRST BANCSHARES, INC.

Security: 318916103

Ticker: FBMS

ISIN: US3189161033

Agenda Number: 935381486

Meeting Type: Annual

Meeting Date: 20-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Charles R. Lightsey	Mgmt	For	For
2	Fred A. McMurry	Mgmt	For	For
3	Thomas E. Mitchell	Mgmt	For	For
4	Andrew D. Stetelman	Mgmt	For	For
2.	Approval, on an advisory basis, of the compensation of our named executive officers.	Mgmt	For	For
3.	Ratification of the appointment of BKD, LLP as the independent registered public accounting firm of the Company for the fiscal year 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### THE PNC FINANCIAL SERVICES GROUP, INC.

Security: 693475105

Ticker: PNC

ISIN: US6934751057

Agenda Number: 935343208

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Joseph Alvarado	Mgmt	For	For
1B.	Election of Director: Charles E. Bunch	Mgmt	For	For
1C.	Election of Director: Debra A. Cafaro	Mgmt	For	For
1D.	Election of Director: Marjorie Rodgers Cheshire	Mgmt	For	For
1E.	Election of Director: David L. Cohen	Mgmt	For	For
1F.	Election of Director: William S. Demchak	Mgmt	For	For
1G.	Election of Director: Andrew T. Feldstein	Mgmt	For	For
1H.	Election of Director: Richard J. Harshman	Mgmt	For	For
1I.	Election of Director: Daniel R. Hesse	Mgmt	For	For
1J.	Election of Director: Linda R. Medler	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1K.	Election of Director: Martin Pfinsgraff	Mgmt	For	For
1L.	Election of Director: Toni Townes-Whitley	Mgmt	For	For
1M.	Election of Director: Michael J. Ward	Mgmt	For	For
2.	Ratification of the Audit Committee's selection of PricewaterhouseCoopers LLP as PNC's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Advisory vote to approve named executive officer compensation.	Mgmt	For	For
4.	Shareholder proposal regarding report on risk management and the nuclear weapons industry.	Shr	For	Against



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## 2X21 JHF Financial Opportunities Fund

### TRICO BANCSHARES

Security: 896095106

Ticker: TCBK

ISIN: US8960951064

Agenda Number: 935394154

Meeting Type: Annual

Meeting Date: 27-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Donald J. Amaral	Mgmt	For	For
2	L. Gage Chrysler III	Mgmt	For	For
3	Craig S. Compton	Mgmt	For	For
4	Kirsten E. Garen	Mgmt	For	For
5	Cory W. Giese	Mgmt	For	For
6	John S. A. Hasbrook	Mgmt	For	For
7	Margaret L. Kane	Mgmt	For	For
8	Michael W. Koehnen	Mgmt	For	For
9	Martin A. Mariani	Mgmt	For	For
10	Thomas C. McGraw	Mgmt	For	For
11	Richard P. Smith	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Kimberley H. Vogel	Mgmt	For	For
2.	Advisory approval of the company's executive compensation.	Mgmt	For	For
3.	To ratify the selection of Moss Adams LLP as the company's independent auditor for 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### TRINITY CAPITAL INC

Security: 896442308

Ticker: TRIN

ISIN: US8964423086

Agenda Number: 935425377

Meeting Type: Annual

Meeting Date: 17-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.1	Election of Director: Michael E. Zacharia	Mgmt	For	For
1.2	Election of Director: Kyle Brown	Mgmt	Against	Against
1.3	Election of Director: Richard R. Ward	Mgmt	Against	Against
2.	To authorize the Company to sell or otherwise issue up to 25% of then-outstanding shares at a price below then current NAV per share.	Mgmt	For	For
3.	To authorize the Company to issue options, warrants or other convertible securities.	Mgmt	Against	Against
4.	To approve the 2019 Trinity Capital Inc. Long-Term Incentive Plan.	Mgmt	Against	Against
5.	To approve the Trinity Capital Inc. 2019 Non-Employee Director Restricted Stock Plan.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### TRUIST FINANCIAL CORPORATION

**Security:** 89832Q109

**Ticker:** TFC

**ISIN:** US89832Q1094

**Agenda Number:** 935345288

**Meeting Type:** Annual

**Meeting Date:** 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Jennifer S. Banner	Mgmt	For	For
1B.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: K. David Boyer, Jr.	Mgmt	For	For
1C.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Agnes Bundy Scanlan	Mgmt	For	For
1D.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Anna R. Cablik	Mgmt	For	For
1E.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Dallas S. Clement	Mgmt	For	For
1F.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Paul D. Donahue	Mgmt	For	For
1G.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Paul R. Garcia	Mgmt	For	For
1H.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Patrick C. Graney III	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1I.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Linnie M. Haynesworth	Mgmt	For	For
1J.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Kelly S. King	Mgmt	For	For
1K.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Easter A. Maynard	Mgmt	For	For
1L.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Donna S. Morea	Mgmt	For	For
1M.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Charles A. Patton	Mgmt	For	For
1N.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Nido R. Qubein	Mgmt	For	For
1O.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: David M. Ratcliffe	Mgmt	For	For
1P.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: William H. Rogers, Jr.	Mgmt	For	For
1Q.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Frank P. Scruggs, Jr.	Mgmt	For	For
1R.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Christine Sears	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1S.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Thomas E. Skains	Mgmt	For	For
1T.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Bruce L. Tanner	Mgmt	For	For
1U.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Thomas N. Thompson	Mgmt	For	For
1V.	Election of Director for a one-year term expiring at the 2022 Annual Meeting of Shareholders: Steven C. Voorhees	Mgmt	For	For
2.	Ratification of the appointment of PricewaterhouseCoopers LLP as Truist's independent registered public accounting firm for 2021.	Mgmt	For	For
3.	Advisory vote to approve Truist's executive compensation program.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### U.S. BANCORP

Security: 902973304

Ticker: USB

ISIN: US9029733048

Agenda Number: 935344262

Meeting Type: Annual

Meeting Date: 20-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Warner L. Baxter	Mgmt	For	For
1B.	Election of Director: Dorothy J. Bridges	Mgmt	For	For
1C.	Election of Director: Elizabeth L. Buse	Mgmt	For	For
1D.	Election of Director: Andrew Cecere	Mgmt	For	For
1E.	Election of Director: Kimberly N. Ellison-Taylor	Mgmt	For	For
1F.	Election of Director: Kimberly J. Harris	Mgmt	For	For
1G.	Election of Director: Roland A. Hernandez	Mgmt	For	For
1H.	Election of Director: Olivia F. Kirtley	Mgmt	For	For
1I.	Election of Director: Karen S. Lynch	Mgmt	For	For
1J.	Election of Director: Richard P. McKenney	Mgmt	For	For
1K.	Election of Director: Yusuf I. Mehdi	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1L.	Election of Director: John P. Wiehoff	Mgmt	For	For
1M.	Election of Director: Scott W. Wine	Mgmt	For	For
2.	The ratification of the selection of Ernst & Young LLP as our independent auditor for the 2021 fiscal year.	Mgmt	For	For
3.	An advisory vote to approve the compensation of our executives disclosed in the proxy statement.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### UNITED BANCORPORATION OF ALABAMA, INC.

Security: 90944R100

Ticker: UBAB

ISIN: US90944R1005

Agenda Number: 935385814

Meeting Type: Annual

Meeting Date: 05-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Richard K. Maxwell	Mgmt	Withheld	Against
2	Charles D. Cottrell	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### UNITED BANKSHARES, INC.

Security: 909907107

Ticker: UBSI

ISIN: US9099071071

Agenda Number: 935356180

Meeting Type: Annual

Meeting Date: 12-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Richard M. Adams	Mgmt	For	For
2	Charles L. Capito, Jr.	Mgmt	For	For
3	Peter A. Converse	Mgmt	For	For
4	Michael P. Fitzgerald	Mgmt	For	For
5	Theodore J. Georgelas	Mgmt	For	For
6	Dr. Patrice A. Harris	Mgmt	For	For
7	J. Paul McNamara	Mgmt	For	For
8	Mark R. Nesselroad	Mgmt	For	For
9	Jerold L Rexroad	Mgmt	For	For
10	Albert H. Small, Jr.	Mgmt	For	For
11	Mary K. Weddle	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
12	Gary G. White	Mgmt	For	For
13	P. Clinton Winter	Mgmt	For	For
2.	To ratify the selection of Ernst & Young LLP to act as the independent registered public accounting firm for 2021.	Mgmt	For	For
3.	To approve, on an advisory basis, the compensation of United's named executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### UNITED COMMUNITY BANKS, INC.

Security: 90984P303

Ticker: UCBI

ISIN: US90984P3038

Agenda Number: 935360507

Meeting Type: Annual

Meeting Date: 12-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Robert H. Blalock	Mgmt	For	For
2	James P. Clements	Mgmt	For	For
3	L. Cathy Cox	Mgmt	For	For
4	Kenneth L. Daniels	Mgmt	For	For
5	Lance F. Drummond	Mgmt	For	For
6	H. Lynn Harton	Mgmt	For	For
7	Jennifer K. Mann	Mgmt	For	For
8	Thomas A. Richlovsky	Mgmt	For	For
9	David C. Shaver	Mgmt	For	For
10	Tim R. Wallis	Mgmt	For	For
11	David H. Wilkins	Mgmt	Withheld	Against

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	To approve an amendment to our Restated Articles of Incorporation, as amended, to increase the number of shares of our voting common stock, par value \$1.00 available for issuance from 150,000,000 to 200,000,000.	Mgmt	For	For
3.	To approve, on an advisory basis, the compensation paid to our Named Executive Officers.	Mgmt	For	For
4.	To ratify the appointment of PricewaterhouseCoopers LLP as our independent registered public accountants for the fiscal year ending December 31, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### WASHINGTON TRUST BANCORP, INC.

Security: 940610108

Ticker: WASH

ISIN: US9406101082

Agenda Number: 935348171

Meeting Type: Annual

Meeting Date: 27-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Constance A. Howes, Esq	Mgmt	For	For
2	Joseph J. MarcAurele	Mgmt	For	For
3	Edwin J. Santos	Mgmt	For	For
4	Lisa M. Stanton	Mgmt	For	For
2.	The approval of an amendment to the Corporation's Restated Articles of Incorporation creating a new class of capital stock which shall be designated as "Undesignated Preferred Stock".	Mgmt	For	For
3.	The ratification of the selection of Crowe LLP to serve as the Corporation's independent registered public accounting firm for the year ending December 31, 2021.	Mgmt	For	For
4.	A non-binding advisory resolution to approve the compensation of the Corporation's named executive officers.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### WESTBURY BANCORP, INC

Security: 95727P106

Ticker: WBBW

ISIN: US95727P1066

Agenda Number: 935323218

Meeting Type: Annual

Meeting Date: 16-Feb-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Stephen W. Sinner	Mgmt	For	For
2	Donald J. Murn	Mgmt	For	For
2.	Proposal to ratify the appointment of CliftonLarsonAllen LLP as the Company's independent registered public accounting firm for the year ending September 30, 2021.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### WSFS FINANCIAL CORPORATION

Security: 929328102

Ticker: WSFS

ISIN: US9293281021

Agenda Number: 935360444

Meeting Type: Annual

Meeting Date: 06-May-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	DIRECTOR			
1	Anat Bird #	Mgmt	For	For
2	Jennifer W. Davis #	Mgmt	For	For
3	Michael J. Donahue #	Mgmt	For	For
4	Nancy J. Foster *	Mgmt	For	For
2.	An advisory (non-binding) Say-on-Pay Vote.	Mgmt	For	For
3.	An amendment of the 2018 Incentive Plan to increase the number of shares of Common Stock available for issuance under the Plan.	Mgmt	For	For
4.	The ratification of the appointment of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021.	Mgmt	For	For



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## 2X21 JHF Financial Opportunities Fund

### WSFS FINANCIAL CORPORATION

**Security:** 929328102

**Ticker:** WSFS

**ISIN:** US9293281021

**Agenda Number:** 935436748

**Meeting Type:** Special

**Meeting Date:** 10-Jun-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1.	WSFS Merger and Share Issuance Proposal: To adopt the Agreement and Plan of Merger, dated as of March 9, 2021 (the "Merger Agreement"), by and between WSFS Financial Corporation ("WSFS") and Bryn Mawr Bank Corporation, and to approve the transactions contemplated by the Merger Agreement, including the merger and the issuance of shares of WSFS common stock as consideration under the Merger Agreement (the "WSFS Merger and Share Issuance Proposal").	Mgmt	For	For
2.	WSFS Adjournment Proposal: To approve one or more adjournments of the WSFS Special Meeting of Stockholders, if necessary or appropriate, to solicit additional proxies in favor of approval of the WSFS Merger and Share Issuance Proposal.	Mgmt	For	For

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## 2X21 JHF Financial Opportunities Fund

### ZIONS BANCORPORATION

Security: 989701107

Ticker: ZION

ISIN: US9897011071

Agenda Number: 935348854

Meeting Type: Annual

Meeting Date: 30-Apr-21

Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
1A.	Election of Director: Maria Contreras-Sweet	Mgmt	For	For
1B.	Election of Director: Gary L. Crittenden	Mgmt	For	For
1C.	Election of Director: Suren K. Gupta	Mgmt	For	For
1D.	Election of Director: Claire A. Huang	Mgmt	For	For
1E.	Election of Director: Vivian S. Lee	Mgmt	For	For
1F.	Election of Director: Scott J. McLean	Mgmt	For	For
1G.	Election of Director: Edward F. Murphy	Mgmt	For	For
1H.	Election of Director: Stephen D. Quinn	Mgmt	For	For
1I.	Election of Director: Harris H. Simmons	Mgmt	For	For
1J.	Election of Director: Aaron B. Skonnard	Mgmt	For	For
1K.	Election of Director: Barbara A. Yastine	Mgmt	For	For

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Prop. #	Proposal	Proposed by	Proposal Vote	For/Against Management's Recommendation
2.	Ratification of the appointment of Ernst & Young LLP as the Independent Registered Public Accounting Firm to audit the Bank's financial statements for the current fiscal year.	Mgmt	For	For
3.	Approval, on a nonbinding advisory basis, of the compensation paid to the Bank's named executive officers with respect to fiscal year ended December 31, 2020.	Mgmt	For	For