

Investment Company Report

Meeting Date Range: 01-Jul-2020 - 30-Jun-2021

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2Y7W JH Multifactor Utilities ETF

ALLIANT ENERGY CORPORATION

Security: 018802108

Ticker: LNT

ISIN: US0188021085

Agenda Number: 935372627

Meeting Type: Annual

Meeting Date: 20-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Roger K. Newport | Mgmt | For | For |
| 2 | Dean C. Oestreich | Mgmt | For | For |
| 3 | Carol P. Sanders | Mgmt | For | For |
| 2. | Advisory vote to approve the compensation of our named executive officers. | Mgmt | For | For |
| 3. | Ratification of the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for 2021. | Mgmt | For | For |
| 4. | Shareowner proposal regarding a report on the costs and benefits of Alliant Energy's voluntary climate-related activities. | Shr | Against | For |

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AMEREN CORPORATION

Security: 023608102

Ticker: AEE

ISIN: US0236081024

Agenda Number: 935352942

Meeting Type: Annual

Meeting Date: 06-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | ELECTION OF DIRECTOR: WARNER L. BAXTER | Mgmt | For | For |
| 1B. | ELECTION OF DIRECTOR: CYNTHIA J. BRINKLEY | Mgmt | For | For |
| 1C. | ELECTION OF DIRECTOR: CATHERINE S. BRUNE | Mgmt | For | For |
| 1D. | ELECTION OF DIRECTOR: J. EDWARD COLEMAN | Mgmt | For | For |
| 1E. | ELECTION OF DIRECTOR: WARD H. DICKSON | Mgmt | For | For |
| 1F. | ELECTION OF DIRECTOR: NOELLE K. EDER | Mgmt | For | For |
| 1G. | ELECTION OF DIRECTOR: ELLEN M. FITZSIMMONS | Mgmt | For | For |
| 1H. | ELECTION OF DIRECTOR: RAFAEL FLORES | Mgmt | For | For |
| 1I. | ELECTION OF DIRECTOR: RICHARD J. HARSHMAN | Mgmt | For | For |
| 1J. | ELECTION OF DIRECTOR: CRAIG S. IVEY | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1K. | ELECTION OF DIRECTOR: JAMES C. JOHNSON | Mgmt | For | For |
| 1L. | ELECTION OF DIRECTOR: STEVEN H. LIPSTEIN | Mgmt | For | For |
| 1M. | ELECTION OF DIRECTOR: LEO S. MACKAY, JR. | Mgmt | For | For |
| 2. | COMPANY PROPOSAL - ADVISORY APPROVAL OF COMPENSATION OF THE NAMED EXECUTIVE OFFICERS DISCLOSED IN THE PROXY STATEMENT. | Mgmt | For | For |
| 3. | COMPANY PROPOSAL - RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2021. | Mgmt | For | For |

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AMERICAN ELECTRIC POWER COMPANY, INC.

Security: 025537101

Ticker: AEP

ISIN: US0255371017

Agenda Number: 935342749

Meeting Type: Annual

Meeting Date: 20-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Nicholas K. Akins | Mgmt | For | For |
| 1B. | Election of Director: David J. Anderson | Mgmt | For | For |
| 1C. | Election of Director: J. Barnie Beasley, Jr. | Mgmt | For | For |
| 1D. | Election of Director: Art A. Garcia | Mgmt | For | For |
| 1E. | Election of Director: Linda A. Goodspeed | Mgmt | For | For |
| 1F. | Election of Director: Thomas E. Hoaglin | Mgmt | For | For |
| 1G. | Election of Director: Sandra Beach Lin | Mgmt | For | For |
| 1H. | Election of Director: Margaret M. McCarthy | Mgmt | For | For |
| 1I. | Election of Director: Stephen S. Rasmussen | Mgmt | For | For |
| 1J. | Election of Director: Oliver G. Richard III | Mgmt | For | For |
| 1K. | Election of Director: Daryl Roberts | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1L. | Election of Director: Sara Martinez Tucker | Mgmt | For | For |
| 2. | Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2021. | Mgmt | For | For |
| 3. | Advisory approval of the Company's executive compensation. | Mgmt | For | For |

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AMERICAN WATER WORKS COMPANY, INC.

Security: 030420103

Ticker: AWK

ISIN: US0304201033

Agenda Number: 935369074

Meeting Type: Annual

Meeting Date: 12-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Jeffrey N. Edwards | Mgmt | For | For |
| 1B. | Election of Director: Martha Clark Goss | Mgmt | For | For |
| 1C. | Election of Director: Veronica M. Hagen | Mgmt | For | For |
| 1D. | Election of Director: Kimberly J. Harris | Mgmt | For | For |
| 1E. | Election of Director: Julia L. Johnson | Mgmt | For | For |
| 1F. | Election of Director: Patricia L. Kampling | Mgmt | For | For |
| 1G. | Election of Director: Karl F. Kurz | Mgmt | For | For |
| 1H. | Election of Director: Walter J. Lynch | Mgmt | For | For |
| 1I. | Election of Director: George MacKenzie | Mgmt | For | For |
| 1J. | Election of Director: James G. Stavridis | Mgmt | For | For |
| 1K. | Election of Director: Lloyd M. Yates | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Approval, on an advisory basis, of the compensation of the Company's named executive officers. | Mgmt | For | For |
| 3. | Ratification of the appointment, by the Audit, Finance and Risk Committee of the Board of Directors, of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for 2021. | Mgmt | For | For |

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ATMOS ENERGY CORPORATION

Security: 049560105

Ticker: ATO

ISIN: US0495601058

Agenda Number: 935318091

Meeting Type: Annual

Meeting Date: 03-Feb-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election Of Director: J. Kevin Akers | Mgmt | For | For |
| 1B. | Election Of Director: Robert W. Best | Mgmt | For | For |
| 1C. | Election Of Director: Kim R. Cocklin | Mgmt | For | For |
| 1D. | Election Of Director: Kelly H. Compton | Mgmt | For | For |
| 1E. | Election Of Director: Sean Donohue | Mgmt | For | For |
| 1F. | Election Of Director: Rafael G. Garza | Mgmt | For | For |
| 1G. | Election Of Director: Richard K. Gordon | Mgmt | For | For |
| 1H. | Election Of Director: Robert C. Grable | Mgmt | For | For |
| 1I. | Election Of Director: Nancy K. Quinn | Mgmt | For | For |
| 1J. | Election Of Director: Richard A. Sampson | Mgmt | For | For |
| 1K. | Election Of Director: Stephen R. Springer | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1L. | Election Of Director: Diana J. Walters | Mgmt | For | For |
| 1M. | Election Of Director: Richard Ware II | Mgmt | For | For |
| 1N. | Election Of Director: Frank Yoho | Mgmt | For | For |
| 2. | Proposal to amend the Company's 1998 Long-Term Incentive Plan. | Mgmt | For | For |
| 3. | Proposal to ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm for fiscal 2021. | Mgmt | For | For |
| 4. | Proposal for an advisory vote by shareholders to approve the compensation of the Company's named executive officers for fiscal 2020 ("Say-on-Pay"). | Mgmt | For | For |

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AVANGRID, INC.

Security: 05351W103

Ticker: AGR

ISIN: US05351W1036

Agenda Number: 935402355

Meeting Type: Annual

Meeting Date: 01-Jun-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|-------------------------|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Ignacio S. Galán | Mgmt | Withheld | Against |
| 2 | John Baldacci | Mgmt | Withheld | Against |
| 3 | Daniel Alcain Lopéz | Mgmt | Withheld | Against |
| 4 | Dennis V. Arriola | Mgmt | Withheld | Against |
| 5 | Pedro Azagra Blázquez | Mgmt | Withheld | Against |
| 6 | Robert Duffy | Mgmt | For | For |
| 7 | Teresa Herbert | Mgmt | For | For |
| 8 | Patricia Jacobs | Mgmt | For | For |
| 9 | John Lahey | Mgmt | For | For |
| 10 | José Á. Marra Rodríguez | Mgmt | Withheld | Against |
| 11 | Santiago M. Garrido | Mgmt | Withheld | Against |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 12 | José Sáinz Armada | Mgmt | Withheld | Against |
| 13 | Alan Solomont | Mgmt | For | For |
| 14 | Elizabeth Timm | Mgmt | For | For |
| 2. | RATIFICATION OF THE SELECTION OF KPMG LLP AS AVANGRID, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2021. | Mgmt | For | For |
| 3. | NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS. | Mgmt | For | For |
| 4. | APPROVAL OF AN AMENDMENT TO THE AVANGRID, INC. AMENDED AND RESTATED OMNIBUS INCENTIVE PLAN. | Mgmt | For | For |

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BROOKFIELD RENEWABLE CORPORATION

Security: 11284V105

Ticker: BEPC

ISIN: CA11284V1058

Agenda Number: 935439275

Meeting Type: Annual

Meeting Date: 22-Jun-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1 | DIRECTOR | | | |
| 1 | Jeffrey Blidner | Mgmt | Withheld | Against |
| 2 | Scott Cutler | Mgmt | For | For |
| 3 | E. de Carvalho Filho | Mgmt | For | For |
| 4 | Nancy Dorn | Mgmt | For | For |
| 5 | David Mann | Mgmt | For | For |
| 6 | Lou Maroun | Mgmt | For | For |
| 7 | Sachin Shah | Mgmt | For | For |
| 8 | Stephen Westwell | Mgmt | For | For |
| 9 | Patricia Zuccotti | Mgmt | For | For |
| 2 | Appointment of Ernst & Young LLP as Auditors of the Corporation for the ensuing year and authorizing the Directors to fix their remuneration. | Mgmt | For | For |

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CENTERPOINT ENERGY, INC.

Security: 15189T107

Ticker: CNP

ISIN: US15189T1079

Agenda Number: 935346088

Meeting Type: Annual

Meeting Date: 23-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Leslie D. Biddle | Mgmt | For | For |
| 1B. | Election of Director: Milton Carroll | Mgmt | For | For |
| 1C. | Election of Director: Wendy Montoya Cloonan | Mgmt | For | For |
| 1D. | Election of Director: Earl M. Cummings | Mgmt | For | For |
| 1E. | Election of Director: David J. Lesar | Mgmt | For | For |
| 1F. | Election of Director: Martin H. Nesbitt | Mgmt | For | For |
| 1G. | Election of Director: Theodore F. Pound | Mgmt | For | For |
| 1H. | Election of Director: Phillip R. Smith | Mgmt | For | For |
| 1I. | Election of Director: Barry T. Smitherman | Mgmt | For | For |
| 2. | Ratify the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for 2021. | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 3. | Approve the advisory resolution on executive compensation. | Mgmt | Against | Against |

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CLEARWAY ENERGY, INC.

Security: 18539C105

Ticker: CWENA

ISIN: US18539C1053

Agenda Number: 935350998

Meeting Type: Annual

Meeting Date: 29-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Jonathan Bram | Mgmt | Withheld | Against |
| 2 | Nathaniel Anschuetz | Mgmt | Withheld | Against |
| 3 | Brian R. Ford | Mgmt | For | For |
| 4 | Bruce MacLennan | Mgmt | Withheld | Against |
| 5 | Ferrell P. McClean | Mgmt | For | For |
| 6 | Daniel B. More | Mgmt | For | For |
| 7 | E. Stanley O'Neal | Mgmt | For | For |
| 8 | Christopher S. Sotos | Mgmt | Withheld | Against |
| 9 | Scott Stanley | Mgmt | Withheld | Against |
| 2. | To approve, on a non-binding advisory basis, Clearway Energy, Inc.'s executive compensation. | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 3. | To approve the amendment and restatement of the Amended and Restated 2013 Equity Incentive Plan to increase the number of shares of common stock available for issuance under the plan and to make certain additional changes. | Mgmt | For | For |
| 4. | To ratify the appointment of Ernst & Young LLP as Clearway Energy, Inc.'s independent registered public accounting firm for the 2021 fiscal year. | Mgmt | For | For |

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CLEARWAY ENERGY, INC.

Security: 18539C204

Ticker: CWEN

ISIN: US18539C2044

Agenda Number: 935350998

Meeting Type: Annual

Meeting Date: 29-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Jonathan Bram | Mgmt | Withheld | Against |
| 2 | Nathaniel Anschuetz | Mgmt | Withheld | Against |
| 3 | Brian R. Ford | Mgmt | For | For |
| 4 | Bruce MacLennan | Mgmt | Withheld | Against |
| 5 | Ferrell P. McClean | Mgmt | For | For |
| 6 | Daniel B. More | Mgmt | For | For |
| 7 | E. Stanley O'Neal | Mgmt | For | For |
| 8 | Christopher S. Sotos | Mgmt | Withheld | Against |
| 9 | Scott Stanley | Mgmt | Withheld | Against |
| 2. | To approve, on a non-binding advisory basis, Clearway Energy, Inc.'s executive compensation. | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 3. | To approve the amendment and restatement of the Amended and Restated 2013 Equity Incentive Plan to increase the number of shares of common stock available for issuance under the plan and to make certain additional changes. | Mgmt | For | For |
| 4. | To ratify the appointment of Ernst & Young LLP as Clearway Energy, Inc.'s independent registered public accounting firm for the 2021 fiscal year. | Mgmt | For | For |

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CMS ENERGY CORPORATION

Security: 125896100

Ticker: CMS

ISIN: US1258961002

Agenda Number: 935361597

Meeting Type: Annual

Meeting Date: 07-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1a. | Election of Director: Jon E. Barfield | Mgmt | For | For |
| 1b. | Election of Director: Deborah H. Butler | Mgmt | For | For |
| 1c. | Election of Director: Kurt L. Darrow | Mgmt | For | For |
| 1d. | Election of Director: William D. Harvey | Mgmt | For | For |
| 1e. | Election of Director: Garrick J. Rochow | Mgmt | For | For |
| 1f. | Election of Director: John G. Russell | Mgmt | For | For |
| 1g. | Election of Director: Suzanne F. Shank | Mgmt | For | For |
| 1h. | Election of Director: Myrna M. Soto | Mgmt | Against | Against |
| 1i. | Election of Director: John G. Sznewajs | Mgmt | For | For |
| 1j. | Election of Director: Ronald J. Tanski | Mgmt | For | For |
| 1k. | Election of Director: Laura H. Wright | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Approve, on an advisory basis, the Company's executive compensation. | Mgmt | For | For |
| 3. | Ratify the appointment of independent registered public accounting firm (PricewaterhouseCoopers LLP). | Mgmt | For | For |
| 4. | Shareholder Proposal - Greenwashing Audit. | Shr | Against | For |

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CONSOLIDATED EDISON, INC.

Security: 209115104

Ticker: ED

ISIN: US2091151041

Agenda Number: 935372398

Meeting Type: Annual

Meeting Date: 17-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Timothy P. Cawley | Mgmt | For | For |
| 1B. | Election of Director: Ellen V. Futter | Mgmt | For | For |
| 1C. | Election of Director: John F. Killian | Mgmt | For | For |
| 1D. | Election of Director: Karol V. Mason | Mgmt | For | For |
| 1E. | Election of Director: John McAvoy | Mgmt | For | For |
| 1F. | Election of Director: Dwight A. McBride | Mgmt | For | For |
| 1G. | Election of Director: William J. Mulrow | Mgmt | For | For |
| 1H. | Election of Director: Armando J. Olivera | Mgmt | Against | Against |
| 1I. | Election of Director: Michael W. Ranger | Mgmt | For | For |
| 1J. | Election of Director: Linda S. Sanford | Mgmt | For | For |
| 1K. | Election of Director: Deirdre Stanley | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1L. | Election of Director: L. Frederick Sutherland | Mgmt | For | For |
| 2. | Ratification of appointment of independent accountants. | Mgmt | For | For |
| 3. | Advisory vote to approve named executive officer compensation. | Mgmt | For | For |

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DOMINION ENERGY, INC.

Security: 25746U109

Ticker: D

ISIN: US25746U1097

Agenda Number: 935352853

Meeting Type: Annual

Meeting Date: 05-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: James A. Bennett | Mgmt | For | For |
| 1B. | Election of Director: Robert M. Blue | Mgmt | For | For |
| 1C. | Election of Director: Helen E. Dragas | Mgmt | For | For |
| 1D. | Election of Director: James O. Ellis, Jr. | Mgmt | For | For |
| 1E. | Election of Director: D. Maybank Hagood | Mgmt | For | For |
| 1F. | Election of Director: Ronald W. Jibson | Mgmt | For | For |
| 1G. | Election of Director: Mark J. Kington | Mgmt | For | For |
| 1H. | Election of Director: Joseph M. Rigby | Mgmt | For | For |
| 1I. | Election of Director: Pamela J. Royal, M.D. | Mgmt | For | For |
| 1J. | Election of Director: Robert H. Spilman, Jr. | Mgmt | For | For |
| 1K. | Election of Director: Susan N. Story | Mgmt | For | For |

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|---------|--|-------------|---------------|---|
| 1L. | Election of Director: Michael E. Szymanczyk | Mgmt | For | For |
| 2. | Advisory Vote on Approval of Executive Compensation (Say on Pay). | Mgmt | For | For |
| 3. | Ratification of Appointment of Independent Auditor. | Mgmt | For | For |
| 4. | Shareholder Proposal Regarding a Report on Lobbying. | Shr | Against | For |
| 5. | Shareholder Proposal Regarding a Policy to Require an Independent Chair. | Shr | Against | For |
| 6. | Shareholder Proposal Regarding Proxy Access Shareholder Aggregation. | Shr | Against | For |

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DTE ENERGY COMPANY

Security: 233331107

Ticker: DTE

ISIN: US2333311072

Agenda Number: 935381260

Meeting Type: Annual

Meeting Date: 20-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|-------------------------|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Gerard M. Anderson | Mgmt | For | For |
| 2 | David A. Brandon | Mgmt | For | For |
| 3 | Charles G. McClure, Jr. | Mgmt | For | For |
| 4 | Gail J. McGovern | Mgmt | For | For |
| 5 | Mark A. Murray | Mgmt | For | For |
| 6 | Gerardo Norcia | Mgmt | For | For |
| 7 | Ruth G. Shaw | Mgmt | For | For |
| 8 | Robert C. Skaggs, Jr. | Mgmt | For | For |
| 9 | David A. Thomas | Mgmt | For | For |
| 10 | Gary H. Torgow | Mgmt | For | For |
| 11 | James H. Vandenberghe | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 12 | Valerie M. Williams | Mgmt | For | For |
| 2. | Ratify the appointment of PricewaterhouseCoopers LLP as our independent auditors. | Mgmt | For | For |
| 3. | Provide a nonbinding vote to approve the Company's executive compensation. | Mgmt | For | For |
| 4. | Vote on a management proposal to amend and restate the Long-Term Incentive Plan to authorize additional shares. | Mgmt | For | For |
| 5. | Vote on a shareholder proposal to make additional disclosure of political contributions. | Shr | Against | For |
| 6. | Vote on a shareholder proposal to publish a greenwashing audit. | Shr | Against | For |

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DUKE ENERGY CORPORATION

Security: 26441C204

Ticker: DUK

ISIN: US26441C2044

Agenda Number: 935359263

Meeting Type: Annual

Meeting Date: 06-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|-------------------------|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Michael G. Browning | Mgmt | For | For |
| 2 | Annette K. Clayton | Mgmt | For | For |
| 3 | Theodore F. Craver, Jr. | Mgmt | For | For |
| 4 | Robert M. Davis | Mgmt | For | For |
| 5 | Caroline Dorsa | Mgmt | For | For |
| 6 | W. Roy Dunbar | Mgmt | For | For |
| 7 | Nicholas C. Fanandakis | Mgmt | For | For |
| 8 | Lynn J. Good | Mgmt | For | For |
| 9 | John T. Herron | Mgmt | For | For |
| 10 | E. Marie McKee | Mgmt | For | For |
| 11 | Michael J. Pacilio | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 12 | Thomas E. Skains | Mgmt | For | For |
| 13 | William E. Webster, Jr. | Mgmt | For | For |
| 2. | Ratification of Deloitte & Touche LLP as Duke Energy's independent registered public accounting firm for 2021 | Mgmt | For | For |
| 3. | Advisory vote to approve Duke Energy's named executive officer compensation | Mgmt | For | For |
| 4. | Amendment to the Amended and Restated Certificate of Incorporation of Duke Energy Corporation to eliminate supermajority requirements | Mgmt | For | For |
| 5. | Shareholder proposal regarding independent board chair | Shr | Against | For |
| 6. | Shareholder proposal regarding providing a semiannual report on Duke Energy's political contributions and expenditures | Shr | Against | For |

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EDISON INTERNATIONAL

Security: 281020107

Ticker: EIX

ISIN: US2810201077

Agenda Number: 935343258

Meeting Type: Annual

Meeting Date: 22-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Jeanne Beliveau-Dunn | Mgmt | For | For |
| 1B. | Election of Director: Michael C. Camuñez | Mgmt | For | For |
| 1C. | Election of Director: Vanessa C.L. Chang | Mgmt | For | For |
| 1D. | Election of Director: James T. Morris | Mgmt | For | For |
| 1E. | Election of Director: Timothy T. O'Toole | Mgmt | For | For |
| 1F. | Election of Director: Pedro J. Pizarro | Mgmt | For | For |
| 1G. | Election of Director: Carey A. Smith | Mgmt | For | For |
| 1H. | Election of Director: Linda G. Stuntz | Mgmt | For | For |
| 1I. | Election of Director: William P. Sullivan | Mgmt | For | For |
| 1J. | Election of Director: Peter J. Taylor | Mgmt | For | For |
| 1K. | Election of Director: Keith Trent | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 2. | Ratification of the Independent Registered Public Accounting Firm. | Mgmt | For | For |
| 3. | Advisory Vote to Approve Executive Compensation. | Mgmt | For | For |
| 4. | Approval of the Edison International Employee Stock Purchase Plan. | Mgmt | For | For |
| 5. | Shareholder Proposal Regarding Proxy Access. | Shr | Against | For |

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ENTERGY CORPORATION

Security: 29364G103

Ticker: ETR

ISIN: US29364G1031

Agenda Number: 935360052

Meeting Type: Annual

Meeting Date: 07-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: J. R. Burbank | Mgmt | For | For |
| 1B. | Election of Director: P. J. Condon | Mgmt | For | For |
| 1C. | Election of Director: L. P. Denault | Mgmt | For | For |
| 1D. | Election of Director: K. H. Donald | Mgmt | For | For |
| 1E. | Election of Director: B. W. Ellis | Mgmt | For | For |
| 1F. | Election of Director: P. L. Frederickson | Mgmt | For | For |
| 1G. | Election of Director: A. M. Herman | Mgmt | For | For |
| 1H. | Election of Director: M. E. Hyland | Mgmt | For | For |
| 1I. | Election of Director: S. L. Levenick | Mgmt | For | For |
| 1J. | Election of Director: B. L. Lincoln | Mgmt | For | For |
| 1K. | Election of Director: K. A. Puckett | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 2. | Ratification of the Appointment of Deloitte & Touche LLP as Entergy's Independent Registered Public Accountants for 2021. | Mgmt | For | For |
| 3. | Advisory Vote to Approve Named Executive Officer Compensation. | Mgmt | For | For |
| 4. | Vote to Approve an Amendment to Entergy's Restated Certificate of Incorporation Authorizing the Issuance of Preferred Stock. | Mgmt | For | For |

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ESSENTIAL UTILITIES INC

Security: 29670G102

Ticker: WTRG

ISIN: US29670G1022

Agenda Number: 935359186

Meeting Type: Annual

Meeting Date: 05-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Elizabeth B. Amato | Mgmt | For | For |
| 2 | Christopher H. Franklin | Mgmt | For | For |
| 3 | Daniel J. Hilferty | Mgmt | For | For |
| 4 | Francis O. Idehen | Mgmt | For | For |
| 5 | Edwina Kelly | Mgmt | For | For |
| 6 | Ellen T. Ruff | Mgmt | For | For |
| 7 | Lee C. Stewart | Mgmt | For | For |
| 8 | Christopher C. Womack | Mgmt | For | For |
| 2. | To ratify the appointment of PricewaterhouseCoopers LLP as the independent registered public accounting firm for the Company for the 2021 fiscal year. | Mgmt | For | For |
| 3. | To approve an advisory vote on the compensation paid to the Company's named executive officers for 2020. | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 4. | To ratify the Amendment to the Company's Bylaws to permit shareholder access to future proxy statements. | Mgmt | For | For |

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EVERGY, INC.

Security: 30034W106

Ticker: EVRG

ISIN: US30034W1062

Agenda Number: 935361674

Meeting Type: Annual

Meeting Date: 04-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1a. | Election of Director: David A. Campbell | Mgmt | For | For |
| 1b. | Election of Director: Mollie Hale Carter | Mgmt | For | For |
| 1c. | Election of Director: Thomas D. Hyde | Mgmt | For | For |
| 1d. | Election of Director: B. Anthony Isaac | Mgmt | For | For |
| 1e. | Election of Director: Paul M. Keglevic | Mgmt | For | For |
| 1f. | Election of Director: Mary L. Landrieu | Mgmt | For | For |
| 1g. | Election of Director: Sandra A.J. Lawrence | Mgmt | For | For |
| 1h. | Election of Director: Ann D. Murtlow | Mgmt | For | For |
| 1i. | Election of Director: Sandra J. Price | Mgmt | For | For |
| 1j. | Election of Director: Mark A. Ruelle | Mgmt | For | For |
| 1k. | Election of Director: S. Carl Soderstrom Jr. | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1l. | Election of Director: John Arthur Stall | Mgmt | For | For |
| 1m. | Election of Director: C. John Wilder | Mgmt | For | For |
| 2. | Approval, on a non-binding advisory basis, the 2020 compensation of the Company's named executive officers. | Mgmt | For | For |
| 3. | Ratification of the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for 2021. | Mgmt | For | For |

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EVERSOURCE ENERGY

Security: 30040W108

Ticker: ES

ISIN: US30040W1080

Agenda Number: 935351774

Meeting Type: Annual

Meeting Date: 05-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Trustee: Cotton M. Cleveland | Mgmt | For | For |
| 1B. | Election of Trustee: James S. DiStasio | Mgmt | For | For |
| 1C. | Election of Trustee: Francis A. Doyle | Mgmt | For | For |
| 1D. | Election of Trustee: Linda Dorcena Forry | Mgmt | For | For |
| 1E. | Election of Trustee: Gregory M. Jones | Mgmt | For | For |
| 1F. | Election of Trustee: James J. Judge | Mgmt | For | For |
| 1G. | Election of Trustee: John Y. Kim | Mgmt | For | For |
| 1H. | Election of Trustee: Kenneth R. Leibler | Mgmt | For | For |
| 1I. | Election of Trustee: David H. Long | Mgmt | For | For |
| 1J. | Election of Trustee: William C. Van Faasen | Mgmt | For | For |
| 1K. | Election of Trustee: Frederica M. Williams | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 2. | Consider an advisory proposal approving the compensation of our Named Executive Officers. | Mgmt | For | For |
| 3. | Ratify the selection of Deloitte & Touche LLP as our independent registered public accounting firm for 2021. | Mgmt | For | For |

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EXELON CORPORATION

Security: 30161N101

Ticker: EXC

ISIN: US30161N1019

Agenda Number: 935347597

Meeting Type: Annual

Meeting Date: 27-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Anthony Anderson | Mgmt | Against | Against |
| 1B. | Election of Director: Ann Berzin | Mgmt | For | For |
| 1C. | Election of Director: Laurie Brlas | Mgmt | For | For |
| 1D. | Election of Director: Marjorie Rodgers Cheshire | Mgmt | For | For |
| 1E. | Election of Director: Christopher Crane | Mgmt | For | For |
| 1F. | Election of Director: Yves de Balmann | Mgmt | For | For |
| 1G. | Election of Director: Linda Jojo | Mgmt | For | For |
| 1H. | Election of Director: Paul Joskow | Mgmt | For | For |
| 1I. | Election of Director: Robert Lawless | Mgmt | For | For |
| 1J. | Election of Director: John Richardson | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1K. | Election of Director: Mayo Shattuck III | Mgmt | For | For |
| 1L. | Election of Director: John Young | Mgmt | For | For |
| 2. | Advisory approval of executive compensation. | Mgmt | For | For |
| 3. | Ratification of PricewaterhouseCoopers LLP as Exelon's Independent Auditor for 2021. | Mgmt | For | For |
| 4. | A shareholder proposal requesting a report on the impact of Exelon plans involving electric vehicles and charging stations with regard to child labor outside the United States. | Shr | Against | For |

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FIRSTENERGY CORP.

Security: 337932107

Ticker: FE

ISIN: US3379321074

Agenda Number: 935365343

Meeting Type: Annual

Meeting Date: 18-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Michael J. Anderson | Mgmt | For | For |
| 1B. | Election of Director: Steven J. Demetriou | Mgmt | For | For |
| 1C. | Election of Director: Julia L. Johnson | Mgmt | For | For |
| 1D. | Election of Director: Jesse A. Lynn | Mgmt | For | For |
| 1E. | Election of Director: Donald T. Misheff | Mgmt | For | For |
| 1F. | Election of Director: Thomas N. Mitchell | Mgmt | For | For |
| 1G. | Election of Director: James F. O'Neil III | Mgmt | For | For |
| 1H. | Election of Director: Christopher D. Pappas | Mgmt | For | For |
| 1I. | Election of Director: Luis A. Reyes | Mgmt | For | For |
| 1J. | Election of Director: John W. Somerhalder II | Mgmt | For | For |
| 1K. | Election of Director: Steven E. Strah | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1L. | Election of Director: Andrew Teno | Mgmt | For | For |
| 1M. | Election of Director: Leslie M. Turner | Mgmt | For | For |
| 1N. | Election of Director: Melvin Williams | Mgmt | For | For |
| 2. | Ratify the Appointment of the Independent Registered Public Accounting Firm for 2021. | Mgmt | For | For |
| 3. | Approve, on an Advisory Basis, Named Executive Officer Compensation. | Mgmt | For | For |

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HAWAIIAN ELECTRIC INDUSTRIES, INC.

Security: 419870100

Ticker: HE

ISIN: US4198701009

Agenda Number: 935361725

Meeting Type: Annual

Meeting Date: 07-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Richard J. Dahl | Mgmt | For | For |
| 1B. | Election of Director: Constance H. Lau | Mgmt | For | For |
| 1C. | Election of Director: Micah A. Kane | Mgmt | For | For |
| 2. | Advisory vote to approve the compensation of HEI's named executive officers. | Mgmt | For | For |
| 3. | Ratification of the appointment of Deloitte & Touche LLP as HEI's independent registered public accountant for 2021. | Mgmt | For | For |

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NATIONAL FUEL GAS COMPANY

Security: 636180101

Ticker: NFG

ISIN: US6361801011

Agenda Number: 935329626

Meeting Type: Annual

Meeting Date: 11-Mar-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | David H. Anderson | Mgmt | No vote | |
| 2 | David P. Bauer | Mgmt | No vote | |
| 3 | Barbara M. Baumann | Mgmt | No vote | |
| 4 | Rebecca Ranich | Mgmt | No vote | |
| 2. | Advisory approval of named executive officer compensation | Mgmt | For | For |
| 3. | Approval of an amendment of the Restated Certificate of Incorporation to declassify the Board of Directors | Mgmt | For | For |
| 4. | Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for fiscal 2021 | Mgmt | For | For |

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2Y7W JH Multifactor Utilities ETF

NEXTERA ENERGY, INC.

Security: 65339F101

Ticker: NEE

ISIN: US65339F1012

Agenda Number: 935378201

Meeting Type: Annual

Meeting Date: 20-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Sherry S. Barrat | Mgmt | For | For |
| 1B. | Election of Director: James L. Camaren | Mgmt | For | For |
| 1C. | Election of Director: Kenneth B. Dunn | Mgmt | For | For |
| 1D. | Election of Director: Naren K. Gursahaney | Mgmt | For | For |
| 1E. | Election of Director: Kirk S. Hachigian | Mgmt | For | For |
| 1F. | Election of Director: Amy B. Lane | Mgmt | For | For |
| 1G. | Election of Director: David L. Porges | Mgmt | Against | Against |
| 1H. | Election of Director: James L. Robo | Mgmt | For | For |
| 1I. | Election of Director: Rudy E. Schupp | Mgmt | For | For |
| 1J. | Election of Director: John L. Skolds | Mgmt | For | For |
| 1K. | Election of Director: Lynn M. Utter | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1L. | Election of Director: Darryl L. Wilson | Mgmt | For | For |
| 2. | Ratification of appointment of Deloitte & Touche LLP as NextEra Energy's independent registered public accounting firm for 2021. | Mgmt | For | For |
| 3. | Approval, by non-binding advisory vote, of NextEra Energy's compensation of its named executive officers as disclosed in the proxy statement. | Mgmt | For | For |
| 4. | Approval of the NextEra Energy, Inc. 2021 Long Term Incentive Plan. | Mgmt | For | For |
| 5. | A proposal entitled "Right to Act by Written Consent" to request action by written consent of shareholders. | Shr | Against | For |

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NISOURCE INC.

Security: 65473P105

Ticker: NI

ISIN: US65473P1057

Agenda Number: 935412560

Meeting Type: Annual

Meeting Date: 25-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director to hold office until the next Annual Meeting: Peter A. Altabef | Mgmt | For | For |
| 1B. | Election of Director to hold office until the next Annual Meeting: Theodore H. Bunting, Jr. | Mgmt | For | For |
| 1C. | Election of Director to hold office until the next Annual Meeting: Eric L. Butler | Mgmt | For | For |
| 1D. | Election of Director to hold office until the next Annual Meeting: Aristides S. Candris | Mgmt | For | For |
| 1E. | Election of Director to hold office until the next Annual Meeting: Wayne S. DeVeydt | Mgmt | For | For |
| 1F. | Election of Director to hold office until the next Annual Meeting: Joseph Hamrock | Mgmt | For | For |
| 1G. | Election of Director to hold office until the next Annual Meeting: Deborah A. Henretta | Mgmt | For | For |
| 1H. | Election of Director to hold office until the next Annual Meeting: Deborah A. P. Hersman | Mgmt | For | For |
| 1I. | Election of Director to hold office until the next Annual Meeting: Michael E. Jesanis | Mgmt | For | For |
| 1J. | Election of Director to hold office until the next Annual Meeting: Kevin T. Kabat | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1K. | Election of Director to hold office until the next Annual Meeting: Carolyn Y. Woo | Mgmt | For | For |
| 1L. | Election of Director to hold office until the next Annual Meeting: Lloyd M. Yates | Mgmt | For | For |
| 2. | To approve named executive officer compensation on an advisory basis. | Mgmt | For | For |
| 3. | To ratify the appointment of Deloitte & Touche LLP as the Company's independent registered public accounting firm for 2021. | Mgmt | For | For |
| 4. | To consider a stockholder proposal regarding proxy access. | Shr | Against | For |

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2Y7W JH Multifactor Utilities ETF

NRG ENERGY, INC.

Security: 629377508

Ticker: NRG

ISIN: US6293775085

Agenda Number: 935347446

Meeting Type: Annual

Meeting Date: 29-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: E. Spencer Abraham | Mgmt | For | For |
| 1B. | Election of Director: Antonio Carrillo | Mgmt | For | For |
| 1C. | Election of Director: Matthew Carter, Jr. | Mgmt | For | For |
| 1D. | Election of Director: Lawrence S. Coben | Mgmt | For | For |
| 1E. | Election of Director: Heather Cox | Mgmt | For | For |
| 1F. | Election of Director: Elisabeth B. Donohue | Mgmt | For | For |
| 1G. | Election of Director: Mauricio Gutierrez | Mgmt | For | For |
| 1H. | Election of Director: Paul W. Hobby | Mgmt | For | For |
| 1I. | Election of Director: Alexandra Pruner | Mgmt | For | For |
| 1J. | Election of Director: Anne C. Schaumburg | Mgmt | For | For |
| 1K. | Election of Director: Thomas H. Weidemeyer | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 2. | To approve, on a non-binding advisory basis, the compensation of the Company's named executive officers. | Mgmt | For | For |
| 3. | To ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for fiscal year 2021. | Mgmt | For | For |

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OGE ENERGY CORP.

Security: 670837103

Ticker: OGE

ISIN: US6708371033

Agenda Number: 935372944

Meeting Type: Annual

Meeting Date: 20-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Frank A. Bozich | Mgmt | For | For |
| 1B. | Election of Director: Peter D. Clarke | Mgmt | For | For |
| 1C. | Election of Director: Luke R. Corbett | Mgmt | For | For |
| 1D. | Election of Director: David L. Hauser | Mgmt | For | For |
| 1E. | Election of Director: Luther C. Kissam, IV | Mgmt | For | For |
| 1F. | Election of Director: Judy R. McReynolds | Mgmt | For | For |
| 1G. | Election of Director: David E. Rainbolt | Mgmt | For | For |
| 1H. | Election of Director: J. Michael Sanner | Mgmt | For | For |
| 1I. | Election of Director: Sheila G. Talton | Mgmt | For | For |
| 1J. | Election of Director: Sean Trauschke | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Ratification of the appointment of Ernst & Young LLP as the Company's principal independent accountants for 2021. | Mgmt | For | For |
| 3. | Advisory Vote to Approve Named Executive Officer Compensation. | Mgmt | For | For |
| 4. | Amendment of the Restated Certificate of Incorporation and By- laws to Permit Shareholders to Act by Written Consent. | Mgmt | For | For |
| 5. | Shareholder Proposal Regarding Simple Majority Vote. | Shr | For | Against |

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PINNACLE WEST CAPITAL CORPORATION

Security: 723484101

Ticker: PNW

ISIN: US7234841010

Agenda Number: 935366446

Meeting Type: Annual

Meeting Date: 19-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|-------------------------|-------------|---------------|---|
| 1. | DIRECTOR | | | |
| 1 | Glynis A. Bryan | Mgmt | For | For |
| 2 | Denis A. Cortese, M.D. | Mgmt | For | For |
| 3 | Richard P. Fox | Mgmt | For | For |
| 4 | Jeffrey B. Guldner | Mgmt | For | For |
| 5 | Dale E. Klein, Ph.D. | Mgmt | For | For |
| 6 | Kathryn L. Munro | Mgmt | For | For |
| 7 | Bruce J. Nordstrom | Mgmt | For | For |
| 8 | Paula J. Sims | Mgmt | For | For |
| 9 | William H. Spence | Mgmt | Withheld | Against |
| 10 | James E. Trevathan, Jr. | Mgmt | For | For |
| 11 | David P. Wagener | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Advisory vote to approve executive compensation as disclosed in the 2021 Proxy Statement. | Mgmt | For | For |
| 3. | Approval of the Pinnacle West Capital Corporation Long-Term Incentive Plan. | Mgmt | For | For |
| 4. | Ratify the appointment of the independent accountant for the year ending December 31, 2021. | Mgmt | For | For |

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PPL CORPORATION

Security: 69351T106

Ticker: PPL

ISIN: US69351T1060

Agenda Number: 935382628

Meeting Type: Annual

Meeting Date: 18-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Arthur P. Beattie | Mgmt | For | For |
| 1B. | Election of Director: Steven G. Elliott | Mgmt | For | For |
| 1C. | Election of Director: Raja Rajamannar | Mgmt | For | For |
| 1D. | Election of Director: Craig A. Rogerson | Mgmt | For | For |
| 1E. | Election of Director: Vincent Sorgi | Mgmt | For | For |
| 1F. | Election of Director: Natica von Althann | Mgmt | For | For |
| 1G. | Election of Director: Keith H. Williamson | Mgmt | For | For |
| 1H. | Election of Director: Phoebe A. Wood | Mgmt | For | For |
| 1I. | Election of Director: Armando Zagalo de Lima | Mgmt | For | For |
| 2. | Advisory vote to approve compensation of named executive officers. | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 3. | Ratification of the appointment of Independent Registered Public Accounting Firm. | Mgmt | For | For |

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PUBLIC SERVICE ENTERPRISE GROUP INC.

Security: 744573106

Ticker: PEG

ISIN: US7445731067

Agenda Number: 935350734

Meeting Type: Annual

Meeting Date: 20-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Ralph Izzo | Mgmt | For | For |
| 1B. | Election of Director: Shirley Ann Jackson | Mgmt | For | For |
| 1C. | Election of Director: Willie A. Deese | Mgmt | For | For |
| 1D. | Election of Director: David Lilley | Mgmt | For | For |
| 1E. | Election of Director: Barry H. Ostrowsky | Mgmt | For | For |
| 1F. | Election of Director: Scott G. Stephenson | Mgmt | For | For |
| 1G. | Election of Director: Laura A. Sugg | Mgmt | For | For |
| 1H. | Election of Director: John P. Surma | Mgmt | For | For |
| 1I. | Election of Director: Susan Tomasky | Mgmt | For | For |
| 1J. | Election of Director: Alfred W. Zollar | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 2. | Advisory vote on the approval of executive compensation. | Mgmt | For | For |
| 3. | Ratification of the appointment of Deloitte & Touche LLP as Independent Auditor for the year 2021. | Mgmt | For | For |
| 4. | Approval of the 2021 Equity Compensation Plan for Outside Directors. | Mgmt | For | For |
| 5. | Approval of the 2021 Long-Term Incentive Plan. | Mgmt | For | For |

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SEMPRA ENERGY

Security: 816851109

Ticker: SRE

ISIN: US8168511090

Agenda Number: 935366460

Meeting Type: Annual

Meeting Date: 14-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Alan L. Boeckmann | Mgmt | Against | Against |
| 1B. | Election of Director: Andrés Conesa | Mgmt | For | For |
| 1C. | Election of Director: Maria Contreras-Sweet | Mgmt | For | For |
| 1D. | Election of Director: Pablo A. Ferrero | Mgmt | For | For |
| 1E. | Election of Director: William D. Jones | Mgmt | For | For |
| 1F. | Election of Director: Jeffrey W. Martin | Mgmt | For | For |
| 1G. | Election of Director: Bethany J. Mayer | Mgmt | For | For |
| 1H. | Election of Director: Michael N. Mears | Mgmt | For | For |
| 1I. | Election of Director: Jack T. Taylor | Mgmt | For | For |
| 1J. | Election of Director: Cynthia L. Walker | Mgmt | For | For |
| 1K. | Election of Director: Cynthia J. Warner | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1L. | Election of Director: James C. Yardley | Mgmt | For | For |
| 2. | Ratification of Appointment of Independent Registered Public Accounting Firm. | Mgmt | For | For |
| 3. | Advisory Approval of Our Executive Compensation. | Mgmt | For | For |
| 4. | Shareholder Proposal Requesting an Amendment to Our Proxy Access Bylaw to Eliminate the Shareholder Nominating Group Limit. | Shr | Against | For |
| 5. | Shareholder Proposal Requesting a Report on Alignment of Our Lobbying Activities with the Paris Agreement. | Shr | Against | For |

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TERRAFORM POWER INC.

Security: 88104R209

Ticker: TERP

ISIN: US88104R2094

Agenda Number: 935245844

Meeting Type: Annual

Meeting Date: 29-Jul-20

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1. | To adopt the Plan of Merger set forth as Exhibit B to the Reorganization Agreement referred to below and to approve (i) the Agreement and Plan of Reorganization, dated as of March 16, 2020 (as amended from time to time, the "Reorganization Agreement"), by and among Brookfield Renewable Partners L.P., Brookfield Renewable Corporation, 2252876 Alberta ULC, TerraForm Power, Inc. and TerraForm Power NY Holdings, Inc. and (ii) the Reincorporation Merger and the Share Exchange contemplated by the Reorganization Agreement ("Merger Proposal") | Mgmt | For | For |
| 2a. | Election of Director: Brian Lawson | Mgmt | Against | Against |
| 2b. | Election of Director: Carolyn Burke | Mgmt | For | For |
| 2c. | Election of Director: Christian S. Fong | Mgmt | For | For |
| 2d. | Election of Director: Harry Goldgut | Mgmt | Against | Against |
| 2e. | Election of Director: Richard Legault | Mgmt | Against | Against |
| 2f. | Election of Director: Mark McFarland | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2g. | Election of Director: Sachin Shah | Mgmt | Against | Against |
| 3. | To ratify the appointment of Ernst & Young LLP as TerraForm Power Inc.'s independent registered public accounting firm for 2020. | Mgmt | For | For |
| 4. | To ratify, on a non-binding, advisory basis, the compensation paid to TerraForm Power Inc.'s named executive officers. | Mgmt | Against | Against |
| 5. | To approve the adjournment of the Annual Meeting of Stockholders, if necessary, to solicit additional proxies if there are not sufficient votes to approve the Merger Proposal. | Mgmt | For | For |

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THE AES CORPORATION

Security: 00130H105

Ticker: AES

ISIN: US00130H1059

Agenda Number: 935343397

Meeting Type: Annual

Meeting Date: 22-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Janet G. Davidson | Mgmt | For | For |
| 1B. | Election of Director: Andrés R. Gluski | Mgmt | For | For |
| 1C. | Election of Director: Tarun Khanna | Mgmt | For | For |
| 1D. | Election of Director: Holly K. Koeppel | Mgmt | For | For |
| 1E. | Election of Director: Julia M. Laulis | Mgmt | For | For |
| 1F. | Election of Director: James H. Miller | Mgmt | For | For |
| 1G. | Election of Director: Alain Monié | Mgmt | For | For |
| 1H. | Election of Director: John B. Morse, Jr. | Mgmt | For | For |
| 1I. | Election of Director: Moisés Naím | Mgmt | For | For |
| 1J. | Election of Director: Teresa M. Sebastian | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 2. | To approve, on an advisory basis, the Company's executive compensation. | Mgmt | For | For |
| 3. | To ratify the appointment of Ernst & Young LLP as the independent auditor of the Company for fiscal year 2021. | Mgmt | For | For |
| 4. | To vote on a non-binding Stockholder proposal seeking to adopt a by-law to subject any by-law or charter amendments to a Stockholder vote. | Shr | Against | For |

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THE SOUTHERN COMPANY

Security: 842587107

Ticker: SO

ISIN: US8425871071

Agenda Number: 935388555

Meeting Type: Annual

Meeting Date: 26-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Janaki Akella | Mgmt | For | For |
| 1B. | Election of Director: Juanita Powell Baranco | Mgmt | For | For |
| 1C. | Election of Director: Henry A. Clark III | Mgmt | For | For |
| 1D. | Election of Director: Anthony F. Earley, Jr. | Mgmt | For | For |
| 1E. | Election of Director: Thomas A. Fanning | Mgmt | For | For |
| 1F. | Election of Director: David J. Grain | Mgmt | For | For |
| 1G. | Election of Director: Colette D. Honorable | Mgmt | For | For |
| 1H. | Election of Director: Donald M. James | Mgmt | For | For |
| 1I. | Election of Director: John D. Johns | Mgmt | For | For |
| 1J. | Election of Director: Dale E. Klein | Mgmt | For | For |
| 1K. | Election of Director: Ernest J. Moniz | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1L. | Election of Director: William G. Smith, Jr | Mgmt | For | For |
| 1M. | Election of Director: E. Jenner Wood III | Mgmt | For | For |
| 2. | Advisory vote to approve executive compensation. | Mgmt | For | For |
| 3. | Approve the 2021 Equity and Incentive Compensation Plan. | Mgmt | For | For |
| 4. | Ratify the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for 2021. | Mgmt | For | For |
| 5. | Approve an amendment to the Restated Certificate of Incorporation to reduce the supermajority vote requirement to a majority vote requirement. | Mgmt | For | For |

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UGI CORPORATION

Security: 902681105

Ticker: UGI

ISIN: US9026811052

Agenda Number: 935316718

Meeting Type: Annual

Meeting Date: 29-Jan-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director for a term expiring in 2022: Frank S. Hermance, Chair | Mgmt | For | For |
| 1B. | Election of Director for a term expiring in 2022: M. Shawn Bort | Mgmt | For | For |
| 1C. | Election of Director for a term expiring in 2022: Theodore A. Dosch | Mgmt | For | For |
| 1D. | Election of Director for a term expiring in 2022: Alan N. Harris | Mgmt | For | For |
| 1E. | Election of Director for a term expiring in 2022: Mario Longhi | Mgmt | For | For |
| 1F. | Election of Director for a term expiring in 2022: William J. Marrazzo | Mgmt | For | For |
| 1G. | Election of Director for a term expiring in 2022: Cindy J. Miller | Mgmt | For | For |
| 1H. | Election of Director for a term expiring in 2022: Kelly A. Romano | Mgmt | For | For |
| 1I. | Election of Director for a term expiring in 2022: James B. Stallings, Jr. | Mgmt | For | For |
| 1J. | Election of Director for a term expiring in 2022: John L. Walsh | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Advisory Vote on Executive Compensation. | Mgmt | For | For |
| 3. | Approval of the Company's 2021 Incentive Award Plan. | Mgmt | For | For |
| 4. | Ratification of Independent Registered Public Accounting Firm for 2021. | Mgmt | For | For |

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2Y7W JH Multifactor Utilities ETF

VISTRA CORP.

Security: 92840M102

Ticker: VST

ISIN: US92840M1027

Agenda Number: 935375344

Meeting Type: Annual

Meeting Date: 28-Apr-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Scott B. Helm | Mgmt | For | For |
| 1B. | Election of Director: Hilary E. Ackermann | Mgmt | For | For |
| 1C. | Election of Director: Arcilia C. Acosta | Mgmt | For | For |
| 1D. | Election of Director: Gavin R. Baiera | Mgmt | For | For |
| 1E. | Election of Director: Paul M. Barbas | Mgmt | For | For |
| 1F. | Election of Director: Lisa Crutchfield | Mgmt | For | For |
| 1G. | Election of Director: Brian K. Ferraioli | Mgmt | For | For |
| 1H. | Election of Director: Jeff D. Hunter | Mgmt | For | For |
| 1I. | Election of Director: Curtis A. Morgan | Mgmt | For | For |
| 1J. | Election of Director: John R. Sult | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Approve, on an advisory basis, the 2020 compensation of the Company's named executive officers. | Mgmt | For | For |
| 3. | Ratify the selection of Deloitte & Touche LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021. | Mgmt | For | For |

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2Y7W JH Multifactor Utilities ETF

WEC ENERGY GROUP, INC.

Security: 92939U106

Ticker: WEC

ISIN: US92939U1060

Agenda Number: 935346420

Meeting Type: Annual

Meeting Date: 06-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1A. | Election of Director: Curt S. Culver | Mgmt | For | For |
| 1B. | Election of Director: Danny L. Cunningham | Mgmt | For | For |
| 1C. | Election of Director: William M. Farrow III | Mgmt | For | For |
| 1D. | Election of Director: J. Kevin Fletcher | Mgmt | For | For |
| 1E. | Election of Director: Cristina A. Garcia-Thomas | Mgmt | For | For |
| 1F. | Election of Director: Maria C. Green | Mgmt | For | For |
| 1G. | Election of Director: Gale E. Klappa | Mgmt | For | For |
| 1H. | Election of Director: Thomas K. Lane | Mgmt | For | For |
| 1I. | Election of Director: Ulice Payne, Jr. | Mgmt | For | For |
| 1J. | Election of Director: Mary Ellen Stanek | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 2. | Ratification of Deloitte & Touche LLP as Independent Auditors for 2021. | Mgmt | For | For |
| 3. | Approval of the Amendment and Restatement of the WEC Energy Group Omnibus Stock Incentive Plan. | Mgmt | For | For |
| 4. | Advisory Vote to Approve Executive Compensation of the Named Executive Officers. | Mgmt | For | For |

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XCEL ENERGY INC.

Security: 98389B100

Ticker: XEL

ISIN: US98389B1008

Agenda Number: 935380321

Meeting Type: Annual

Meeting Date: 19-May-21

| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|--|-------------|---------------|---|
| 1A. | Election of Director: Lynn Casey | Mgmt | For | For |
| 1B. | Election of Director: Ben Fowke | Mgmt | For | For |
| 1C. | Election of Director: Robert Frenzel | Mgmt | For | For |
| 1D. | Election of Director: Netha Johnson | Mgmt | For | For |
| 1E. | Election of Director: Patricia Kampling | Mgmt | For | For |
| 1F. | Election of Director: George Kehl | Mgmt | For | For |
| 1G. | Election of Director: Richard O'Brien | Mgmt | For | For |
| 1H. | Election of Director: Charles Pardee | Mgmt | For | For |
| 1I. | Election of Director: Christopher Policinski | Mgmt | For | For |
| 1J. | Election of Director: James Prokopanko | Mgmt | For | For |
| 1K. | Election of Director: David Westerlund | Mgmt | For | For |

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| Prop. # | Proposal | Proposed by | Proposal Vote | For/Against Management's Recommendation |
|---------|---|-------------|---------------|---|
| 1L. | Election of Director: Kim Williams | Mgmt | For | For |
| 1M. | Election of Director: Timothy Wolf | Mgmt | For | For |
| 1N. | Election of Director: Daniel Yohannes | Mgmt | For | For |
| 2. | Company proposal to approve, on an advisory basis, executive compensation. | Mgmt | For | For |
| 3. | Company proposal to ratify the appointment of Deloitte & Touche LLP as Xcel Energy Inc.'s independent registered public accounting firm for 2021. | Mgmt | For | For |
| 4. | Shareholder proposal regarding a report on the costs and benefits of Xcel Energy's voluntary climate-related activities. | Shr | Against | For |